

# ***THEORY OF OPERATION SECTION***

# Contents

<b>1. RAID Architecture Overview</b> .....	<b>THEORY01-10</b>
1.1 RAID0 and RAID1 .....	THEORY01-10
1.2 RAID3 .....	THEORY01-10
1.3 RAID5 .....	THEORY01-20
1.4 Application of the RAID technologies .....	THEORY01-30
<b>2. Specifications</b> .....	<b>THEORY02-10</b>
2.1 Subsystem Specifications .....	THEORY02-10
2.2 Disk Drive Specifications .....	THEORY02-20
2.3 Physical Specifications .....	THEORY02-30
2.4 Equipment Layout .....	THEORY02-40
<b>3. Internal Operation</b> .....	<b>THEORY03-10</b>
3.1 Hardware Block Diagram .....	THEORY03-10
3.2 Software Organization .....	THEORY03-20
3.3 Data Formats .....	THEORY03-40
3.4 Cache Management .....	THEORY03-80
3.5 Destaging Operations .....	THEORY03-160
3.6 Operations Performed when Drive Errors Occur .....	THEORY03-190
3.7 Inter Mix of Drives and Emulation types .....	THEORY03-210
3.7.1 Drives to be Connected .....	THEORY03-210
3.7.2 Emulation Device Type .....	THEORY03-220
3.8 8192 logical addresses .....	THEORY03-270
3.11 LDEV Formatting .....	THEORY03-280
3.11.1 Outlines .....	THEORY03-280
3.11.2 Estimation of LDEV Formatting Time .....	THEORY03-280
3.12 High-Speed Online Replacement of Microprogram .....	THEORY03-290
3.12.1 Outline .....	THEORY03-290
3.12.2 Processing Time .....	THEORY03-300
3.13 HRC .....	THEORY03-310
3.13.1 HRC Components .....	THEORY03-310
3.13.2 HRC Software Requirements .....	THEORY03-370
3.13.3 HRC Hardware Requirements .....	THEORY03-370
3.13.4 HRC Theory of Operations .....	THEORY03-520
3.13.5 HRC Control Operations .....	THEORY03-560
3.13.6 Managing HRC Environment .....	THEORY03-650
3.13.7 HRC Error Recovery .....	THEORY03-690

3.14 HMRCF & HOMRCF -----	THEORY03-800
3.14.1 Overview -----	THEORY03-800
3.14.2 Purchasing Volumes for Use with ShadowImage -----	THEORY03-816
3.14.3 Purchasing Volumes for Use with ShadowImage – S/390® -----	THEORY03-818
3.14.4 Construction of HMRCF & HOMRCF -----	THEORY03-830
3.14.5 Status transition -----	THEORY03-840
3.14.6 Interface -----	THEORY03-850
3.14.7 Cascade function -----	THEORY03-860
3.14.8 Reverse-RESYNC -----	THEORY03-880
3.14.9 ShadowImage-FlashCopy® Option function -----	THEORY03-941
3.14.10 ShadowImage-FlashCopy® version2 Option function -----	THEORY03-942
3.14.11 Micro-program Exchange -----	THEORY03-943
3.14.12 Notes on powering off -----	THEORY03-944
3.15 TPF -----	THEORY03-950
3.15.1 An outline of TPF -----	THEORY03-950
3.15.2 TPF Support Requirement -----	THEORY03-980
3.15.3 TPF trouble shooting method -----	THEORY03-990
3.15.4 The differences of DASD-TPF(MPLF) vs DASD-MVS -----	THEORY03-1000
3.15.5 Notices for HRC-option setting-----	THEORY03-1040
3.16 HXRC -----	THEORY03-1050
3.16.1 Outline of HXRC -----	THEORY03-1050
3.16.2 HXRC Support Requirements -----	THEORY03-1060
3.16.3 Online Maintenance while Concurrent Copy(CC)/HXRC in use ---	THEORY03-1100
3.17 HRC Asynchronous -----	THEORY03-1120
3.17.1 Components -----	THEORY03-1120
3.17.2 Consistency Group -----	THEORY03-1140
3.17.3 HRC Asynchronous Theory of Operations -----	THEORY03-1180
3.17.4 MCU-RCU Communications to Maintain Asynchronous Copies --	THEORY03-1210
3.17.5 Failure Detected by the RCU -----	THEORY03-1220
3.17.6 Inflow Control for Sidefiles -----	THEORY03-1230
3.17.7 HRC Asynchronous Control Operations -----	THEORY03-1240
3.17.8 Management/Recovery Procedures -----	THEORY03-1440
3.18 HIHSM(Hitachi internal Hierarchical Storage Management) -----	THEORY03-1570
3.18.1 HIHSM Overview -----	THEORY03-1570
3.18.2 Hardware requirements -----	THEORY03-1570
3.18.3 Monitor function -----	THEORY03-1580
3.18.4 Estimate function -----	THEORY03-1590
3.18.5 Volume moving (migration) function -----	THEORY03-1600
3.18.6 Decision of volume moving (migration) -----	THEORY03-1640
3.18.7 Preset function -----	THEORY03-1660
3.19 UPS -----	THEORY03-1720
3.19.1 Outline -----	THEORY03-1720
3.19.2 Operation sequence -----	THEORY03-1720
3.19.3 Limiting amount of data which flows into the cache -----	THEORY03-1720
3.19.4 Setting a limit on the amount of data which flows in the cache ---	THEORY03-1720
3.20 HPAV -----	THEORY03-1730
3.20.1 Overview -----	THEORY03-1730
3.20.2 Preparing for HPAV Operations -----	THEORY03-1760

3.21 FICON -----	THEORY03-1780
3.21.1 Introduction -----	THEORY03-1780
3.21.2 Environment -----	THEORY03-1790
3.21.3 DKC460I FICON specification -----	THEORY03-1800
3.21.4 Configuration -----	THEORY03-1820
3.21.5 The operation procedure -----	THEORY03-1840
<b>4. Power-on Sequences -----</b>	<b>THEORY04-10</b>
4.1 IMPL Sequence -----	THEORY04-10
4.2 Drive Power-on Sequence -----	THEORY04-20
4.3 Planned Stop -----	THEORY04-30
<b>5 Appendixes -----</b>	<b>THEORY05-10</b>
5.1 Physical - Logical Device Matrixes for Single Cabinet Model-----	THEORY05-10
5.1.1 1DKA Pair configuration -----	THEORY05-10
5.1.2 2DKA Pair configuration -----	THEORY05-50
5.2 Commands -----	THEORY05-90
5.3 Comparison of pair status on SVP, Web Console, Raid Manager -----	THEORY05-130

## 1. RAID Architecture Overview

The objectives of the RAID technology are the low cost, high reliability, and high I/O performance of disk storage devices. To achieve these objectives, this subsystem supports levels 1 and 5 of RAID technologies (in this section, part of level 3 RAID technology is explained to make the outline of RAID5 more understandable). The features of the levels of RAID technologies are described below.

### 1.1 RAID0 and RAID1

RAID0 uses small-scale disk storage devices instead of conventional expensive large-scale disk storage devices for cost reduction. To increase the reliability of RAID0 devices, all disk devices are duplexed in RAID1.

Strictly speaking, RAID0 and RAID1 subsystems are not disk array systems; their performance is the same as conventional disk subsystems. Controlling these subsystems are rather simple and many RAID0 and RAID1 subsystems have been put into market by several vendors.

### 1.2 RAID3

In RAID3, a stream of data to be transferred is split and distributed into two or more disk devices (1 parity group) on a byte or bit basis (striping). This enables two or more disk devices to run simultaneously and increase the speed of data transfer between the DKC and disk drives. In addition, since parity data for the parity group is created and stored on a separate disk device (parity disk), data can easily be recovered even if a device in a parity group gets inoperative or causes a read error. This enhances the reliability of the disk storage subsystem.

Since RAID3 lacks the parallel I/O capability and it entails a long latency time because of the need to drive two or more drives at a time, it exhibits little performance in applications that process small-volume data repeatedly (transaction processing) though it shows a real advantage when running applications that process large-volume data in a single run (e.g., scientific computations).

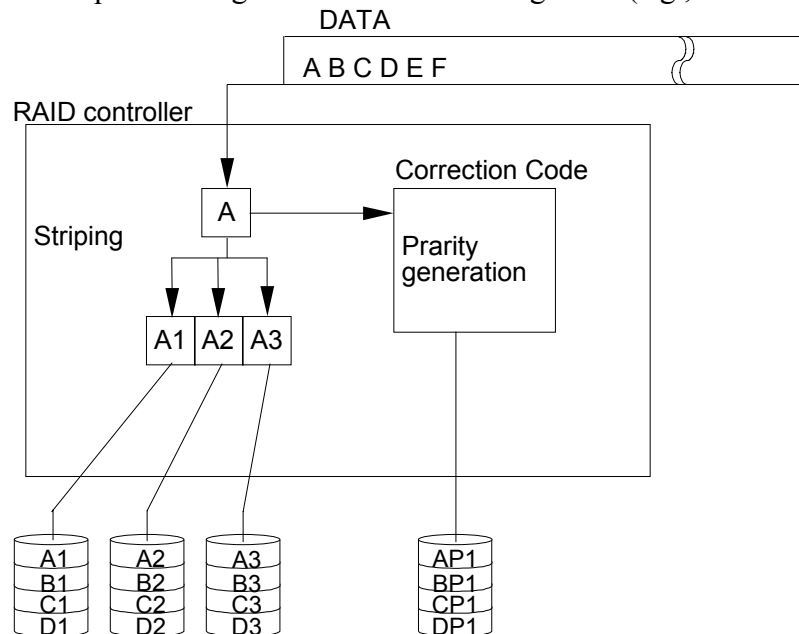


Fig. 1.2 Outline of a RAID3 (3D + 1P) System

### 1.3 RAID5

Small-sized records are intensively read and written randomly in transaction processing. This type of processing generates many I/O requests for transferring small amounts of data. In such a situation, greater importance is placed on increased I/O performance (parallel I/O processing) than on increase in the rate of transferring large-volume data. RAID5 has been introduced to be suitable for this type of transaction processing.

In RAID5, the striping size is set to that of blocks which are transferred in a small-scale I/O processing mode and which are distributed in two or more disk devices (1 parity group). This entails the RAID controller to access each disk device only for one stripe equivalence of data and allows it to perform I/O operations on other disks in parallel, increasing the I/O performance substantially; though its data transfer rate remains the same as that of conventional subsystems in small-scale I/O applications. In large-scale (sequential) I/O applications, it permits the blocks in the same parity group to be processed in parallel as does RAID3, resulting in an increase in data transfer rate. In addition, like RAID3, RAID5 uses a parity disk for improved reliability. In individual writes to single-blocks (small-scale writes), however, this parity scheme raises various problems for the following reasons:

- The parity disk entails rewrites of new parity data.
- It is necessary to read the old parity data and old (before-update data) to generate new parity data.

Consequently, RAID5 entails extra reads from the data and parity disks in small-size write operations (this is called “write penalty”). If the parity data were fixed at a single disk device, the parity disk would be occupied during a single write that is executed to update the parity data, thus making it impossible to perform parallel I/O processing. To alleviate this problem, RAID5 adopts a system of distributing parity data on several disks in the group. This will not solve the write penalty problem completely.

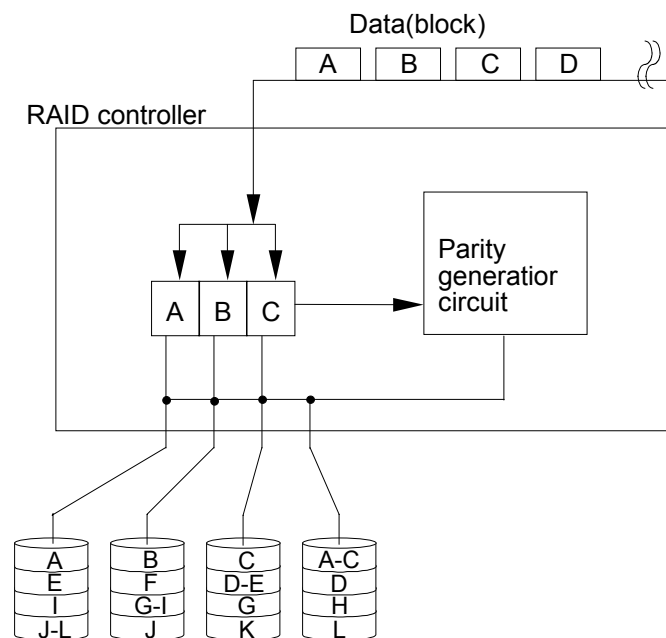


Fig. 1.3 Outline of RAID5 (3D + 1P)

## 1.4 Application of the RAID technologies

RAID5 will not show its stuff during transaction processing if a single I/O operation spans over two or more disk devices (when the stripe size is too small). Consequently, adequate consideration should be given to the stripe size.

In this subsystem, the stripe size is 58 KB which is equivalent to one track of the 3390-3 so that the 3390-3 can be emulated. This is because it is anticipated that a single transaction will not span over two or more tracks when the 3390-3 is emulated. This subsystem also uses cache memory to preclude write penalties from occurring wherever possible. The cache memory can pool the data to be written onto the disk drives and prefetch old data.

- On write penalty

A parity group of this subsystem (level 5) system consists of four disk devices (3D+1P) or eight disk devices (7D+1P). Since the parity data is made up of parity data for three data disks or seven data disks in the group, once a 1 stripe equivalence of partial write occurs in the group during transaction processing, it becomes necessary to regenerate the corresponding parity data in that group.

Since parity data is calculated using the formula shown below, “data established before update,” “parity established before update,” and “data established after update” are required to generate parity. The extra processing required to read this “data before update” is referred to as a write penalty.

$$\begin{aligned} &\text{“New parity data”} \\ &= (\text{“Data before update” EOR “Data after update”}) \text{ EOR “Parity before update”} \end{aligned}$$

## 2. Specifications

### 2.1 Subsystem Specifications

Subsystem specifications are shown in the following table.

Table 2.1 Subsystem specifications

Item		Specifications			
		Entry Model	Full-specification Model		
			1 DKA Pair	2 DKA Pair	
Subsystem	Maximum number of disk drives	128			
	RAID level	RAID5/RAID1			
	RAID group	RAID5:3D+1P / 7D+1P <sup>*2</sup> , RAID1:2D+2D / 4D+4D			
	Maximum number of RAID group	31			
	Maximum number of spare disk drives	4			
	Maximum number of volumes	8,192			
	Support emulation type	Mainframe	3390-3/R,3390-9,3390-L		
		Open system	OPEN-3/9/E/L/V		
Subsystem capacity (GB) (RAID5/3D+1P)	36GB HDD (OPEN-9)	103 - 3,205			
	72GB HDD (OPEN-L)	182 - 5,650			
	73GB HDD (OPEN-L)	219 - 6,780			
	146GB HDD (OPEN-L)	401 - 12,429			
Memory	Cache memory	Memory capacity	2GB - 32GB		
		Battery backup time	48 hours		
	Shared memory	Memory capacity	512MB - 3GB		
		Battery backup time	7 days		
Device I/F	DKA - HDD interface		Fibre(FC-AL) / Dual Port		
	Data transfer rate (MB/S)		max. 100		
	Maximum number of HDD/FC-AL		32	16	
	Installation of DKA		2MP DKA x 2	4MP DKA x 2	4MP DKA x 4
	Maximum number of DKA		2	4	
Channel I/F	Support channel option	Mainframe	Serial channel: 8S    Fibre channel : 8MS / 8ML		
		Open system	1/2Gbps Fiber Short Wavelength: 8HSE/ 8HSF/ 16HSF 1/2Gbps Fiber Long Wavelength: 8HLE/ 8HLF 1.25Gbps NAS Short Wavelength: 4NS		
	Data transfer rate (MB/s)	Serial channel	17		
		MF Fibre channel	100 / 200		
		Fibre channel	100 / 200		
		NAS channel	100		
	Maximum number of CHA options		3	2	
	Number of channel ports	16HSF	16 / 32 / 48	16 / 32	
8S / 8MS / 8ML		8 / 16 / 24	8 / 16		
8HSE/8HLE/8HSF/8HLF		8 / 16 / 24	8 / 16		
4NS		4 / 8 <sup>*3</sup>	4 / 8		
Power	AC Input	3 Phase	60Hz : 200V,208V or 230V 50Hz : 200V,220V,230V,240V 380V,400V or 415V		
		1 Phase	60Hz : 200V,208V or 230V 50Hz : 200V,220V,230V, or 240V		
Dimension	W × D × H (mm)		782 <sup>*1</sup> × 800 × 1,860		
Non stop maintenance	Control PCB		✓		
	CM/SM memory module		✓		
	Power Supply, Fan, Battery		✓		
	Microcode		✓		
Disk drive		✓			

Note. \*1: This includes the thickness of side covers. (16mm × 2)

\*2: RAID5(7D+1P) support only 2 DKA pair model.

\*3: Installation number of DKC-F460I-4NS is restricted to two sets.

## 2.2 Disk Drive Specifications

Disk Drive specifications are shown in the following table.

Table 2.2 Disk Drive Specifications

Item		DKS2B-K36FC DKS2C-K36FC	DKS2C-K72FC	DKR2D-J72FC DKR2E-J72FC DKR2F-J72FC	DKR2E-J146FC DKR2F-J146FC DKS2C-J146FC
Formatted capacity (User area)		35.76GB	71.50GB	72.91GB	143.76GB
Diameter of disk		2.5 inch	2.5 inch	3 inch	3 inch
Number of heads		8/4 <sup>*1</sup>	8	10/5 <sup>*2</sup> /3 <sup>*4</sup>	10/8 <sup>*3</sup> /5 <sup>*5</sup>
Number of disks		4/2 <sup>*1</sup>	4	5/3 <sup>*2</sup> /2 <sup>*4</sup>	5/4 <sup>*3</sup> /3 <sup>*5</sup>
Revolution speed (rpm)		14,904	14,904	10,025	10,025
Seek time (ms) (Read/Write)	MIN.	0.4/0.8	0.4/0.6	0.5/0.7	0.5/0.7
	AVE.	3.8/4.2	3.8/4.2	4.9/5.7	4.9/5.4
	MAX.	7.0/8.0	6.7/7.1	11.0/12.0	10.0/11.0
Average latency time (ms)		2.01	2.01	2.99	2.99
Interface data transfer rate (MB/s)		Max.100	Max.100	Max.100	Max.100
Internal data transfer rate (MB/s)		68.5 to 88.3	74.5 to 111.4	44.2 to 74.0	57.3 to 99.9

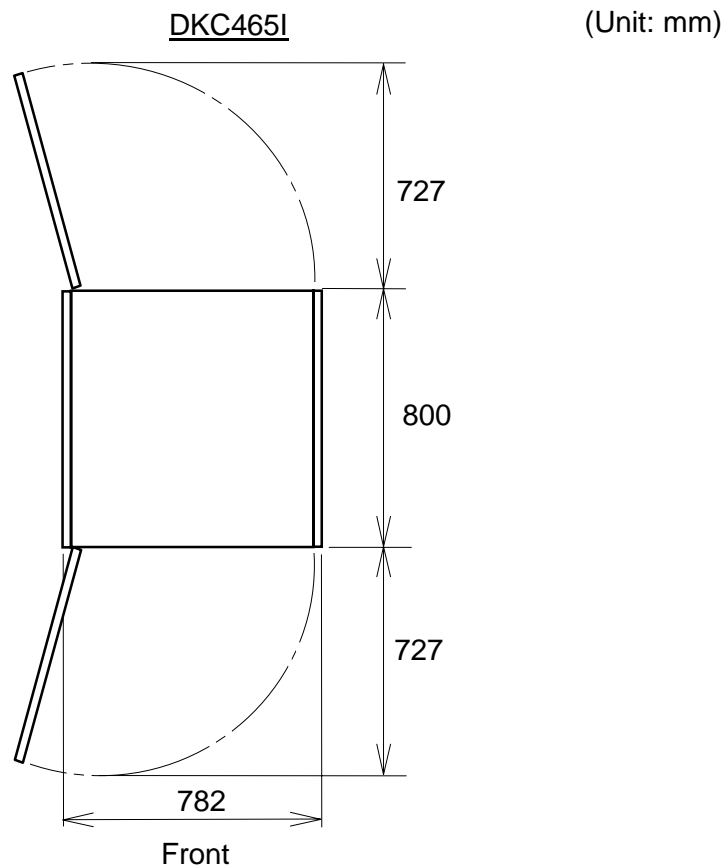
\*1 DKS2C-K36FC

\*2 DKR2E-J72FC \*3 DKS2C-J147FC

\*4 DKR2F-J72FC \*5 DKR2F-J146FC

## 2.3 Physical Specifications

DKC465I physical specifications are shown in the following figures and table.



Item	Entry Model		Full-spec (1DKA Pair) Model		Full-spec (2DKA Pairs) Model	
	36GB	73GB	36GB	73GB	36GB	73GB
Heat Output (kW)	4.81 <sup>*2</sup>	4.94 <sup>*3</sup>	4.89 <sup>*2</sup>	5.02 <sup>*3</sup>	4.81 <sup>*2</sup>	4.94 <sup>*3</sup>
Power Consumption (kVA)	5.19 <sup>*2</sup>	5.32 <sup>*3</sup>	5.28 <sup>*2</sup>	5.41 <sup>*3</sup>	5.19 <sup>*2</sup>	5.32 <sup>*3</sup>
Weight (kg)	770 <sup>*2</sup>	770 <sup>*3</sup>	770 <sup>*2</sup>	770 <sup>*3</sup>	770 <sup>*2</sup>	770 <sup>*3</sup>
Air Flow (m <sup>3</sup> /min.)	25					
Dimension (mm)	Width	782 <sup>*1</sup>				
	Depth	800				
	Height	1,860				

\*1: This includes the thickness of side covers (16 mm × 2).

\*2: These values are used when DKC465I has 32GB cache memory, full installed CHA options and full mounted the 36GB/15K rpm HDDs.

\*3: These values are used when DKC465I has 32GB cache memory, full installed CHA options and full mounted the 73GB HDDs.

## 2.4 Equipment Layout

The DKC465I disk subsystem mounted four HDU boxes, and controls up to 48 disk drives. An outline of frame components of the DKC465I disk subsystem is shown below.

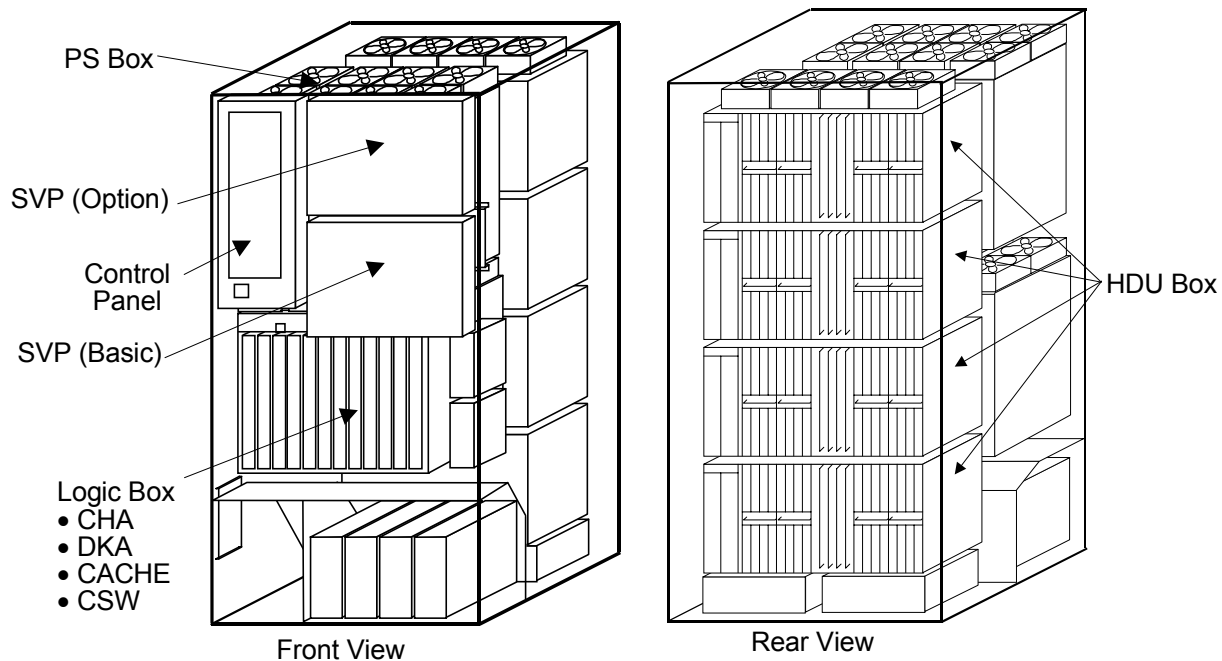


Fig. 2.4 Overview of Disk Subsystem

### 3. Internal Operation

#### 3.1 Hardware Block Diagram

The hardware of the DKC465I disk subsystem is composed of channel adapters, disk adapters, cache memory and disk unit.

DKC465I is lined up with two models of the Entry Model and the Full-specifications Model.

■ **Entry Model**

This model installed DKC-F465I-100 that four FC-AL paths are controlled by two microprocessors, and 128 HDDs (31 RAID groups and 4 spare HDDs) are controlled by 1 DKA pair. In this case, the CHA options can be installed to three sets.

■ **Full-specifications Model**

This model installed DKC-F460I-200 that four FC-AL paths are controlled by four microprocessors. Full-specifications Model is lined up with two models of the 1 DKA Pair Model and the 2 DKA Pairs Model.

• **1 DKA Pair Model**

This model install 1 DKA pair (1 set of DKC-F460I-200), and 128 HDDs (31 RAID groups and 4 spare HDDs) are controlled by 1 DKA pair. In this case, the CHA options can be installed to three sets. (This model is two times of the disk accessing performance of Entry Model.)

• **2 DKA Pairs Model**

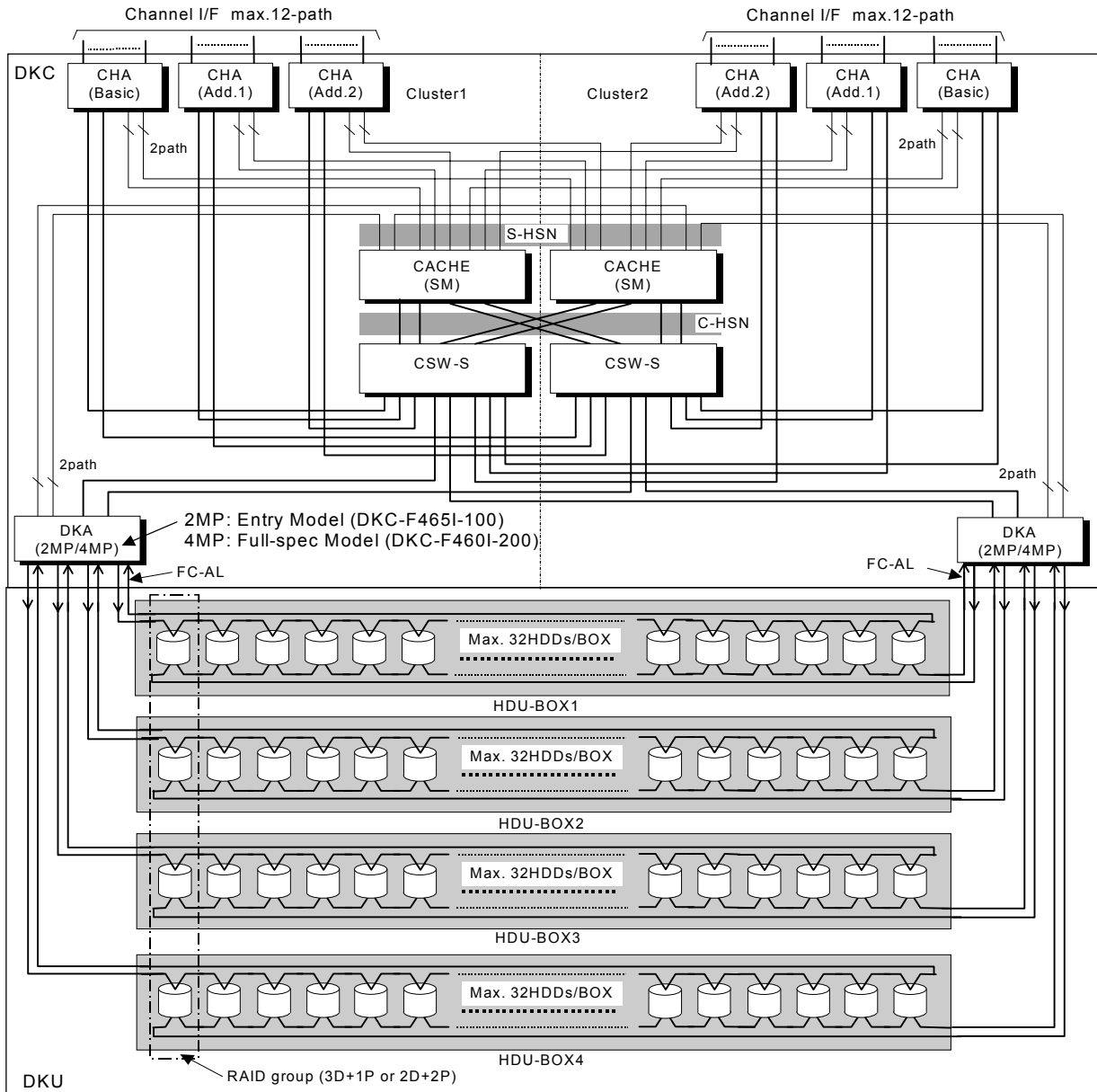
This model installs 2 DKA pairs (2 set of DKC-F460I-200), and 128 HDDs are controlled by 2 DKA pairs. In this case, the installation of the CHA options is restricted to two sets.

Hardware architecture of each model are shown in the following figures.

### (1) Entry Model and Full-spec Model(1 DKA Pair Model) architecture

Entry Model install 1 DKA pair (1 set of DKC-F465I-100) and 128 HDDs (31 RAID groups and 4 spare HDDs) are controlled by 1 DKA pair.

Full-specification Model (1 DKA Pair Model) install 1 DKA pair (1 set of DKC-F460I-200) and 128 HDDs are controlled by 1 DKA pair.

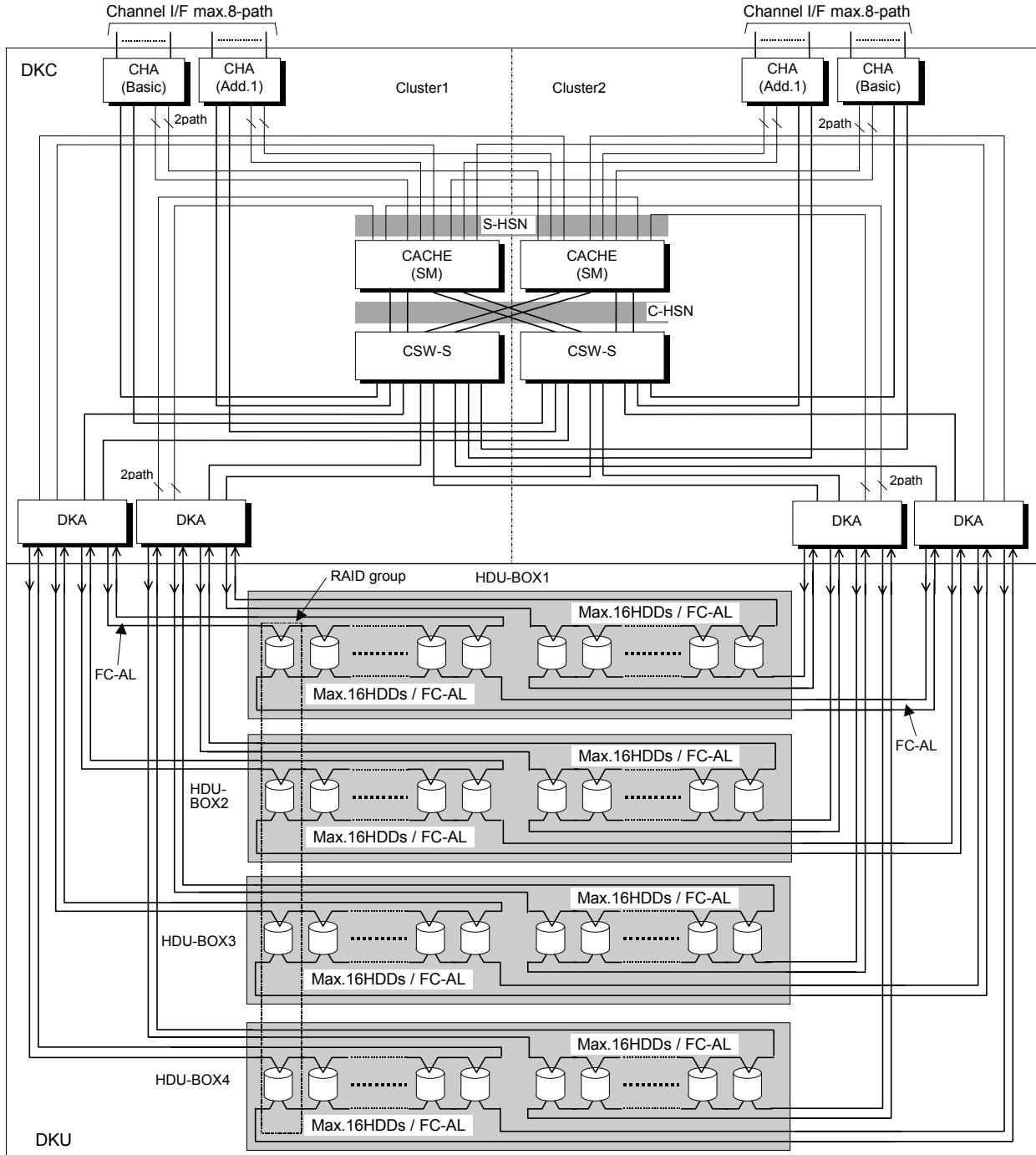


S-HSN: Shared Memory Hierarchical Star Net  
 C-HSN: Cache Memory Hierarchical Star Net  
 CSW: Cache Switch

In the maximum configuration of the subsystem,  
 up to 32 HDDs can be connected through one FC-AL.

**(2) Full-spec Model (2 DKA Pairs Model)**

Full-specification Model (2 DKA Pairs Model) installs 2 DKA Pairs (2 set of DKC-F460I-200), and 2 DKA pairs control 128 HDDs. In this case, the installation of the CHA options is restricted to two sets.



S-HSN: Shared Memory Hierarchical Star Net  
 C-HSN: Cache Memory Hierarchical Star Net  
 CSW: Cache Switch

In the maximum configuration of the subsystem, up to 16 HDDs can be connected through one FC-AL.

## 3.2 Software Organization

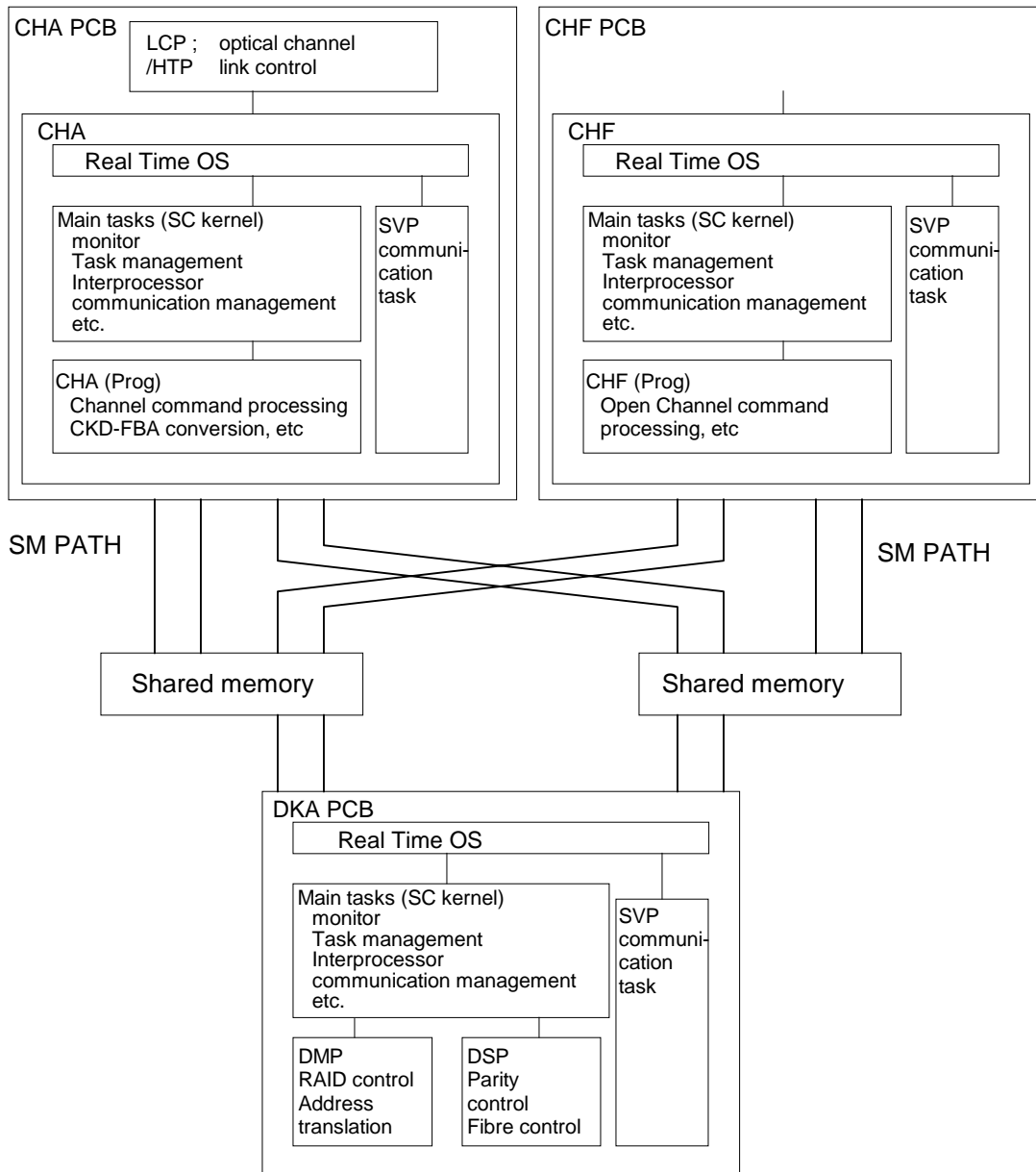


Fig. 3.2 Software Organization

### Real Time OS:

A basic OS for controlling the RISC processor. Its primary tasks are to control and switch between the main tasks and SVP communication tasks.

### Main tasks:

Made up of DKC control tasks (CHA Prog, CHF Prog, DMP, DSP) and the SC kernel tasks that supervise the DKC control tasks. They switch the control tasks by making use of the SC kernel's task switching facility.

**SVP communication task:**

Controls the communication with the SVP.

**LCP (Link Control Program):**

Controls the optical channel links.

**HTP (Hyper Transfer Program):**

Controls the FICON channel links.

**CHA (Prog):**

Is a channel command control layer that processes channel commands and controls cache and data transfer operations. It is located in the CHA. CHA Prog is recognized by the logical volume number and logical block number.

**CHF (Prog):**

Is a open channel command control layer that processes open channel commands and controls cache and data transfer operations. It is located in the CHF. CHF Prog is recognized by the logical volume number and logical block number.

**DMP (Disk Master Program):**

Is a RAID control layer and provides cache control, logical-to-physical address translation, and RAID control functions. DMP is located in the DKA. DMP is recognized by the logical volume number and logical block number.

**DSP (Disk Slave Program):**

Is a Fibre drive control layer and provides Fibre control, drive data transfer control, and parity control functions. It is located in the DKA. DSP is recognized by the physical volume number and LBA number.

**Shared memory:**

Stores the shared information about the subsystem and the cache control information (director names). This type of information is used for the exclusive control of the subsystem. Like CACHE, shared memory is controlled as two areas of memory and fully non-volatile.

**SM PATH (Shared Memory Access Path):**

Access Path from the processors of CHA, CHF, DKA, PCB to Shared Memory.

### 3.3 Data Formats

#### (1) Data Conversion Overview

Since the disk subsystem uses SCSI drives, data in the CKD format are converted to the FBA format on an interface before being written on the drives. The data format is shown in Fig. 3.3.1.

CKD-to-FBA conversion is carried out by the CHA. Data is stored in cache (in the DKC) in the FBA format. Consequently, the drive need not be aware of the data format when transferring to and receiving data from cache.

Each field of the CKD-format record is left-justified and the data is controlled in units of 528-byte subblocks (because data is transferred in 16-byte units). Each field is provided with data integrity code (LRC). An address integrity code (LA: logical address) is appended to the end of each subblock. A count area (C area) is always placed at the beginning of the subblock.

Four subblocks make up a single block. The first subblock of a block is provided with T information (record position information).

If a record proves not to fit in a subblock during CKD-to-FBA conversion, a field is split into the next subblock when it is recorded. If a record does not fill a subblock, the subblock is padded with 00s, from the end of the last field to the LA.

On a physical drive, data is recorded data fields in 520-byte units (physical data format). The format of the LA in the subblock in cache is shown in Fig. 3.3.1. The last 8 bytes of the LA area are padding data which is insignificant (the reason for this is because data is transferred to cache in 16 byte units). When data is transferred to a drive from cache, the last 8 bytes of each LA area are discarded and 520 bytes are transferred.

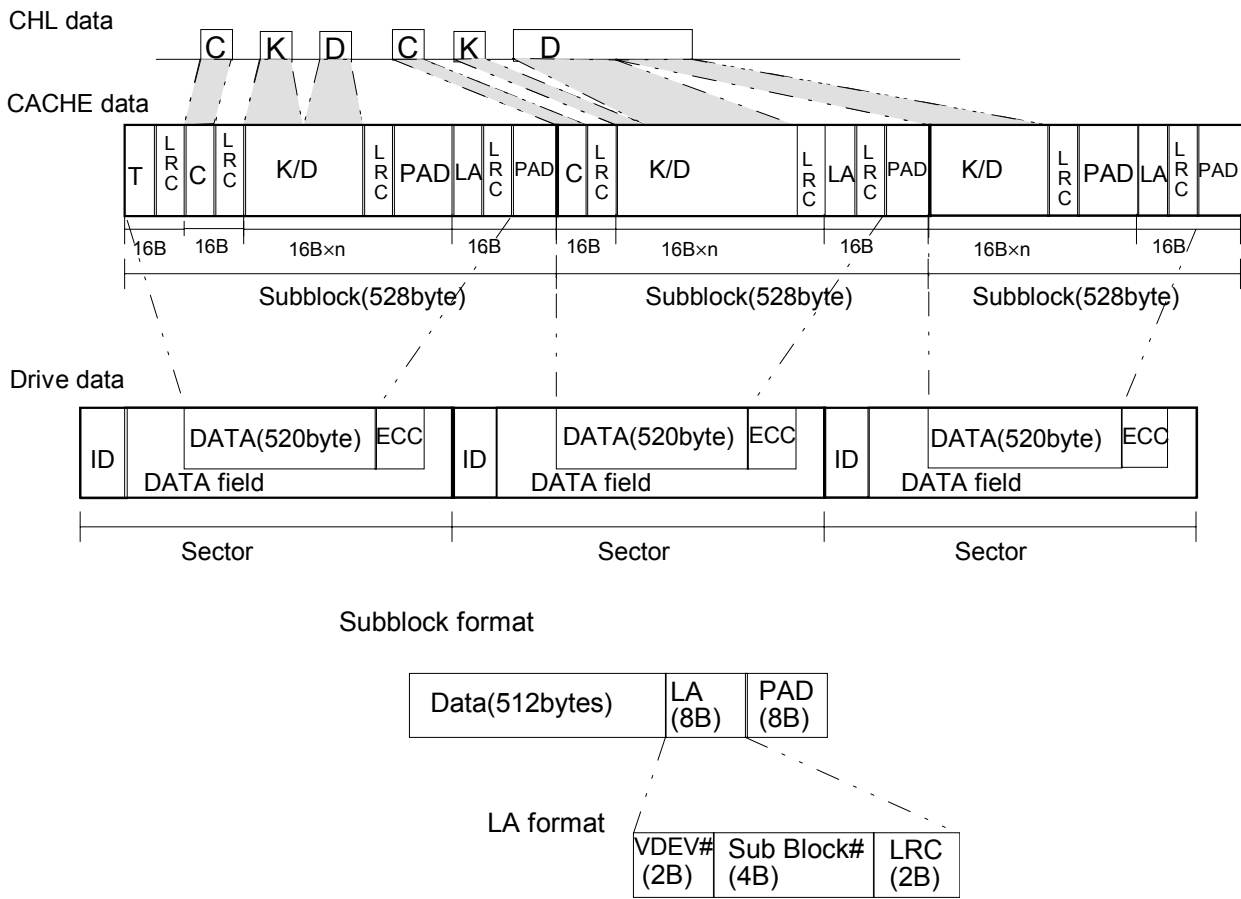


Fig. 3.3.1 Data Format

## (2) Block format

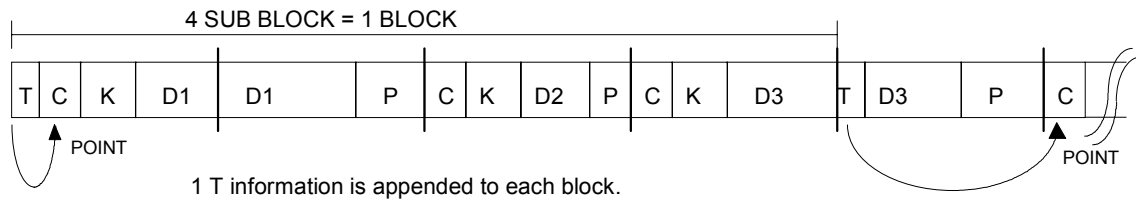


Fig. 3.3.2 Block Format

The RAID system records T information for each block of 4 subblocks as positional information that is used during record search. This unit of data is called a block.

1 block = 4 subblocks = 2 KB

The T information is 16 bytes long. However, only two bytes have meaning and the remaining 14 byte positions are padded with 0s. The reason for this is the same as that for the LA area. Unlike the LA, the insignificant bytes are also stored on the drive as are.

As seen from Fig. 3.3.2, the T information points to the closest count area in its block in the form of an SN (segment number). The drive computes the block number from the sector number with the SET SECT and searches the T information for the target block. From the T information, the drive computes the location of the closest count area and starts processing the block at the count area. This means that the information plays the role of the AM of the conventional disk storage.

## (3) Data integrity provided

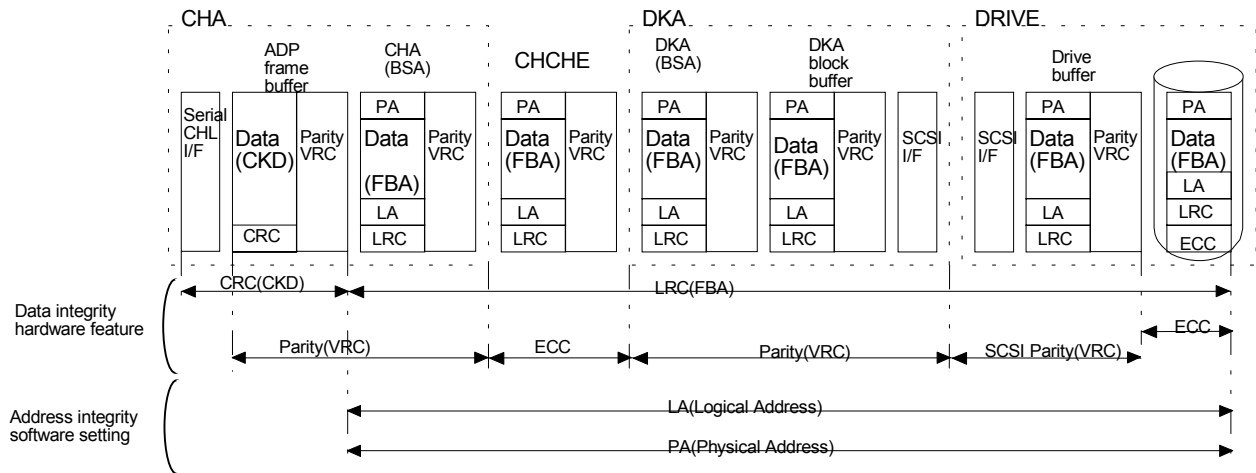


Fig. 3.3.3 Outline of Data Integrity

In the DKC and DKU system, a data integrity code is appended to the data being transferred at each component as shown in Fig. 3.3.3. Since data is striped onto two or more disk devices and the address integrity code is also appended. The data integrity codes are appended by hardware and the address integrity codes by software.

### 3.4 Cache Management

Since the DKC requires no through operation, its cache system is implemented by two memory areas called cache A and cache B so that write data can be duplexed. To prevent data loss due to power failures, cache is made non-volatile by being fully battery-backed (48 hours). This dispenses with the need for the conventional NVS.

The minimum unit of cache is the 16 KB segment. Cache is destaged in segment units. Emulation Disk type at three or four segments make up one slot. The read and write slots are always controlled in pair. Cache data is enqueued and dequeued usually in slot units. In real practice, the segments of the same slot are not always stored in a contiguous area in cache, but are stored in discreet areas. These segments are controlled using CACHE-SLCB and CACHE-SGCB so that the segments belonging to the same slot are seemingly stored in a contiguous area in cache.

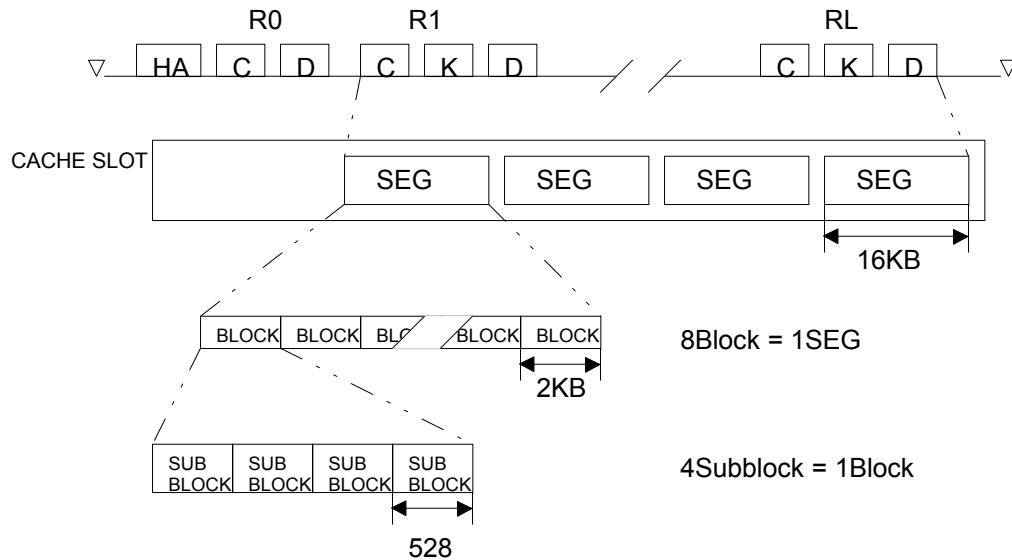


Fig. 3.4 Cache Data Structure

For increased directory search efficiency, a single virtual device (VDEV) is divided into 16-slot groups which are controlled using VDEV-GRPP and CACHE-GRPT.

1 cache segment = 8 blocks = 32 subblocks = 16 KB

1 slot = 1 stripe = 4 segments = 64 KB

The directories VDEV-GRPP, CACHE-GRPT, CACHE-SLCB, and CACHE-SGCB are used to identify the cache hit and miss conditions. These control tables are stored in the shared memory.

In addition to the cache hit and miss control, the shared memory is used to classify and control the data in cache according to its attributes. Queues are something like boxes that are used to classify data according to its attributes.

Basically, queues are controlled in slot units (some queues are controlled in segment units). Like SLCB-SGCB, queues are controlled using a queue control table so that queue data of the seemingly same attribute can be controlled as a single data group. These control tables are briefly described below.

## (1) Cache control tables (directories)

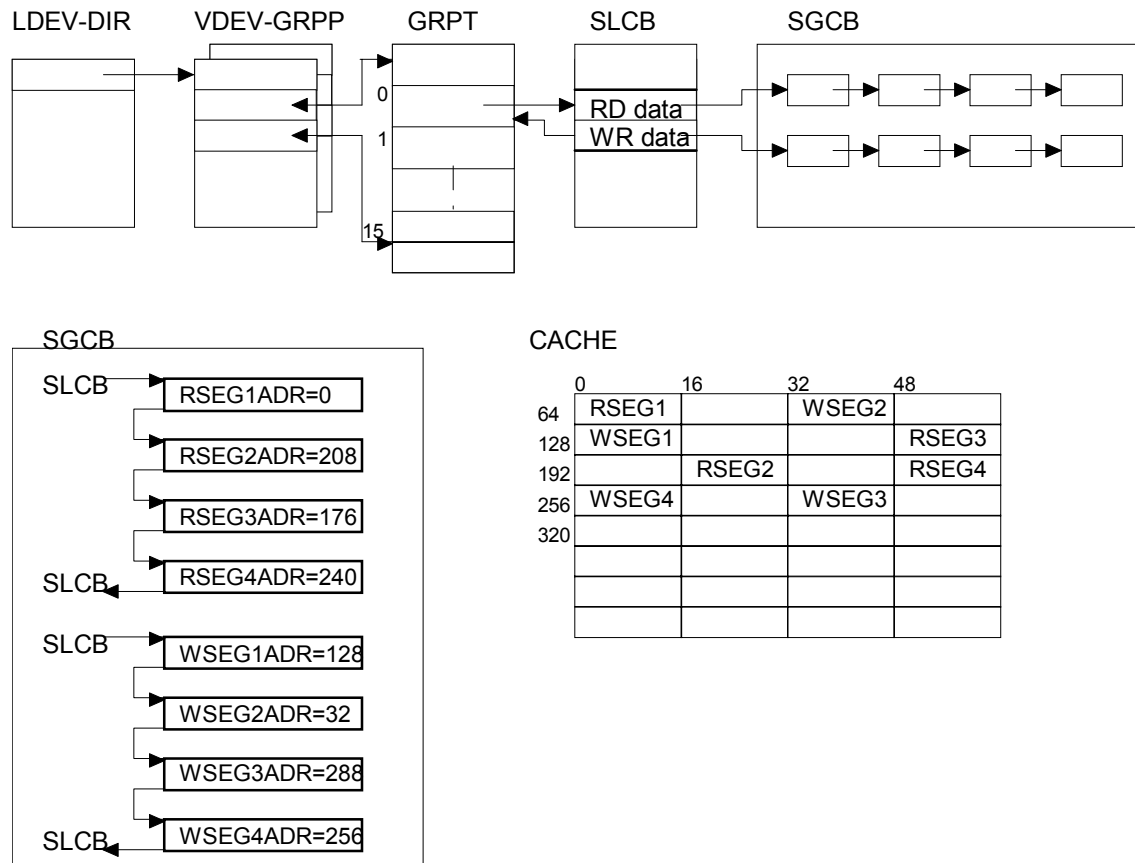


Fig. 3.4.1 Cache Control Tables

**LDEV-DIR (Logical DEV-directory):**

Contains the shared memory addresses of VDEV-GRPPs for an LDEV. LDEV-DIR is located in the local memory in the CHA.

**VDEV-GRPP (Virtual DEV-group Pointer):**

Contains the shared memory addresses of the GRPTs associated with the group numbers in the VDEV.

**GRPT (Group Table):**

A table that contains the shared memory address of the SLCBs for 16 slots in the group. Slots are grouped to facilitate slot search and to reduce the space for the directory area.

**SLCB (Slot Control Block):**

Contains the shared memory addresses of the starting and ending SGCBs in the slot. One or more SGCBs are chained. The SLCB also stores slot status and points to the queue that is connected to the slot. The state transitions of clean and dirty queues occur in slot units. The processing tasks reserve and release cache areas in this unit.

**SGCB (Segment Control Block):**

Contains the control information about a cache segment. It contains the cache address of the segment. It is used to control the staged subblock bit map, dirty subblock bitmap, and other information. The state transitions of only free queues occur in segment units.

## (2) Cache control table access method (hit/miss identification procedure)

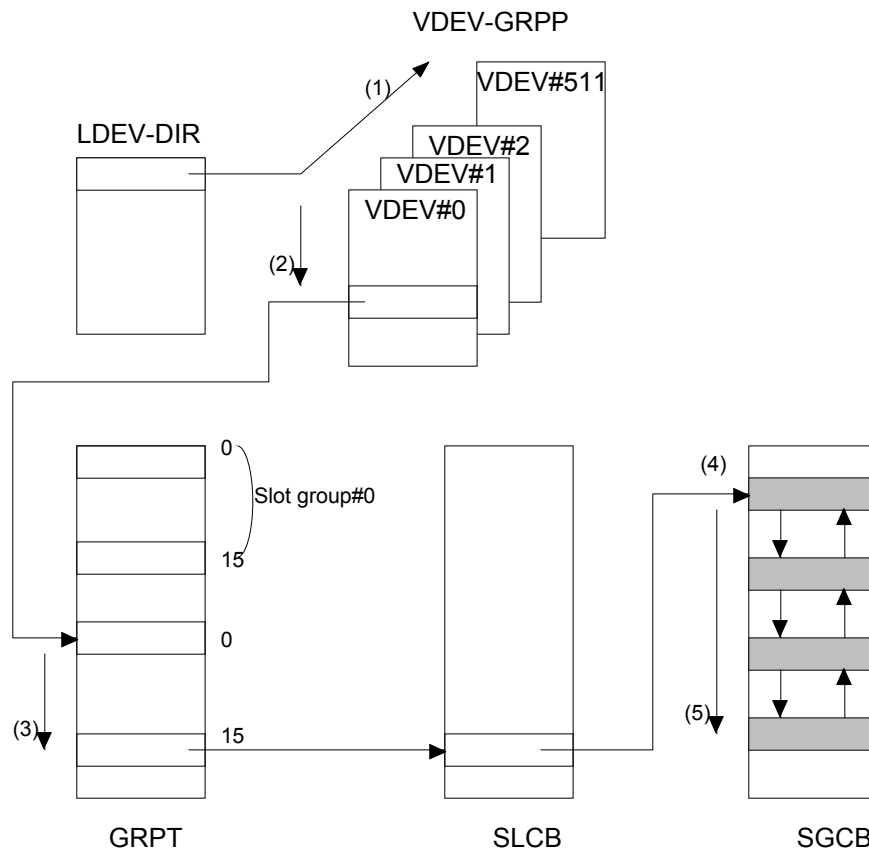


Fig. 3.4.2 Outline of Cache Control Table Access

1. The current VDEV-GRPP is referenced through the LDEV-DIR to determine the hit/miss condition of the VDEV-groups.
2. If a VDEV-group hits, CACHE-GRPT is referenced to determine the hit/miss condition of the slots.
3. If a slot hits, CACHE-SLCB is referenced to determine the hit/miss condition of the segments.
4. If a segment hits, CACHE-SGCB is referenced to access the data in cache.

If a search miss occurs during the searches from 1. through 4., the target data causes a cache miss.

#### Definition of VDEV number

Since the host processor recognizes addresses only by LDEV, it is unaware of the device address of the parity device. Accordingly, the RAID system is provided with a VDEV address which identifies the parity device associated with an LDEV. Since VDEVs are used to control data devices and parity devices systematically, their address can be computed using the following formulas:

Data VDEV number = LDEV number

Parity VDEV number = 1024 + LDEV number

From the above formulas, the VDEV number ranges from 0 to 2047.

### (3) Queue structures

The DKC and DKU uses 10 types of queues to control data in cache segments according to its attributes. These queues are explained below.

#### - CACHE-GRPT free queue

This queue is used to control segments that are currently not used by CACHE-GRPT (free segments) on an FIFO (First-In, First-Out) basis. When a new table is added to CACHE-GRPT, the segment that is located by the head pointer of the queue is used.

#### - CACHE-SLCB free queue

This queue is used to control segments that are currently not used by CACHE-SLCB (free segments) on an FIFO basis. When a new slot is added to CACHE-SLCB, the segment that is located by the head pointer of the queue is used.

#### - CACHE-SGCB free queue

This queue is used to control segments that are currently not used by CACHE-SGCB (free segments) on an FIFO basis. When a new segment is added to CACHE-SGCB, the segment that is located by the head pointer of the queue is used.

#### - Clean queue

This queue is used to control the segments that are reflected on the drive on an LRU basis.

#### - Bind queue

This queue is defined when the bind mode is specified and used to control the segments of the bind attribute on an LRU basis.

#### - Error queue

This queue controls the segments that are no longer reflected on the drive due to some error (pinned data) on an LRU basis.

#### - Parity in-creation queue

This queue controls the slots (segments) that are creating parity on an LRU basis.

#### - DFW queue (host dirty queue)

This queue controls the segments that are not reflected on the drive in the DFW mode on an LRU basis.

#### - CFW queue (host dirty queue)

This queue controls the segments that are not reflected on the drive in the CFW mode on an LRU basis.

#### - PDEV queue (physical dirty queue)

This queue controls the data (segments) that are not reflected on the drive and that occur after a parity is generated. Data is destaged from this queue onto the physical DEV. There are 32 PDEV queues per physical DEV.

The control table for these queues is located in the shared memory and points to the head and tail segments of the queues.

## (4) Queue state transitions

Figure 3.4.4 shows the state transitions of the queues used in. A brief description of the queue state transitions follows.

## - State transition from a free queue

When a read miss occurs, the pertinent segment is staged and enqueued to a clean queue. When a write miss occurs, the pertinent segment is temporarily staged and enqueued to a host dirty queue.

## - State transition from a clean queue

When a write hit occurs, the segment is enqueued to a host dirty queue. Transition from clean to free queues is performed on an LRU basis.

## - State transition from a host dirty queue

The host dirty queue contains data that reflects no parity. When parity generation is started, a state transition occurs to the parity in-creation queue.

## - State transition from the parity in-creation queue

The parity in-creation queue contains parity in-creation data. When parity generation is completed, a transition to a physical dirty queue occurs.

## - State transition from a physical dirty queue

When a write hit occurs in the data segment that is enqueued in a physical dirty queue, the segment is enqueued into the host dirty queue again. When destaging of the data segment is completed, the segment is enqueued into a queue (destaging of data segments occur asynchronously on an LRU basis).

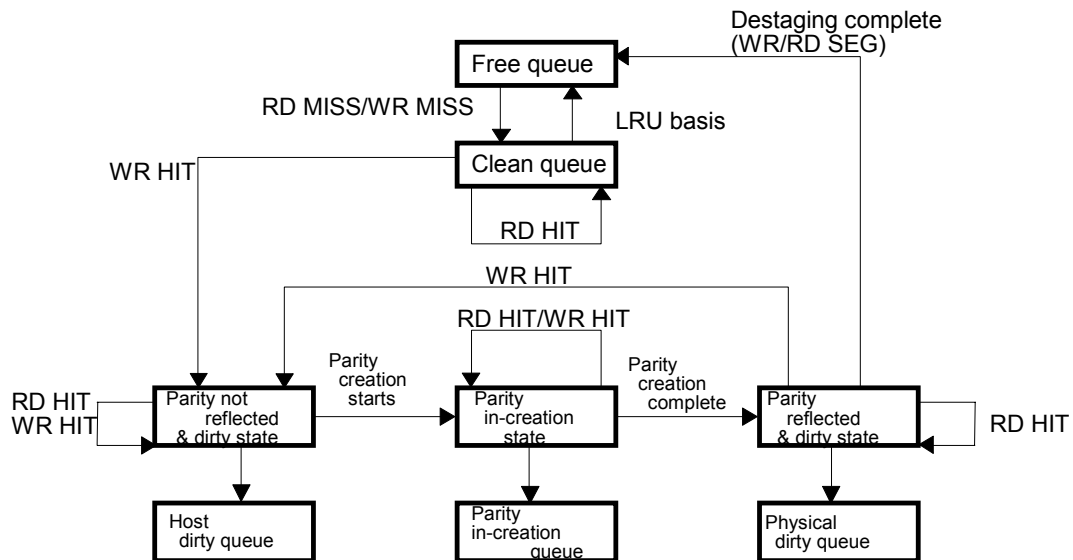
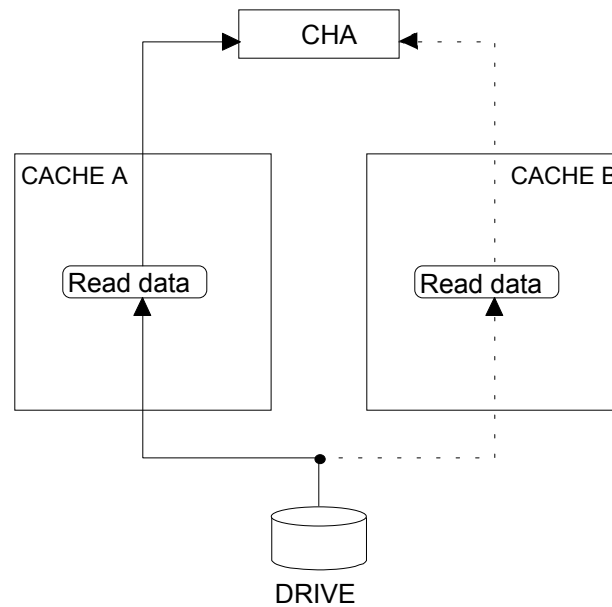


Fig. 3.4.4 Queue Segment State Transition Diagram

## (5) Cache usage in the read mode



The cache area to be used for destaging read data is determined depending on whether the result of evaluating the following expression is odd or even:

$$(\text{CYL\#} \times 15 + \text{HD\#}) / 16$$

The read data is destaged into area A if the result is even and into area B if the result is odd.

Fig. 3.4.5 Cache Usage in the Read Mode

Read data is not duplexed and its destaging cache area is determined by the formula shown in Fig. 3.4.5. Staging is performed not only on the segments containing the pertinent block but also on the subsequent segments up to the end of track (for increased hit ratio). Consequently, one track equivalence of data is prefetched starting at the target block. This formula is introduced so that the cache activity ratios for areas A and B are even. The staged cache area is called the cache area and the other area NVS area.

## (6) Cache usage in the write mode

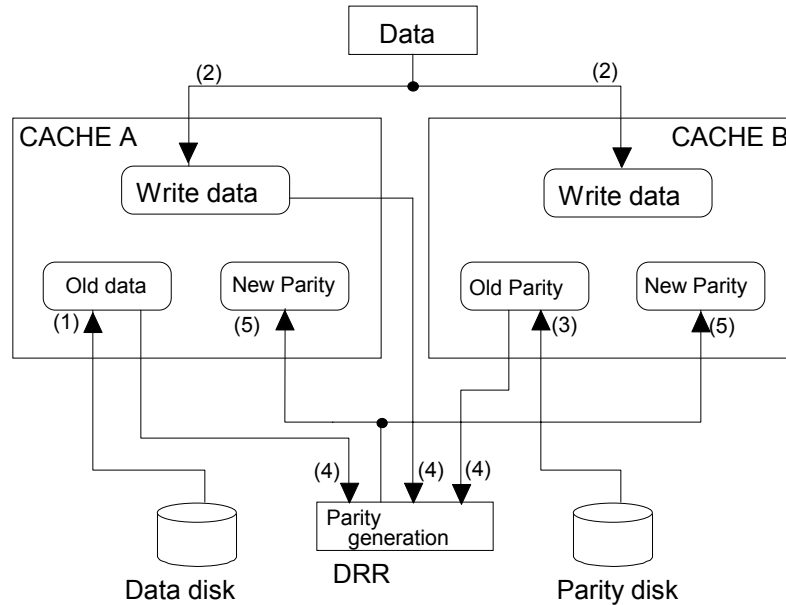


Fig. 3.4.6 Cache Usage in the Write Mode

This system handles write data (new data) and read data (old data) in separate segments as shown in Fig. 3.4.6 (not overwritten as in the conventional systems), whereby compensating for the write penalty.

- (1) If the write data in question causes a cache miss, the data from the block containing the target record up to the end of the track is staged into a read data slot.
- (2) In parallel with step (1), the write data is transferred when the block in question is established in the read data slot.
- (3) The parity data for the block in question is checked for a hit or miss condition and, if a cache miss condition is detected, the old parity is staged into a read parity slot.
- (4) When all data necessary for generating new parity is established, it is transferred to the DRR circuit in the DKA.
- (5) When the new parity is completed, the DRR transfers it into the write parity slots for cache A and cache B (the new parity is handled in the same manner as the write data).

The reason for writing the write data into both cache areas is that data will be lost if a cache error occurs when it is not yet written on the disk.

Although two cache areas are used as explained above, the read data (including parity) is staged into either cache A or cache B simply by duplexing only the write data (including parity) (in the same manner as in the read mode).

## (7) CFW-inhibited write-operation (with Cache single-side error)

The non RAID-type Disk systems write data directly onto disk storage in the form of cache through, without performing a DFW, when a cache error occurs. In this system, cache must always be passed, which fact disables the through operation. Consequently, the write data is duplexed, and a CFW-inhibited write-operation is performed; that is, when one cache subsystem goes down, the end of processing status is not reported until the data write in the other cache subsystem is completed. This process is called CFW-inhibited write-operation.

The control information necessary for controlling cache is stored in the shared memory.

## (8) Shared memory

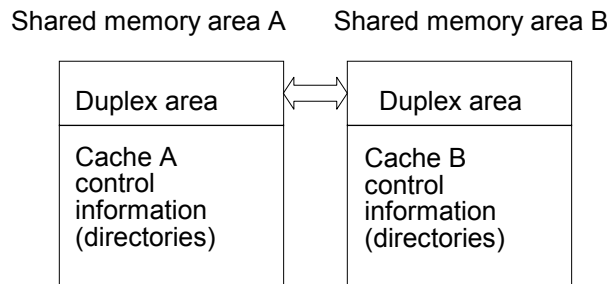


Fig. 3.4.7 Outline of Shared Memory

This system has two areas of cache memory, shown in Fig. 3.4.7, as it has two areas of cache memory. One part of its internal data is fully duplexed (this serves as the role of the conventional ECM). The other part of the shared memory area contains the control information about the corresponding cache area (shared memory area A for cache A and shared memory area B for cache B). If an error occurs on one side of shared memory (A or B), the corresponding cache area becomes inoperative (equivalent to a cache error).

Like cache, shared memory is made non-volatile (approximately 96 hours) to prevent data loss in case of power failures.

### 3.5 Destaging Operations

#### (1) Cache management in the destage mode (RAID5)

Destaging onto a drive is deferred until parity generation is completed. Data and parity slot transitions in the destage mode occur as shown in Fig. 3.5.1.

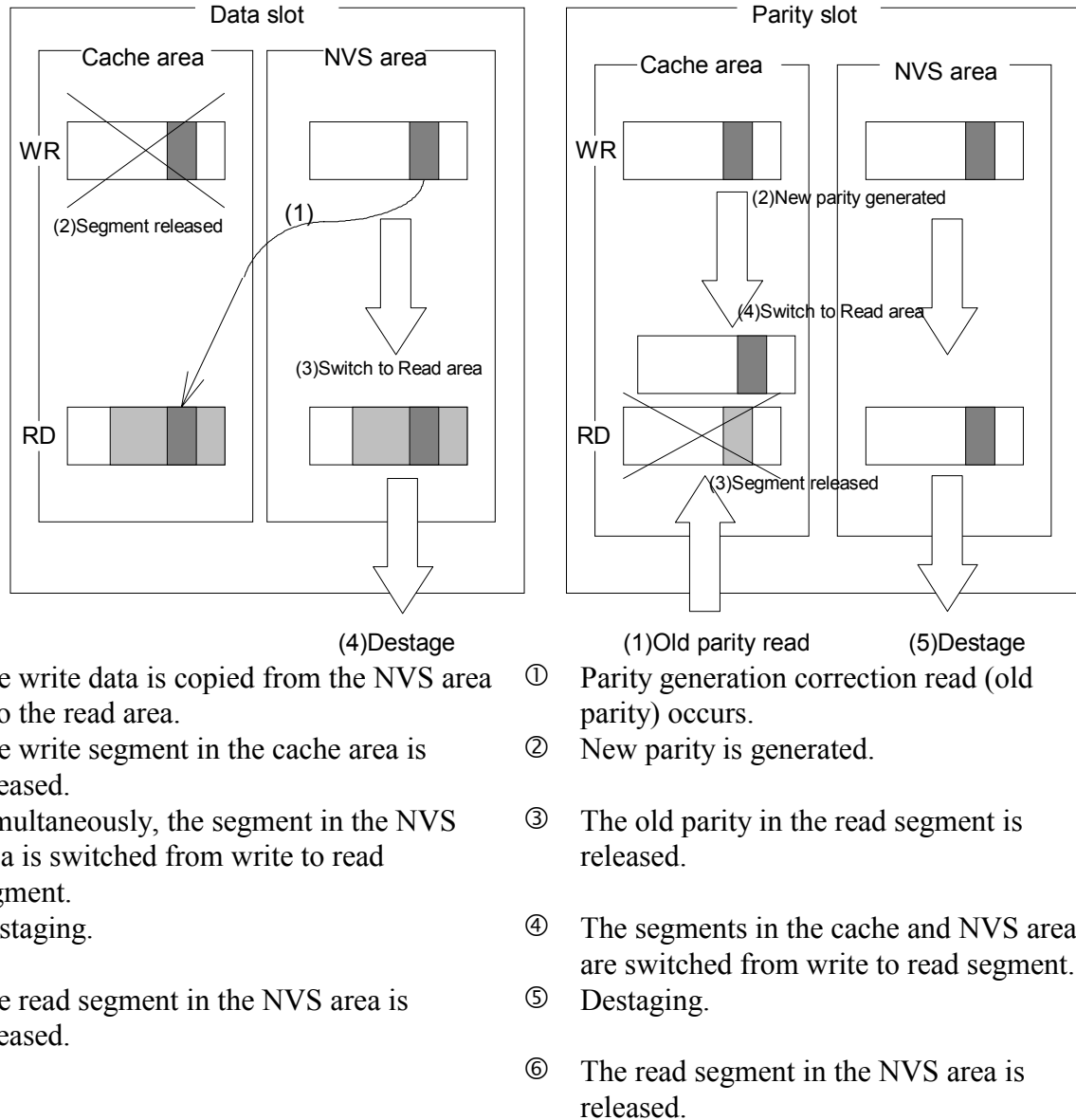


Fig. 3.5.1 Cache Operation in the Destage Mode

Write data is stored in write segments before parity is generated but stored in read segments after parity is generated. When drive data is stored, therefore, the data from the read segment is transferred.

- (2) Cache management in the destage mode (RAID1)  
Data slot is destaged to primary/secondary drive.

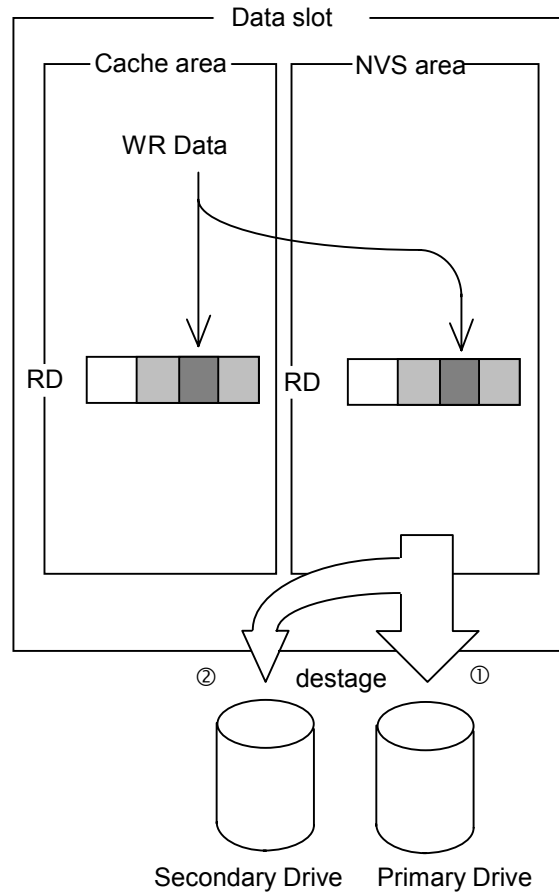


Fig. 3.5.3 RAID1 asynchronous destage

- ① Destage to primary drive.
- ② Destage to secondary drive.
- ③ The data read segment in the NVS area is released.

### (3) Blocked data write

The purpose of blocked data write is to reduce the number of accesses to the drive during destaging, whereby increasing the subsystem performance. There are three modes of blocked data write: single-stripe blocking, multiple-stripe blocking, and drive blocking. These modes are briefly explained below.

#### - Single-stripe blocking

Two or more dirty segments in a stripe are combined into a single dirty data block. Contiguous dirty blocks are placed in a single area. If an unloaded block exists between dirty blocks, the system destages the dirty blocks separately at the unloaded block. If a clean block exists between dirty blocks, the system destages the blocks including the clean block.

#### - Multiple-stripe blocking

The sequence of stripes in a parity group are blocked to reduce the number of write penalties. This mode is useful for sequential data transfer.

#### - Drive blocking

In the drive blocking mode, blocks to be destaged are written in a block with a single drive command if they are contiguous when viewed from a physical drive to shorten the drive's latency time.

The single- and multiple-stripe blocking modes are also called in-cache blocking modes. The DMP determines which mode to use. The drive blocking mode is identified by the DSP.

### 3.6 Operations Performed when Drive Errors Occur

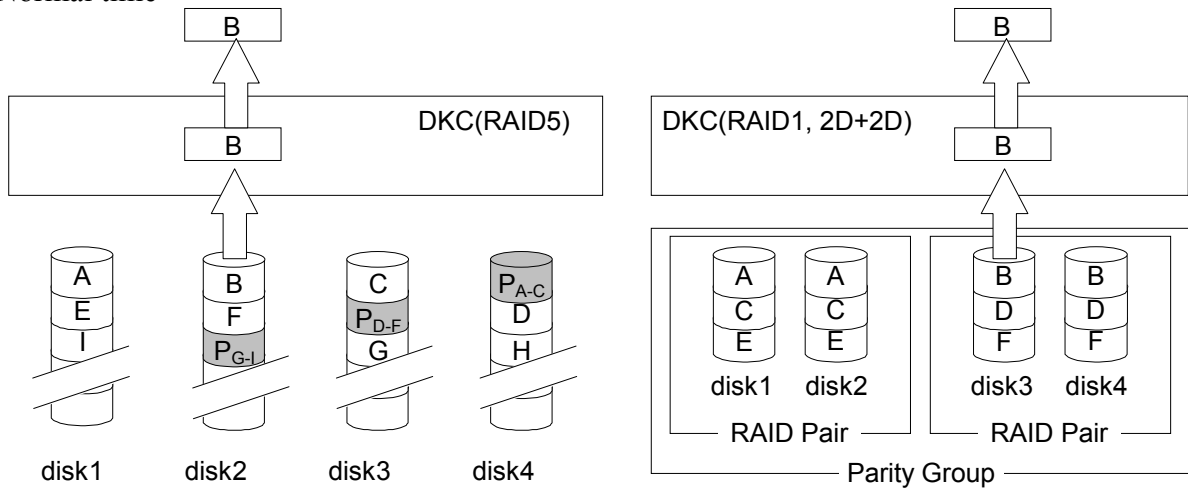
(1) I/O operations performed when drive errors occur

This system can recover target data using parity data and data stored on normal disk storage even when it cannot read data due to errors occurring on physical drives. This feature ensures non-disruptive processing of applications in case of drive errors. This system can also continue processing for the same reason in case errors occur on physical drives while processing write requests.

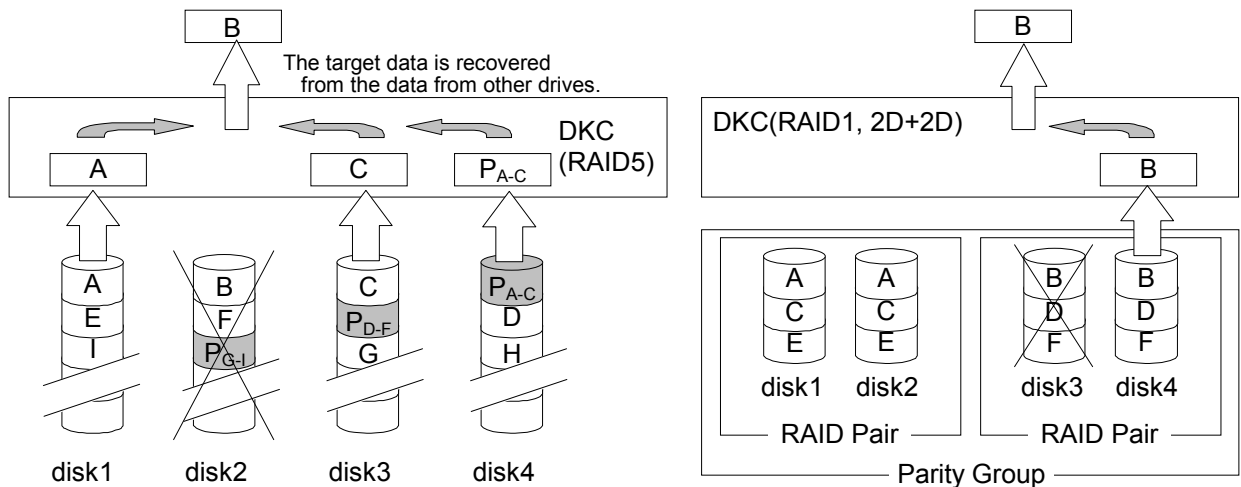
Figure 3.6.1 shows the outline of data read processing in case a drive error occurs.

Request for reading data B

(i) Normal time



(ii) When a disk error occurs



A,B,C... ; Data (A=A', B=B', C=C')

P ; Parity data

Fig. 3.6.1 Outline of Data Read Processing

## (2) Data integrity feature and drive errors

This system uses spare disk drives and reconfigures any drives that are blocked due to errors or drives whose error count exceeds a specified limit value using spare disks.

Since this processing is executed on the host in the background, this system can continue to accept I/O requests. The data saved on spare disks are copied into the original location after the error drives are replaced with new ones.

### 1. Dynamic sparing

This system keeps track of the number of errors that occurred, for each drive, when it executes normal read or write processing. If the number of errors occurring on a certain drive exceeds a predetermined value, this system considers that the drive is likely to cause unrecoverable errors and automatically copies data from that drive to a spare disk. This function is called dynamic sparing. In RAID1 method, this system is same as RAID5 dynamic sparing.

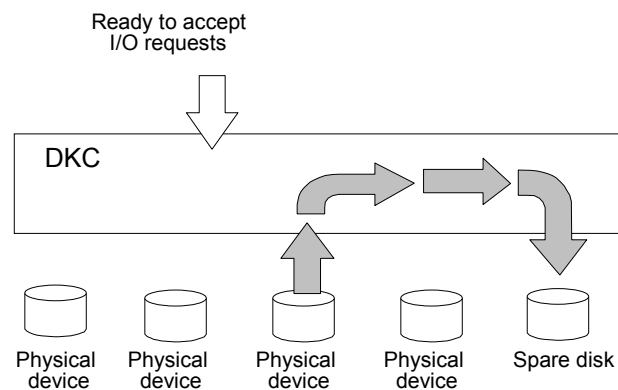


Fig. 3.6.2 Outline of the Dynamic Sparing Function

### 2. Correction copy

When this system cannot read or write data from or to a drive due to an error occurring on that drive, it regenerates the original data for that drive using data from the other drives and the parity data, and copies it onto a spare disk. In RAID1 method, this system copies data from the another drive to a spare disk.

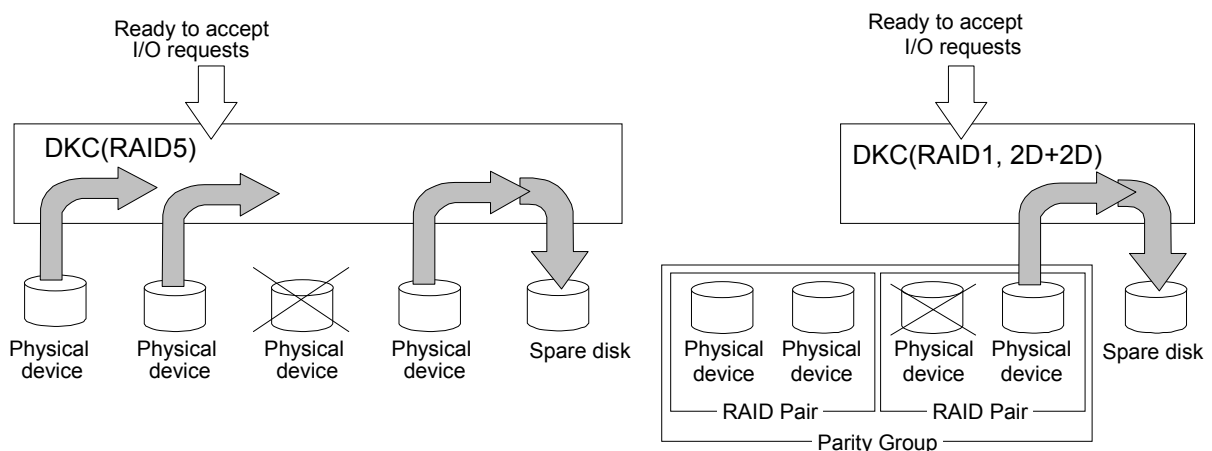


Fig. 3.6.3 Outline of the Correction Copy Function

### 3.7 Inter Mix of Drives and Emulation types

#### 3.7.1 Drives to be Connected

The models of disk units which are connectable with the RAID450 disk subsystem and the specifications of each disk unit are shown in Table 2.2 ([THEORY02-20](#)).

The RAID450 disk subsystem can connect up to 1024 disk (Single Cabinet Model : 128 disks) drives mentioned above, though the number of connectable disk drives varies with the emulation types and the RAID configuration. These will be explained in detail in Section 3.7.2.

SVP displays each drive model as the following table.

Disk drive model	SVP screen
DKS2B-K36FC	DKS2B-K036FC
DKS2C-K36FC	DKS2C-K036FC
DKR2D-J72FC	DKR2D-J072FC
DKR2E-J72FC	DKR2E-J072FC
DKR2F-J72FC	DKR2F-J072FC
DKS2C-K72FC	DKS2C-K072FC
DKR2E-J146FC	DKR2E-J146FC
DKR2F-J146FC	DKR2F-J146FC
DKS2C-J146FC	DKS2C-J146FC

### 3.7.2 Emulation Device Type

Refer to 3.5 Volume Specification in OPENPLATFORM SECTION about OPEN Volume Type.

(1) Multi Cabinet Model emulation list.

The emulation types of disk controller and disk units of the RAID450 Multi Cabinet Model are shown in Tables 3.7.2.1 to 3.7.2.3.

Table 3.7.2.1 List of RAID450 Model number

Model Number	Disk drive model	RAID Level
DKU-F455I-36K4	DKS2B-K36FC×4 DKS2C-K36FC×4	RAID5(3D+1P)
DKU-F455I-72J4	DKR2D-J72FC×4 DKR2E-J72FC×4 DKR2F-J72FC×4	/RAID1(2D+2D)
DKU-F455I-72K4	DKS2C-K72FC×4	
DKU-F455I-146J4	DKR2E-J146FC×4 DKR2F-J146FC×4	
DKU-F455I-146JF	DKR2E-J146FC×4 DKR2F-J146FC×4 DKS2C-J146FC×4	
DKU-F455I-146JQ	DKS2C-J146FC×4	
DKU-F455I-36K4×2	DKS2B-K36FC×8 DKS2C-K36FC×8	
DKU-F455I-72J4×2	DKR2D-J72FC×8 DKR2E-J72FC×8 DKR2F-J72FC×8	
DKU-F455I-72K4×2	DKS2C-K72FC×8	
DKU-F455I-146J4×2	DKR2E-J146FC×8 DKR2F-J146FC×8	
DKU-F455I-146JF×2	DKR2E-J146FC×8 DKR2F-J146FC×8 DKS2C-J146FC×8	
DKU-F455I-146JQ×2	DKS2C-J146FC×8	

Note : As for RAID1, the two connection of a parity groups is possible (8HDDs).  
In this case the number of volume become two times.

Table 3.7.2.2 List of RAID450 Multi Cabinet Model Emulation Types for RAID5 (1/2)

Emulation Type	Item	Emulation contents		
	DKC	3990-6/3990-6E/I-2105		I-2105
	DKU	3390-9	3390-3/3R	3390-L *1
Storage capacity (G byte/volume)		8.51	2.84	27.8
Number of volumes / parity groups	DKU-F455I-36K4	11	35	-
	DKU-F455I-72J4	24	73	7
	DKU-F455I-72K4	23	71	7
	DKU-F455I-146J4	48	144	14
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	27	83	-
	DKU-F455I-72J4×2	57	171	17
	DKU-F455I-72K4×2	55	167	17
	DKU-F455I-146J4×2	112	337	34
DKU-F455I-146JF×2				
DKU-F455I-146JQ×2				
Maximum number of parity groups	DKU-F455I-36K4	254	234	-
	DKU-F455I-72J4	254	112	254
	DKU-F455I-72K4	254	115	254
	DKU-F455I-146J4	170	56	254
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	126	98	-
	DKU-F455I-72J4×2	126	47	126
	DKU-F455I-72K4×2	126	49	126
	DKU-F455I-146J4×2	73	24	126
DKU-F455I-146JF×2				
DKU-F455I-146JQ×2				
Maximum number of volumes	DKU-F455I-36K4	2794	8190	-
	DKU-F455I-72J4	6096	8176	1778
	DKU-F455I-72K4	5842	8165	1778
	DKU-F455I-146J4	8160	8064	3556
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	3402	8134	-
	DKU-F455I-72J4×2	7182	8037	2142
	DKU-F455I-72K4×2	6930	8183	2142
	DKU-F455I-146J4×2	8176	8088	4284
DKU-F455I-146JF×2				
DKU-F455I-146JQ×2				

Table 3.7.2.2 List of RAID450 Multi Cabinet Model Emulation Types for RAID5 (2/2)

Item		Emulation contents			
Emulation Type	DKC		3990-6/3990-6E/I-2105	I-2105	
	DKU		3390-9	3390-3/3R	
Subsystem capacity (user area) (G byte)	DKU-F455I-36K4	Min	94	99	-
		Max	23777	23260	-
	DKU-F455I-72J4	Min	204	207	195
		Max	51877	23220	49428
	DKU-F455I-72K4	Min	196	201	195
		Max	49715	23172	49428
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	Min	408	409	389
		Max	68625	22886	98857
	DKU-F455I-36K4×2	Min	230	236	-
		Max	28951	23101	-
	DKU-F455I-72J4×2	Min	485	486	473
		Max	61119	22825	59548
	DKU-F455I-72K4×2	Min	468	474	473
		Max	58974	23223	59548
	DKU-F455I-146J4×2 DKU-F455I-146JF×2 DKU-F455I-146JQ×2	Min	953	956	945
		Max	69578	22959	111095

Note : The DKC emulation type 3990-6, 3990-6E and I-2105 support only the emulation type 3390\*. The emulation type 3390-3 and 3390-3R cannot be intermixed in the subsystem.

\*1: If DKU emulation type is 3390-L, DKC emulation type must be I-2105.

DKU emulation type is 3390-L necessitates a HOST Software with PTF as follows.

■ OS/390 V2R10 and higher releases.

PTF: UW99406 (APAR: OW47497, OW50734)

UQ60515 (APAR: PQ42534)

Table 3.7.2.3 List of RAID450 Multi Cabinet Model Emulation Types for RAID1

Item		Emulation contents			
Emulation Type	DKC	3990-6/3990-6E/I-2105		I-2105	
	DKU	3390-9	3390-3/3R	3390-L *1	
Storage capacity (G byte/volume)		8.51	2.84	27.8	
Number of volumes / parity groups	DKU-F455I-36K4	7	23	-	
	DKU-F455I-72J4	16	48	4	
	DKU-F455I-72K4	15	47	4	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	32	96	9	
Maximum number of parity groups	DKU-F455I-36K4	254	254	-	
	DKU-F455I-72J4	254	170	254	
	DKU-F455I-72K4	254	174	254	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	254	85	254	
Maximum number of volumes	DKU-F455I-36K4	1778	5842	-	
	DKU-F455I-72J4	4046	8160	1016	
	DKU-F455I-72K4	3810	8178	1016	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	8128	8160	2286	
Subsystem capacity (user area) (G byte)	DKU-F455I-36K4	Min	60	65	-
		Max	15131	16591	-
	DKU-F455I-72J4	Min	136	136	111
		Max	34585	23174	28245
	DKU-F455I-72K4	Min	128	133	111
		Max	32423	23209	28245
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	Min	272	272	250
		Max	69169	23158	63551

Note : The DKC emulation type 3990-6, 3990-6E and I-2105 support only the emulation type 3390\*.

The emulation type 3390-3 and 3390-3R cannot be intermixed in the subsystem.

\*1: If DKU emulation type is 3390-L, DKC emulation type must be I-2105.

DKU emulation type is 3390-L necessitates a HOST Software with PTF as follows.

■ OS/390 V2R10 and higher releases.

PTF: UW99406 (APAR: OW47497, OW50734)

UQ60515 (APAR: PQ42534)

## (2) Single Cabinet Model emulation list.

The emulation types of disk controller and disk units of the RAID450 Single Cabinet Model are shown in Tables 3.7.2.4 to 3.7.2.5.

Table 3.7.2.4 List of RAID450 Single Model Emulation Types for RAID5 (1/2)

Emulation Type	Item	Emulation contents		
		DKC	3990-6/3990-6E/I-2105	I-2105
Storage capacity (G byte/volume)	DKU	3390-9	3390-3/3R	3390-L *1
Number of volumes / parity groups	DKU-F455I-36K4	11	35	-
	DKU-F455I-72J4	24	73	7
	DKU-F455I-72K4	23	71	7
	DKU-F455I-146J4	48	144	14
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	27	83	-
	DKU-F455I-72J4×2	57	171	17
	DKU-F455I-72K4×2	55	167	17
	DKU-F455I-146J4×2	112	337	34
	DKU-F455I-146JF×2			
	DKU-F455I-146JQ×2			
Maximum number of parity groups	DKU-F455I-36K4	31	31	-
	DKU-F455I-72J4	31	31	31
	DKU-F455I-72K4	31	31	31
	DKU-F455I-146J4	31	31	31
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	15	15	-
	DKU-F455I-72J4×2	15	15	15
	DKU-F455I-72K4×2	15	15	15
	DKU-F455I-146J4×2	15	15	15
	DKU-F455I-146JF×2			
	DKU-F455I-146JQ×2			
Maximum number of volumes	DKU-F455I-36K4	341	1085	-
	DKU-F455I-72J4	744	2263	217
	DKU-F455I-72K4	713	2201	217
	DKU-F455I-146J4	1488	4464	434
	DKU-F455I-146JF			
	DKU-F455I-146JQ			
	DKU-F455I-36K4×2	405	1245	-
	DKU-F455I-72J4×2	855	2565	255
	DKU-F455I-72K4×2	825	2505	255
	DKU-F455I-146J4×2	1680	5055	510
	DKU-F455I-146JF×2			
	DKU-F455I-146JQ×2			

Table 3.7.2.4 List of RAID450 Single Model Emulation Types for RAID5 (2/2)

Emulation Type	Item		Emulation contents		
	DKC		3990-6/3990-6E/I-2105		I-2105
	DKU		3390-9	3390-3/3R	3390-L *1
Subsystem capacity (user area) (G byte)	DKU-F455I-36K4	Min	94	99	-
		Max	2902	3081	-
	DKU-F455I-72J4	Min	204	207	195
		Max	6331	6427	6033
	DKU-F455I-72K4	Min	196	201	195
		Max	6068	6246	6033
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	Min	408	409	389
		Max	12663	12669	12055
	DKU-F455I-36K4×2	Min	230	236	-
		Max	3447	3536	-
	DKU-F455I-72J4×2	Min	485	486	473
		Max	7276	7285	7089
	DKU-F455I-72K4×2	Min	468	474	473
		Max	7021	7109	7089
	DKU-F455I-146J4×2 DKU-F455I-146JF×2 DKU-F455I-146JQ×2	Min	953	956	945
		Max	14297	14346	14178

Note : The DKC emulation type 3990-6, 3990-6E and I-2105 support only the emulation type 3390\*.

The emulation type 3390-3 and 3390-3R cannot be intermixed in the subsystem.

\*1: If DKU emulation type is 3390-L, DKC emulation type must be I-2105.  
DKU emulation type is 3390-L necessitates a HOST Software with PTF as follows.

■ OS/390 V2R10 and higher releases.

PTF: UW99406 (APAR: OW47497, OW50734)

UQ60515 (APAR: PQ42534)

Table 3.7.2.5 List of RAID450 Single Model Emulation Types for RAID1

Item		Emulation contents			
Emulation Type	DKC	3990-6/3990-6E/I-2105		I-2105	
	DKU	3390-9	3390-3/3R	3390-L *1	
Storage capacity (G byte/volume)		8.51	2.84	27.8	
Number of volumes / parity groups	DKU-F455I-36K4	7	23	-	
	DKU-F455I-72J4	16	48	4	
	DKU-F455I-72K4	15	47	4	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	32	96	9	
Maximum number of parity groups	DKU-F455I-36K4	31	31	-	
	DKU-F455I-72J4	31	31	31	
	DKU-F455I-72K4	31	31	31	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	31	31	31	
Maximum number of volumes	DKU-F455I-36K4	217	713	-	
	DKU-F455I-72J4	496	1488	124	
	DKU-F455I-72K4	465	1457	124	
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	992	2976	279	
Subsystem capacity (user area) (G byte)	DKU-F455I-36K4	Min	60	65	-
		Max	1847	2025	-
	DKU-F455I-72J4	Min	136	136	111
		Max	4221	4226	3447
	DKU-F455I-72K4	Min	128	133	111
		Max	3957	4135	3447
	DKU-F455I-146J4 DKU-F455I-146JF DKU-F455I-146JQ	Min	272	272	250
		Max	8442	8446	7756

Note : The DKC emulation type 3990-6, 3990-6E and I-2105 support only the emulation type 3390\*.

The emulation type 3390-3 and 3390-3R cannot be intermixed in the subsystem.

\*1: If DKU emulation type is 3390-L, DKC emulation type must be I-2105.

DKU emulation type is 3390-L necessitates a HOST Software with PTF as follows.

■ OS/390 V2R10 and higher releases.

PTF: UW99406 (APAR: OW47497, OW50734)

UQ60515 (APAR: PQ42534)

## (3) Specifications for coexistence of elements

Table 3.7.2.9 shows permitted coexistence of RAID levels, HDD types, and emulation types respectively.

Table 3.7.2.9 Specifications for Coexistence of Elements in RAID 400

Item	Specification	Remarks
Coexistence of RAID levels	<ul style="list-style-type: none"> <li>RAID1/5 can exist in DKC.</li> </ul>	
Coexistence of numbers of HDDs composing ECC group (coexistence of configurations 7D+1P, 3D+1P and 2D+2D)	<ul style="list-style-type: none"> <li>The numbers of HDDs inside an ECC group are 4 HDDs or 8HDDs and they are applicable to RAID5 (7D+1P, 3D+1P) and RAID1 (2D+2D).</li> <li>All HDD types support RAID5 (3D+1P) and RAID1 (2D+2D) configuration.</li> <li>In case of Multi Cabinet Model configurations 3D+1P and 2D+2D can coexist within units of same DKA pair.</li> <li>7D+1P spans two DKA pairs. Therefore, coexistence of configurations of 3D+1P and 2D+2D will be allocated under the two pertinent DKA pairs in units of 8HDDs ((3D+1P) x 2, (2D+2D) x 2).</li> <li>In case of Single Cabinet Model configurations 3D+1P and 2D+2D can coexist within units of same DKA pair.</li> </ul>	
Coexistence of HDD types	<ul style="list-style-type: none"> <li>HDD types can coexist in each ECC group.</li> <li>The specification for selecting the spare HDD can be common in the subsystem if there exists a spare HDD having the same capacity as that of the data HDD, though the HDD types coexist.</li> </ul>	
Coexistence of emulation types	<ul style="list-style-type: none"> <li>Emulation types can coexist in each ECC group.</li> <li>LDEV ID addressing must be of the same emulation type (3390-L/9/3, or 3390-3R) for every 32-address boundary.</li> </ul>	An emulation of the same system should be set for every 32 addressings due to generation restriction by the host.

### 3.8 8192 logical addresses

The host connection interface specification are outlined in Tables 3.8.1 and 3.8.2.

Table 3.8.1 List of Allowable Maximum Values of Host Connection Interface Items on the DKC Side

	ESCON channel	Fibre channel
Maximum number of CUs	32	
Maximum number of SSIDs	128	
Maximum number of LDEVs	8192	

Table 3.8.2 Allowable Range of Host Connection Interface Items on DKC Side

	ESCON channel	Fibre channel
CU address	1 F <sup>*1</sup>	
SSID	0004 to FFFD	
Number of logical volumes	1 to 8192	

\*1: Maximum CUaddress Images of Host Interface is 0F at ESCON channel.

Note: When connecting with z/OS, it is necessary to make continuation the address of logical volume mounted within CU. Because, in order that OS may not recognize logical volume.

The specifications for number of logical paths for ESCON channel are summarized in Table 3.8.3.

Table 3.8.3 Specification for Number of Logical Paths (ESCON)

ESCON channel	Per port	256 paths <sup>*2</sup>
	Per channel processor	512 paths
	Per channel adapter	1024 paths
Number of logical paths per DKC		Number of mounted LCPs × 256

\*2: Maximum number of HOST paths per port are 16 paths.

(16 HOST paths × 16 CUs = 256 logical paths)

The specifications for number of logical paths for FICON channel are summarized in Table 3.8.4.

Table 3.8.4 Specification for Number of Logical Paths (FICON)

FICON channel	Per port	1024 paths <sup>*3</sup>
	Per channel adapter	2048 paths
Number of logical paths per DKC		Number of mounted HTPs × 512

\*3: The adjacent two ports (For cluster 1 basic, Port 1A and Port 1B, or Port 1C and Port 1D) can be connected to 32 channel images in total.

Ex.1: If Port 1A is not connected, Port 1B can be connected to  
32 channel images × 32 CU images = 1024 logical paths.

Ex.2: If Port 1A is connected to 15 channel images, Port 1B can be connected to  
17 channel images × 32 CU images = 544 logical paths.

### 3.11 LDEV Formatting

#### 3.11.1 Outlines

In DKC, the LDEV formatting time is automatically shortened by providing the HDD with the LDEV formatting function and by making the HDD perform the formatting concurrently (except for Disk drive model = DKSXX-KXX).

Item No.	Item	Contents
1	SVP operation	The operation is performed by selecting functions from the Maintenance menu.
2	Display of execution status	Display of the execution progress in the SVP message box (%)
3	Execution result	Normal/abnormal LDEV: Same indications as the conventional ones are displayed. Normal/abnormal PDEV: STATUS is displayed.
4	Recovery action when a failure occurs	Same as the conventional one. However, a retry is to be executed in units of ECC. (Because the LDEV-FMT is terminated abnormally in units of ECC when a failure occurs in the HDD.)
5	Operation of the SVP which is a high-speed LDEV-FMT object	When an LDEV-FMT of more than one ECC is instructed, the high-speed processing is performed.
6	PS/OFF or powering off	The LDEV formatting is suspended. No automatic restart is executed.
7	SVP PC powering off during execution of an LDEV-FMT	After the SVP is rebooted, the indication before the PC powering off is displayed in succession.

#### 3.11.2 Estimation of LDEV Formatting Time

Disk drive model	Formatting time
DKR2D-J72FC, DKR2E-J72FC, DKR2F-J72FC	approx. 60 min.
DKR2E-J146FC, DKS2C-J146FC, DKR2F-J146FC	approx. 120 min.

Formatting time of Disk drive model = DKSXX-KXX

HDD Capacity	Formatting time *
36 G bytes	Approx. 20 min/ECC group
72 G bytes	Approx. 40 min/ECC group

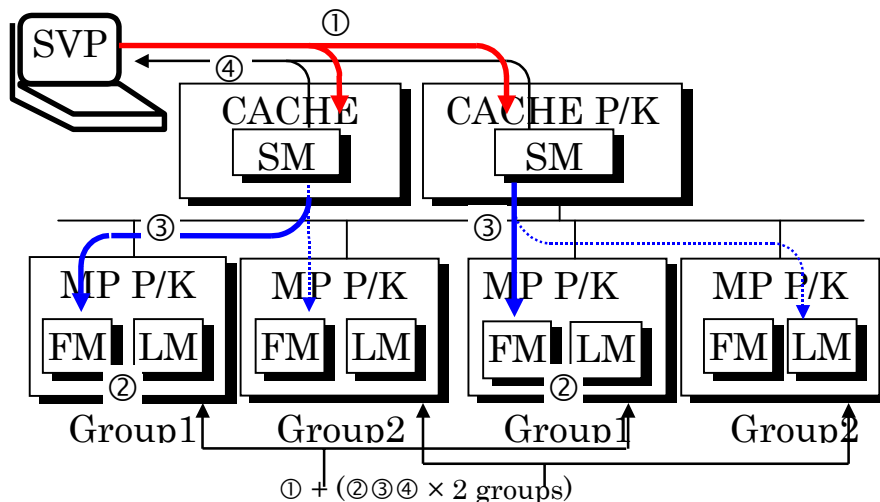
\* Formatting time increases by Host commands.

### 3.12 High-Speed Online Replacement of Microprogram

The microprograms are stored in the shared memory and transferred in a batch. Thus the number of times of the transfer from the SVP to the DKC via the LAN is reduced and the online microprogram replacement is speeded up by recovering two or more processors at the same time.

#### 3.12.1 Outline

A microprogram storage area is reserved in the shared memory. The microprogram by which each processor operates is stored in it, and data is written into the flash memory from the shared memory by rebooting the processor. The reason for executing the writing during reboot processing is that it is intended to unify the microprogram writing processings executed in the PCB replacement and cold replacement (not supported at present). In addition, when the microprograms are stored in the shared memory, processors can execute the microprogram writing processings at the same time and the processing time can be shortened substantially.



**Two or more processors execute the processings at the same time.**

[Processing sequence]

- ① The SVP transfers the microprograms (DKCMAIN, MAINDIFF, RAMBOOT, LCP/LCDG) to the shared memory.
- ② The SVP executes a reboot instruction for each processor of the first group.
- ③ In the reboot processing, the microprograms are transferred from the shared memory to the flash memory and the writing processing is executed.
- ④ The SVP monitors the status of the processors executing the reboot processing and writing processing to the flash memory and waits until the status changes to normal finally.
- ⑤ The SVP executes the processings of steps ② to ④ repeatedly for each group.

### 3.12.2 Processing Time

The estimated time of microprogram replacement is shown below. The time below is required for the process for the programs DKCMAIN, MAINDIFF, RAMBOOT and LCP. The SVP and DKU are processed in the conventional processing sequences and the time required for the sequences are not included in the processing time.

Processing time required for microprogram replacement	
RAID400/450 method (Estimated time for 4 groups)	RAID300 method (Estimated time for 4 groups)
<b>20 min. / 64 processors</b>	<b>24 min./ 48 processors</b>

## 3.13 HRC

### 3.13.1 HRC Components

There are two modes of the update copy, a synchronous copy and asynchronous copy. Description of the asynchronous is described in chapter 3.17 HRC Asynchronous.

#### (1) HRC Components

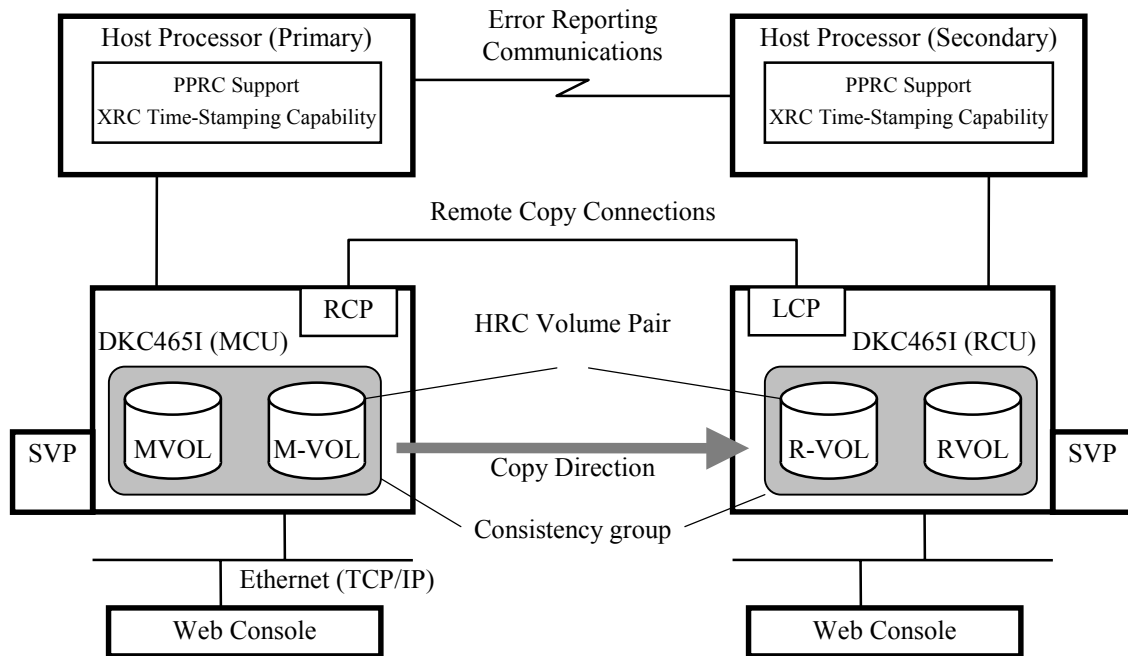


Fig. 3.13.1-1 HRC Components for Serial Interface Connection

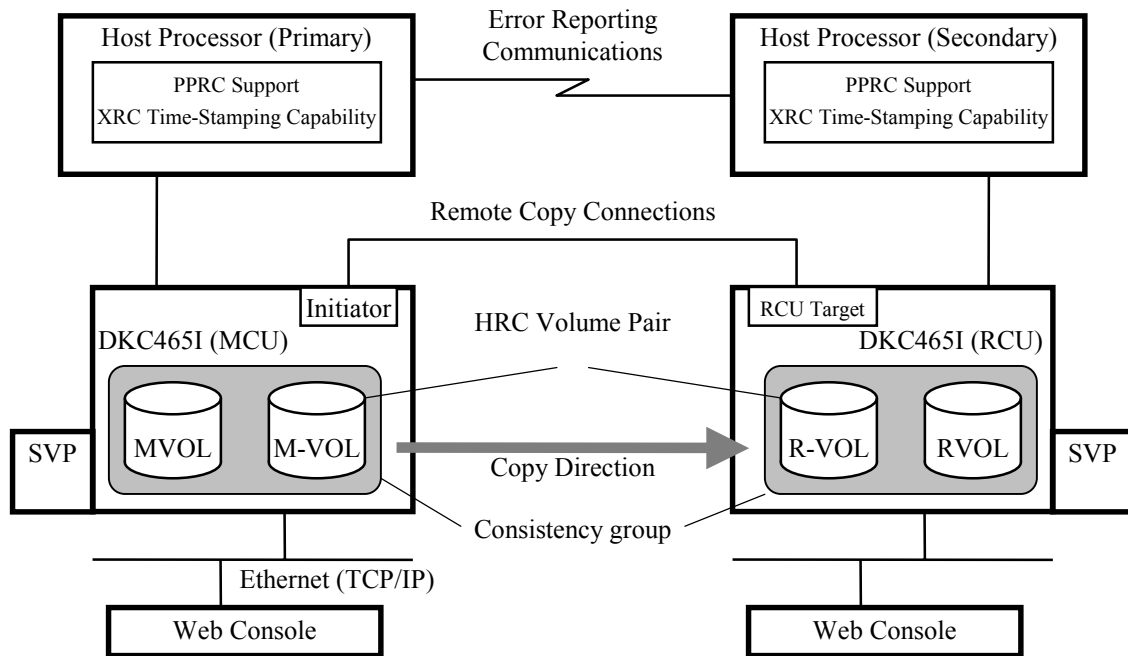


Fig. 3.13.1-2 HRC Components for Fibre-Channel Interface Connection

## (a) HRC Volume Pair

An **HRC volume pair** consists of two logical volumes, an M-VOL and an R-VOL, in different DKC465I subsystems.

An **M-VOL** (main volume) is a primary volume. It can be read or written by I/O operations from host processors.

An **R-VOL** (remote volume) is a secondary or a mirrored volume. Under control of the DKC465I subsystems, contents of an M-VOL and updates from host processors are copied to an R-VOL. Read or write I/O operations from host processors to R-VOLs are rejected.

## Note ; R-VOL Read Only function

HRC has R-VOL Read Only function to accept read commands to R-VOL of suspended pairs of HRC.

R-VOL Read Only function becomes effective with SVP system option setting for RCU of HRC.

With this function, RCU accepts all RD commands including CTL/SNS commands and WR command to cylinder zero, head zero, record three of R-VOL. (It is necessary to change VOLSER of the volume.)

The RCU rejects some PPRC commands such as ADDPAIR to the R-VOL nevertheless the status of the R-VOL looks 'Simplex'. They must be controlled by system administration.

With this function, RCU displays the status of the R-VOL as 'Simplex' instead of 'Suspended'. It is necessary to accept I/O to R-VOL.

MCU copies cylinder zero of the pair at RESYNC copy unconditionally, besides the ordinary RESYNC copy.

With this function, if DKC Emulation type is 2105, CSUSPEND command to R-VOL of suspended Pair of HRC is rejected.

The M-VOLs of the HRC volume pairs and the R-VOLs of other HRC volume pairs can be intermixed in one DKC465I subsystem.

Note: Do not use M-VOLs or R-VOLs from hosts that have different CU emulation types (2105 and 3990) at the same time. If you use the M-VOLs or R-VOLs from the 2105 and 3990 hosts simultaneously, an MIH message might be reported to the 3990 host.

Note: When 3390-L volume is used as M/R-VOL, CU emulation type of package used for connection between MCU and RCU should be other than 3990.

## (b) MCU and RCU

An **MCU** (main disk control unit) and an **RCU** (remote disk control unit) are disk control units in the DKC465I subsystems to which the M-VOLs and the R-VOLs are connected respectively.

An MCU controls I/O operations from host processors to the M-VOLs and copy activities between the M-VOLs and the R-VOLs. An MCU also provides functions to manage HRC status and configuration.

An RCU executes write operations directed by the MCU. The manner to execute write operations is almost same as that of I/O operations from host processors. An RCU also provides a part of functions to manage HRC status and configuration.

Note that an MCU/RCU is defined on each HRC volume pair basis. One disk control unit can operate as an MCU to control the M-VOLs and an RCU to control the R-VOLs.

Note: When serial interface connection is used for the remote copy operation, the controller emulation of the connected port of the MCU and RCU can be different. However, when MCU and RCU are connected to the same host, the controller emulation of the connected port of the MCU and RCU must be the same (3990-3/6/6E or 2105).

## (c) Remote Copy Connections

There are two kinds of Serial interface (ESCON/ACONARC) and Fibre channel interface of connection form.

At least two independent remote copy connections should be established between an MCU and an RCU.

## (d) RCP

An **RCP** (remote control port) is a serial interface port to which an RCU is connected. Any serial interface port of the DKC465I subsystems can be configured as an RCP.

Note: The serial interface ports, 1S-1Z and 2S-2Z, cannot be specified as RCPs. Therefore, if you specify this port number to use TSO CESTPATH command, an error will occur.

When an MCU communicates with an RCU through ESCON interface protocol, the RCP plays the role of a host processor channel. The RCP supports ESCON dynamic connection. A serial interface port of the RCU to which the MCU is connected can be connected to host processor channels by using dynamic switching capability provided by ESCON directors.

However an RCP can not communicate with host processor channel. Channel interface paths must be connected to other serial interface ports.

---

(e) SVP and Web Console

An **SVP** provides functions to set up , modify and display HRC configuration and status.

A Web Console is a software running on the web browser.  
For details, refer to a Web Console User's Guide.

(f) Error Reporting Communications

**Error reporting communication** is a communication means between host processors. An MCU generates the sense information when it fails in keeping synchronization of HRC volume pair. The sense information causes the corresponding message to be displayed on the host processor console. For the reference during disaster recovery at the secondary (recovery) site, this console message should be transferred to the secondary site through the error reporting communication.

The error reporting communications may be configured by using channel-to-channel communications, Netview technology or other interconnect technologies, depending on installation. Hitachi does not provide any product for error reporting communications.

(g) PPRC Support

HRC provides a host processor interface compatible with IBM PPRC. TSO commands, DSF commands and disaster recovery PTFs provided for PPRC can be used for HRC.

**(h) XRC Time-Stamping Capability (For HRC Asynchronous)**

In case of N-to-1 configuration, the XRC time-stamping capability requires to be installed in the primary host system. MVS/DFP 3.2.0 or higher level is required.

In order to get benefit of time-stamping capability during copy-back process(pair establishment from the secondary to the primary subsystem), the XRC time-stamping capability recommends to be installed in the secondary system.

If the primary system(and the secondary system)consists of several CPU complexes, SYSPLEX timer must be installed for common time reference.

**(i) Consistency group**

HRC asynchronous ensures update-sequence-consistency across several volume pairs. It also provides some group-based operations. A set of volume pairs treated by such group-based functions is called a consistency group.

HRC asynchronous supports 128 consistency groups at maximum. Every HRC asynchronous volume pair belongs to the one consistency group.

**(j) Initiator Port**

An **Initiator Port** (remote control port) is a Fibre Channel interface port to which an RCU is connected. Any Fibre Channel interface port of the DKC460I subsystems can be configured as an Initiator Port.

But, as for the channel port of the host computer, it can't communicate. A pass from the host computer must be connected with other Fibre Channel interface ports.

**(k) RCU Target Port**

An **RCU Target Port** (remote control port) is a Fibre Channel interface port to which an MCU is connected. Any Fibre Channel interface port of the DKC460I subsystems can be configured as an RCU Target Port.

It can be connected with the channel of the host computer by the Fibre Channel switch.

HRC operations from an SVP or a Web Console and the corresponding TSO commands are shown in Table 3.13.1-1. Before using TSO commands or DSF commands for PPRC, the serial interface ports to which the RCU(s) will be connected must be set to the RCP mode. Table 3.13.1-2 shows the value of the SAID (system adapter ID) parameters required for CESTPATH command. For full description on TSO commands or DSF commands for PPRC, refer to the appropriate manuals published by IBM corporation.

Table 3.13.1-1 HRC operations and corresponding TSO commands for PPRC

Function	HRC operations	TSO commands
Registering an RCU and establishing remote copy connections	Add RCU	CESTPATH (note)
Adding or removing remote copy connection(s)	Edit Path	CESTPATH
Deleting an RCU registration	Delete RCU	CDELPATH
Registering consistency groups	Add CT Group	—
Deleting consistency group registration	Delete CT Group	—
Establishing an HRC volume pair	Add Pair	CESTPAIR MODE (COPY)
Suspending an HRC volume pair	Suspend Pair	CSUSPEND
Disestablishing an HRC volume pair	Delete Pair	CDELPAIR
Recovering an HRC volume pair from suspended condition	Resume Pair	CESTPAIR MODE (RESYNC)
Controlling HRC volume groups	—	CGROUP

note; Required Parameters

(How to set up LINK PARAMETER for CESTPATH command)

LINK PARAMETER 

a	a	a	a	b	b	c	c
---	---	---	---	---	---	---	---

aaaa : SAID (refer to Table 3.13.1-2)

bb : destination address

cc : CUI# of RCU

Table 3.13.1-2 SAID (system adapter ID) required for CESTPATH command

Port in RCP mode		SAID value	Port in RCP mode		SAID value
cluster	port		cluster	port	
1	CH 'A'	X'0000'	2	CH 'A'	X'0010'
	CH 'B'	X'0001'		CH 'B'	X'0011'
	CH 'C'	X'0002'		CH 'C'	X'0012'
	CH 'D'	X'0003'		CH 'D'	X'0013'
	CH 'E'	X'0004'		CH 'E'	X'0014'
	CH 'F'	X'0005'		CH 'F'	X'0015'
	CH 'G'	X'0006'		CH 'G'	X'0016'
	CH 'H'	X'0007'		CH 'H'	X'0017'
	CH 'J'	X'0008'		CH 'J'	X'0018'
	CH 'K'	X'0009'		CH 'K'	X'0019'
	CH 'L'	X'000A'		CH 'L'	X'001A'
	CH 'M'	X'000B'		CH 'M'	X'001B'
	CH 'N'	X'000C'		CH 'N'	X'001C'
	CH 'P'	X'000D'		CH 'P'	X'001D'
	CH 'Q'	X'000E'		CH 'Q'	X'001E'
	CH 'R'	X'000F'		CH 'R'	X'001F'
3	CH 'a'	X'0020'	4	CH 'a'	X'0030'
	CH 'b'	X'0021'		CH 'b'	X'0031'
	CH 'c'	X'0022'		CH 'c'	X'0032'
	CH 'd'	X'0023'		CH 'd'	X'0033'
	CH 'e'	X'0024'		CH 'e'	X'0034'
	CH 'f'	X'0025'		CH 'f'	X'0035'
	CH 'g'	X'0026'		CH 'g'	X'0036'
	CH 'h'	X'0027'		CH 'h'	X'0037'
	CH 'j'	X'0028'		CH 'j'	X'0038'
	CH 'k'	X'0029'		CH 'k'	X'0039'
	CH 'l'	X'002A'		CH 'l'	X'003A'
	CH 'm'	X'002B'		CH 'm'	X'003B'
	CH 'n'	X'002C'		CH 'n'	X'003C'
	CH 'p'	X'002D'		CH 'p'	X'003D'
	CH 'q'	X'002E'		CH 'q'	X'003E'
	CH 'r'	X'002F'		CH 'r'	X'003F'

(l) DKC emulation type = 2105

The RESETHP option of the CESTPATH command reset host's I/Os, so you have to stop them in advance.

When the DKC emulation type of the MCU is 2105, CESTPAIR is rejected if the RVOL is online from the host during the HRC pair formation by CESTPAIR.

However, the online pair formation is possible by indicating the ONLINSEC (YES) option.

(The pair formation is impossible when indicating ONLINSEC without indication (Default) or ONLINSEC (NO).)

Furthermore, when the RCU is the unsupported version 2105, it cannot be checked whether the RVOL is online from the host.

If all the following conditions meet, be sure to indicate ONLINSEC (YES) when CESTPAIR is issued.

For the MCU, the CU emulation type of the package connected from SANRISE9900V and the MCU host must be 2105.

- For the MCU, the CU emulation type of the package connected from SANRISE9900V and the MCU host must be 2105.
- The RCU must be SANRISE2000 and the version earlier than 01-14-01-00/00, or A/H-6593 model.

### 3.13.2 HRC Software Requirements

Minimum level for HRC is MVS/DFP 3.2.0 + PTF or VM/ESA 2.1.0 + PTF.

- Optional error recovery procedure (ERP) functions - MVS/DFP 3.2.0 or above.
- ICKDSF R16 + PTF functions - VM/ESA 2.1.0 or above.

### 3.13.3 HRC Hardware Requirements

#### (1) HRC Supported models

Refer to Specifications of “THOERY OF operation Section” for the Support models.

- Emulation type of an MCU and RCU can be different.
- Emulation type of an M-VOL and R-VOL must be same.
- CVS/DCR is able to define on the M-VOL and R-VOL.
- The 3990-6E or 2105 DKC emulation supports only 3390 DKU emulation.
- The R-VOL must have the same track sizes, and the same or larger volume capacities, as the M-VOL. (note)
- When T-VOL of HMRCF is established as M-VOL of HRC, the T-VOL must be “Split” state. If not so, an equipment check error will occur in establishing HRC pair.

Note;

When executing an HRC between volumes with different capacities, take notice of the following.

① State of the subsystem when executing the HRC

All M-VOL data including the VTOC is physically copied by executing the HRC.

Accordingly, the R-VOL is recognized as having the same capacity as that of the M-VOL in the HRC execution.

② Expansion of the VTOC

It is needed to expand the VTOC to make the R-VOL simplex and be accessed as having the normal capacity by the host. The VTOC is expanded by issuing the ICKDSF REFORMAT (REFVTOC) command.

- \* The system environment is required to support the REFVTOC parameter. This parameter can be executed only by the ICKDSF which supports the PPRC function.

## (2) Web Console Requirements

Refer the Storage Navigator User's Guide.

## (3) Distance between MCU and RCU

## (a) Serial interface connection

An MCU and an RCU must be connected with serial interface (ESCON) cables. Only multi mode ESCON cables whose length is up to 3km can be connected to the DKC465I subsystems. In order to locate disk subsystems more than 3km apart, IBM 9032/9033 ESCON directors (ESCDs) or 9036 ESCON repeaters are required.

IBM 9032/9033 ESCON director supports an extended distance facility (XDF). The XDF uses single mode ESCON cables of which length is up to 20km. IBM 9036 ESCON repeater supports single mode - to - single mode connection or single mode - to - multi mode connection. In order to locate disk control units more than 9km apart, the XDF connections provided by the ESCON directors or ESCON repeaters are required.

Maximum distance between disk subsystems is 43km.

Furthermore, the distance between an MCU and an RCU becomes unrestricted by connecting a Channel Extender (CX5000 or ULTRANET manufactured by CNT). (refer to [THEORY03-430 Appendix B](#))

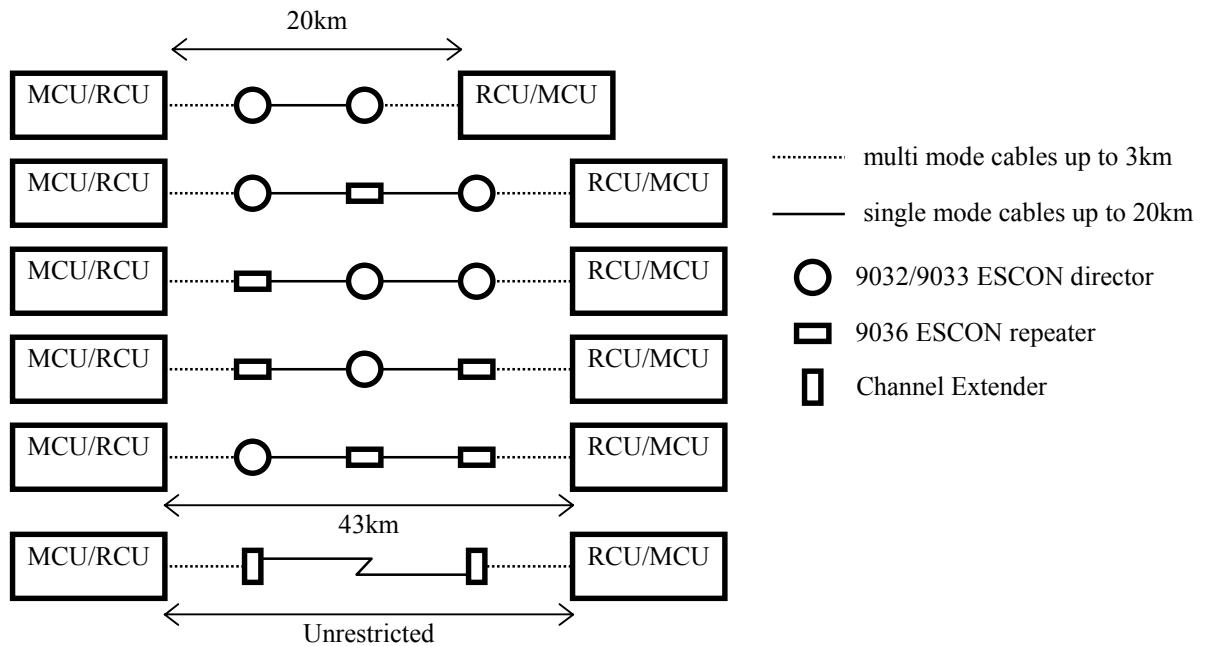


Fig 3.13.3-1 Distance between Disk subsystems of Serial interface connection

## (b) Fibre channel interface connection

You must connect MCU and RCU with Optical Fibre cable.

With ShortWave (Optical Multi Mode), the longest cable is 500 m. The longest cable is 10 km with LongWave (Optical Single Mode).

By connecting Switch, the longest cable for ShortWave is 1.5 km, and 30 km for LongWave.

But the Switch can be connected in two steps at maximum.

Channel Extender Connects MCU and RCU as long a distance as you like.

In case of a direct connection between MCU and RCU, each Fibre channel port topology must be the same as “Fabric:Off and FC-AL”.

In case of via FC-Switch connection between MCU and RCU, each Fibre channel port topology must be set suitable for the closest FC-Switch’s topology.

(Ex.) “Fabric:On and FC-AL” or “Fabric:On and Point-to-Point”

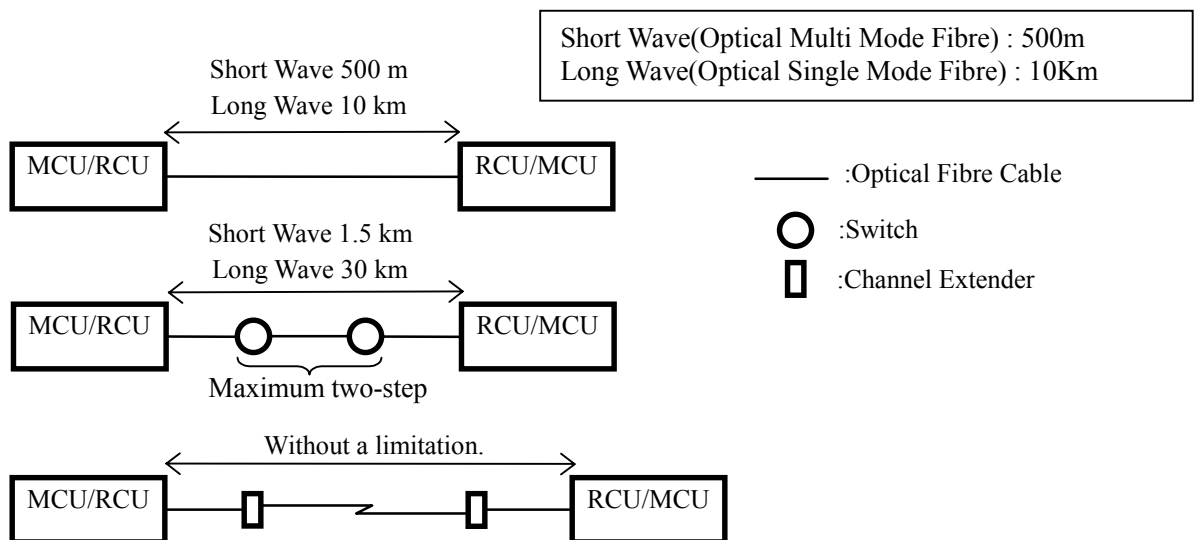


Fig. 3.13.3-2 Distance between Disk subsystems of Fibre channel interface connection

## (4) Recommendation of MIH time and HRC configuration

Recommendation of MIH time is 60 sec. for HRC. In addition that, MIH time had better be set in consideration of the following factor.

- The number of pair volumes
- Cable length between MCU and RCU
- Volume status (Initial copy status)
- Under maintenance operation

When HRC configuration is instructed, take notice of as follows.

- The logical paths between MCU and RCU had better be established independent of the logical paths between Host and RCU.
- The logical paths between MCU and RCU had better be established maximum paths.
- RCP port had better be set in consideration of the host I/O rate and remote copy procedure.
- The Only important volumes had better be established HRC pair.
- Under the situation where the number of paths between MCU and RCU is decreased due to path failure, the traffic of the remaining paths is raised. It may cause some time-out I/O operation to RCU, and a lot of the time-out might cause suspension of HRC pair.

When HRC asynchronous configuration is instructed, take notice of as follows in addition to above.

- The cache amount of MCU had better be set two times of the RCU's

When HRC asynchronous N-to-1 configuration is instructed, take notice of as follows.

If not take, it may cause suspension of HRC pair.

- The host I/O rate had better be same in all MCUs.
- The cache amount of all MCUs had better be set same.
- The cache amount of RCU had better be set more than total of all MCUs.
- The logical number of paths between MCU and RCU had better be same in all MCUs.

On the use of HRC Async, HORC Async and HXRC, take notice of as follows.

If not take, it may cause suspension of pairs.

- HRC Async, HORC Async and HXRC had not better use in together.

Note; HRC with FICON

If you use FICON path between CHL and MCU, you should do according the below.

Table 3.13.3-0 HRC with FICON

		CHL-MCU	
		FICON	ESCON
MCU-RCU	ESCON	Not supported (*1)	Supported
	Open Fiber	Supported	Supported

\*1: The link bandwidth of FICON is greater than that of ESCON. Then, in consideration of performance balance of ESCON path and FICON path, if FICON path is used between Channel and MCU, the path between MCU and RCU should be OPEN-FC link, not ESCON link.

## (5) Requirements for Track format, Cache, NVS, DASD Fast Write

Track format Cache, NVS, DASD Fast Write must satisfy following conditions for both of M-VOL and R-VOL of Remote Copy.

		HRC	
		M-VOL	R-VOL
Track format	Track format of record zero	Standard format	
	Key length of record zero	Zero	
	Data length of record zero	Eight	
	CCHH of record zero	Identical to physical cylinder address and physical head address of a track	
	CCHH of each user records	Unique in a track	
Cache		Depends on HRC pair option*	Depends on HRC pair option*
NVS		Depends on HRC pair option*	Depends on HRC pair option*
DASD Fast Write		Depends on HRC pair option*	Depends on HRC pair option*

\* : Required if "DFW to R-VOL" option is set.

## (6) HRC available CU image

CU#0 ~ CU#31 (In hexa decimal, CU#1F)

## (7) Serial Interface Connections between DKC460 and DKC410 or DKC310 Subsystems

HRC supports serial interface connections for combining DKC460 and non-DKC460 subsystems as the MCU and RCU. Table 3.13.3-0.1 shows combinations of serial interface connection between DKC460 and DKC410/DKC310 subsystems.

Table 3.13.3-0.1 Combinations of Serial Interface Connection

		RCU		
		DKC460 Subsystem	DKC410 Subsystem	DKC310 Subsystem
MCU	DKC460 Subsystem	Supported.	Supported.	Supported from the microcode version 21-03-XX.
	DKC410 Subsystem	Supported.	Supported.	Supported.
	DKC310 Subsystem	Supported from the microcode version 21-03-XX.	Supported.	Supported.

Note: The combinations that are supported from the microcode version 21-03-XX have the following restrictions:

- When connecting a DKC460 subsystem as the MCU and a DKC310 subsystem as the RCU:

**CU (CU#):**

You can use four CU numbers from 0x00 - 0x0F for the MCU. You can use 0x00 - 0x03 for the RCU.

Note: You can specify CU# 0x00 - 0x03 of DKC310 from DKC460.

**Consistency group (CTG#):**

You can use 0x00 - 0x0F.

Note: You cannot use 0x10 - 0x7F of DKC460.

**DKC emulation type:**

We recommend to use same DKC emulation type for MCU and RCU.

Note: In the case of MCU 2105 and RCU 3990, you cannot create remote copy pairs.

**Supported microcode version:**

A DKC310 subsystem (RCU) that has the microcode version 52-48-60-01/00 or later can be connected to a DKC460 subsystem (MCU).

**HORC:**

When the horc\_takeover command is carried out to the volume by the side of RCU, SIM RC = 0xd44x-xx or 0xdb4x-xx occurs in the MCU side.

- When connecting a DKC310 subsystem as the MCU and a DKC460 subsystem as the RCU:

**CU (CU#):**

You can use 0x00 - 0x03 for the MCU. You can use four CU numbers from 0x00 - 0x0F for the RCU.

Note: You cannot use 0x10 - 0x1F of DKC460.

**Consistency group (CTG#):**

You can use 0x00 - 0x0F.

Note: You cannot use 0x10 - 0x7F of DKC460.

**Add Pair operation:**

Caution: Even if you specify an incorrect CU number for the target port (LCP) and specify an incorrect SSID for the R-VOL for the Add Pair operation, no error message may be reported, and data may be copied from a wrong device. You should take an extreme caution when performing Add Pair operations.

**Supported microcode version:**

A DKC310 subsystem (MCU) that has the microcode version 52-48-60-01/00 or later can be connected to a DKC460 subsystem (RCU).

## AppendixA: HRC Installation check list

Table 3.13.3-1 HRC Installation Check List

No.	Item	Check
1	MCU/RCU emulation type must be correct.	
2	M_VOL/R_VOL emulation type must be correct.	
3	OS version must be as followed. <ul style="list-style-type: none"> <li>• Optional error recovery procedure (ERP) functions - MVS/DFP 3.2.0 or above.</li> <li>• ICKDSF R16 + PTF functions - VM/ESA 2.1.0 or above.</li> </ul>	
4	RCP port must be set.	
5	ESCON cable between MCU and RCU must be connected.	
6	ESCON cable test between MCU and RCU must be executed.	

## AppendixB: Guide for HRC via EXTENDER(CHANNELink(CX5000), ULTRANET)

## 0. Preliminary remarks

This is the guide for HRC operation via Computer Network Technology's (CNT's) CHANNELink and UltraNet Storage Director products. These two CNT products provide channel extension (store and forward functionality) between a Hitachi MCU and RCU used in an HRC configuration. The channel extension provided by the CNT products allows the ESCON connection between the MCU(s) and the RCU(s) to be greater than the ESCON standard 43 km apart but still provide near native data transfer between the MCU and RCU.

## 1. Summary of Specification

Table 3.13.3-2 Summary of Specification

Copy Mode		CHANNELink(CX5000)		ULTRANET	
		Synchronous	Asynchronous	Synchronous	Asynchronous
u-code Version	Extender	CHANNELink Release 4.3 with SSDX at version 4.B or later		UltraNet Release 2.2 with SSDX at version 4.B or later	
Link Types(between local and remote Extenders)		T3		ATM T3	
Maximum Number of physical ESCON paths(between DKC465I and EXTENDER)		3 or 4 ESCON Interfaces (*1)		8 ESCON Interfaces(*2)	
Combination of MCU and RCU		<p>The following combination are available. (for example, refer to Fig 3.13.3-3, 3.13.3-4, 3.13.3-5) MCU:CU#n &lt;=&gt; RCU:CU#m (0&lt;=n&lt;=31, 0&lt;=m&lt;=31) [restriction] A CU image of the RCU can not be shared by more than one CU images of the MCU(s) that are connected to the same ESCON port(s) of the local extender.(Fig 3.13.3-6) If the CU image of the RCU requires to be shared, the CU images of the MCU(s) must be connected to the different ESCON port(s) as shown in Fig 3.13.3-7.</p>			

\*1: if the CHANNELink has 11 slots, the maximum number of ESCON interfaces is 3. If the CHANNELink has 13 slots, then the maximum is 4 ESCON interfaces.

\*2: The stated maximum number of ESCON interfaces in an UltraNet Storage Director is 8.

## Notes;

- One ESCON port of Extender supports up to 254 volumes. For HRC installations with requirements for greater than 254 pairs, additional ESCON interfaces are required in both the local and remote channel extenders.
- HRC via Extender does not support P/DAS function.

Figures 3.13.3-3 to 3.13.3-7 on the following pages describe various valid and invalid HRC with channel extension configurations.

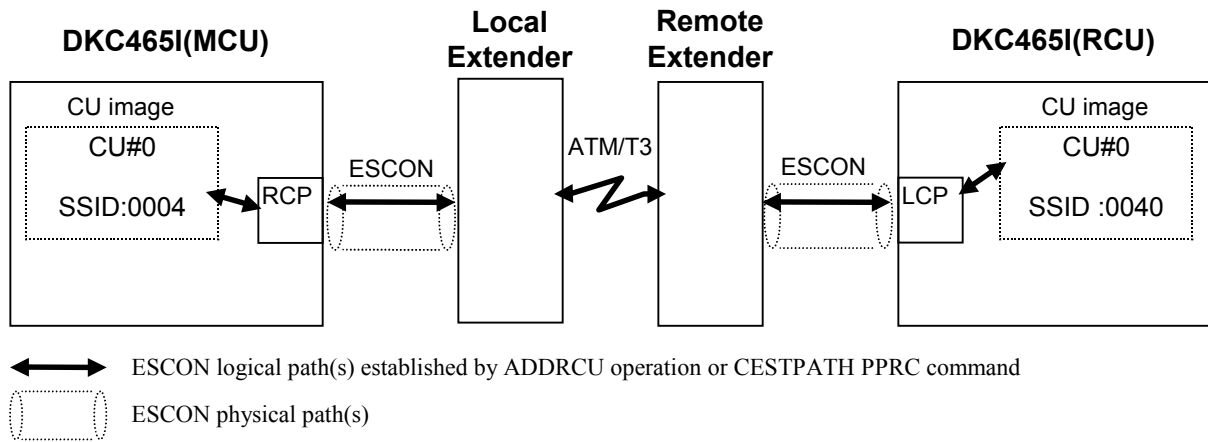


Fig 3.13.3-3 MCU:CU#0 &lt;--&gt; RCU:CU#0 (valid configuration)

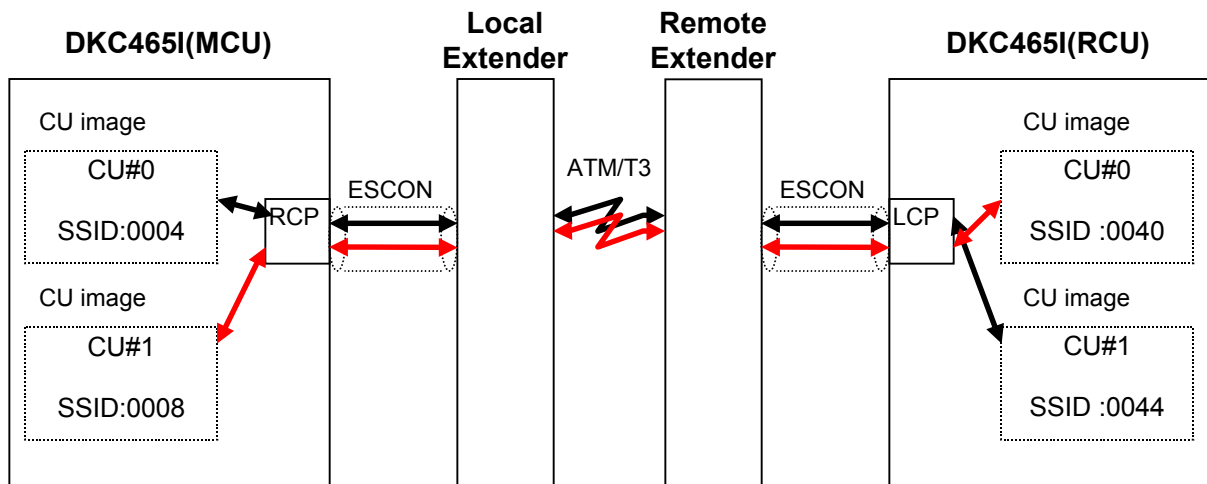


Fig 3.13.3-4 MCU:CU #0 &lt;--&gt; RCU:CU #1, MCU:CU #1 &lt;--&gt; RCU:CU #0 (valid configuration)

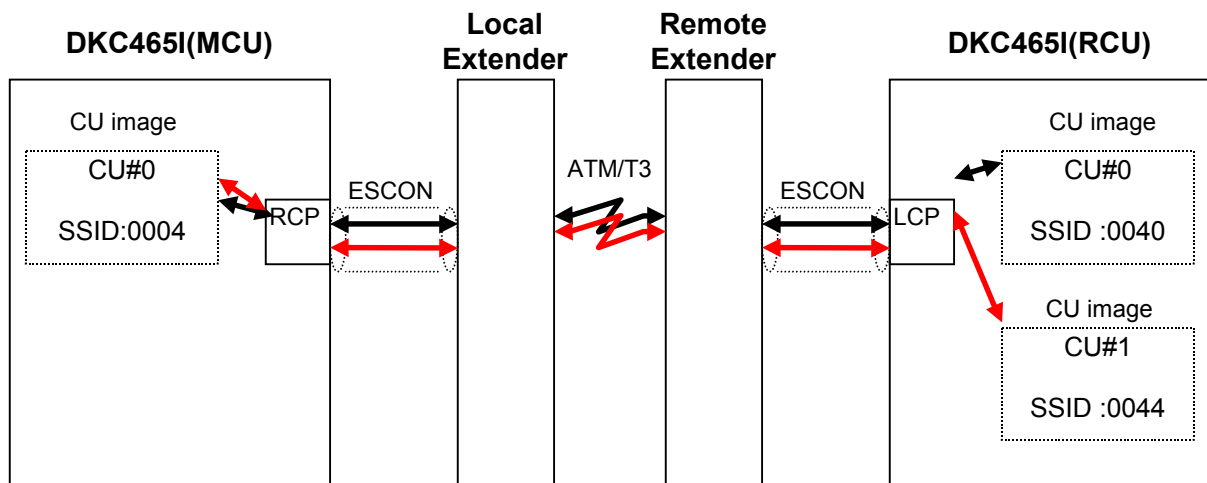


Fig 3.13.3-5 MCU:CU #0 &lt;--&gt; RCU:CU #0, MCU:CU #0 &lt;--&gt; RCU:CU #1 (valid configuration)

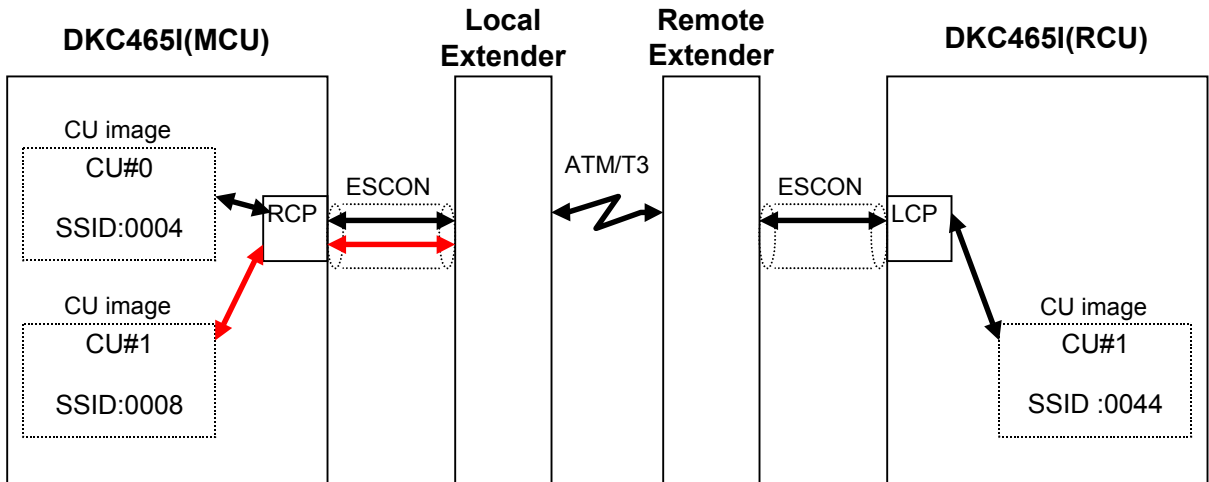


Fig 3.13.3-6 MCU:CU #0 <--> RCU:CU #1, MCU:CU #1 <--> RCU:CU #1 (invalid configuration)  
(2 CU Images of MCU and 1 CU Image of RCU using same ESCON port)

The above diagram is an invalid configuration as 2 CU Images of an MCU are configured to utilize 1 RCU CU Image via the same ESCON port. Unique remote ESCON ports are required to enable concurrent access to a RCU Image.

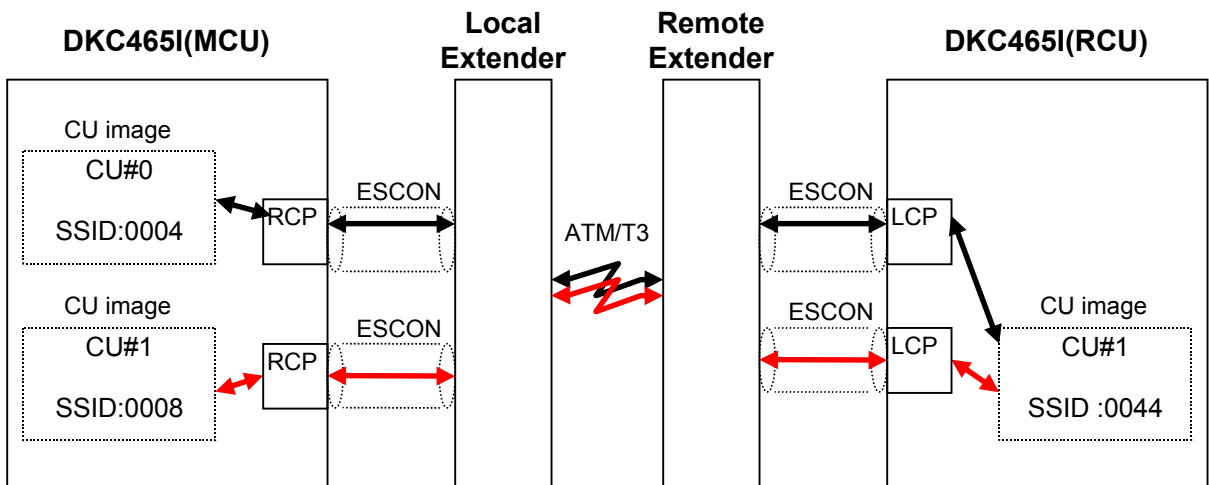


Fig 3.13.3-7 MCU:CU #0 <--> RCU:CU #1 , MCU:CU #1 <--> RCU:CU #1 (valid configuration)  
(2 CU Images of MCU and 1 CU Image of RCU using different ESCON ports)

The above diagram is a valid configuration as 2 CU Images of an MCU are configured to utilize 1 RCU CU Image via different remote Extender ESCON ports.

## 2. Operation for HRC via Extender

### 2.0 Planning the configuration of HRC via Extender

Please determine the number of Extenders and the number of ESCON paths from the configuration of HRC.

There are some restrictions in planning the configuration of HRC via Extender.

[restriction]

- One ESCON port of an Extender supports up to 254 volumes. For HRC connections between more than 254 pairs, add the additional physical ports as required.

- Some combination of MCU and RCU are not available. Please refer to page [THEORY03-430 ~ THEORY03-460](#).

### 2.1 Preliminary arrangement on Extender.

#### (1) Change the configuration information in Extender.

There is a necessity that configuration information is set according to the configuration of HRC. Before setting HRC via Extender, please request the modification of configuration information of Extender from CNT. The information that should be given to CNT prior to installation is listed below.

- CU# of RCU

- R-VOL#

- Indication whether or not an ESCD (ESCON director) will be included in the configuration. (\*3)

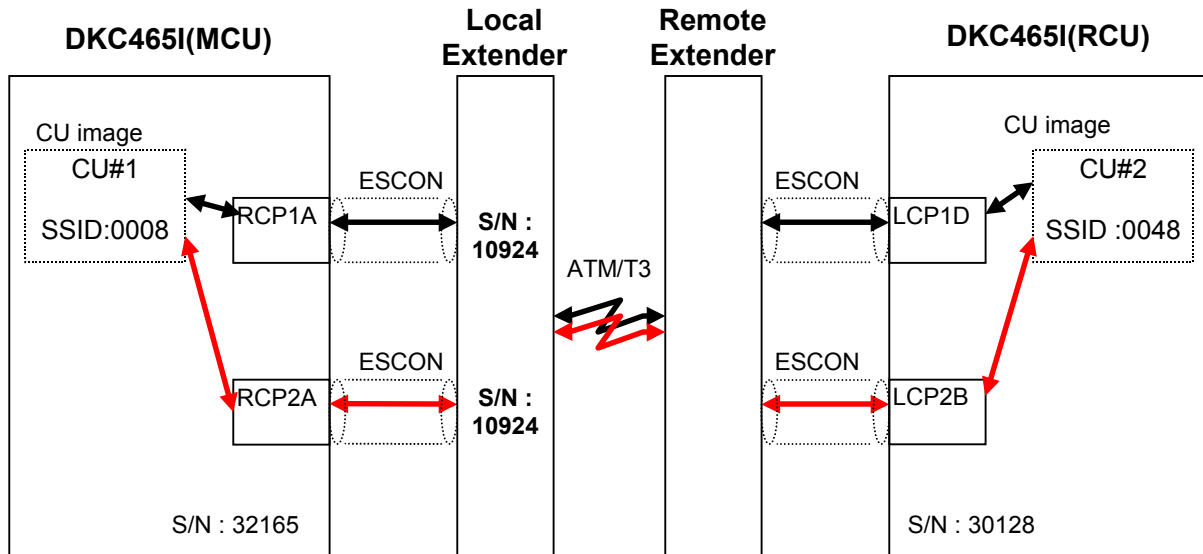
- ESCON link address (if using ESCD)

- A request to set serial number(s) of Local Extender (\*4)

Notes:

\*3: If an ESCD (ESCON director) is put between an MCU & local Extender, or between an RCU & remote Extender, there occurs a necessity to have Extender configuration information set by CNT specially. (According to CNT's specification, it is allowed to locate one ESCD in master and remote site, respectively, and define all link ports as 'Dynamic Connection'.)

\*4: The Extender can be set any serial numbers to every ESCON ports independently. At ADDRUCU (/CESTPATH) operation, the serial number of Local Extender should be set as the serial number of RCU. So, in advance, the same serial number should be set to ESCON physical paths that make the logical paths to same RCU. For an example, refer to Figure 3.13.3-8. When 2 RCUs are connected via Extender, different serial numbers should be set. But such configurations have not certified yet. Please use a MCU and a RCU.



These parameters are required in ADD RCU operation of DKC465I from SVP.

RCU		Path		
Serial number	SSID	PORT#	Link Address	Logical Address
<b>10924</b>	0040	1A	00	02
<b>10924</b>	0040	2A	00	02

Without connecting CNT, this parameter is 30128.

Fig 3.13.3-8 reference for notice \*4

## 2.2 Operation of DKC465I

### (1) Setting of Special mode (Mode 21=ON)

There is a necessity that Mode21 is set, which is a special mode for HRC via Extender. In detail, when the MCU restarts the WRFBA (HRC special command) CCW chain, it will be in incomplete domain pattern from the beginning.

### (2) ADD RCU

At ADDRRCU (/CESTPATH) operation, input the serial number (S#) of Local Extender as the serial number of RCU. The procedure to seek the Local Extender's serial number is as follows.

<Procedure to seek Extender's S#>

To begin with, please connect MCU to RCU via CX5000 directly with no ESCD (ESCON director).

- a) Please open the icon "MAINTENANCE" of SVP (MCU).
- b) Please click the button "LCP/MCP Path" in General Status Display.
- c) Please select "Physical Path Status".
- d) Please notice the information of RCP port to connect to local CNT. The last five digits of "SEQNUMBER" correspond to the target manufacturing number to input.

### 3. Configuration Setup Example

#### (1) MCU/RCU Example Pair Configuration

Table 3.13.3-3 Example M-VOL and R-VOL Pair Configuration Matrix

Table 3.13.3-3 Pair configuration matrix

MCU/ CU Image	M-VOL#	SSID		RCU/ CU Image	R-VOL#	SSID
CU#0	0x00-0x3F	0x0004	----->	CU#0	0x00-0x3F	0x0040
CU#1	0x00-0x3F	0x0008	----->	CU#2	0x00-0x3F	0x0048
	0x40-0x7F	0x0009	----->	CU#3	0x00-0x3F	0x004C
CU#3	0x00-0x3D	0x0010	----->		0x40-0x7D	0x004D

The table above includes the MCU to RCU pairs as included below in Figure 3.13.3-9.

#### (2) Configuration of HRC via Extender

The following figure (Figure 3.13.3-9) includes a configuration that matches the configuration that is described in Table 3.13.3-3.

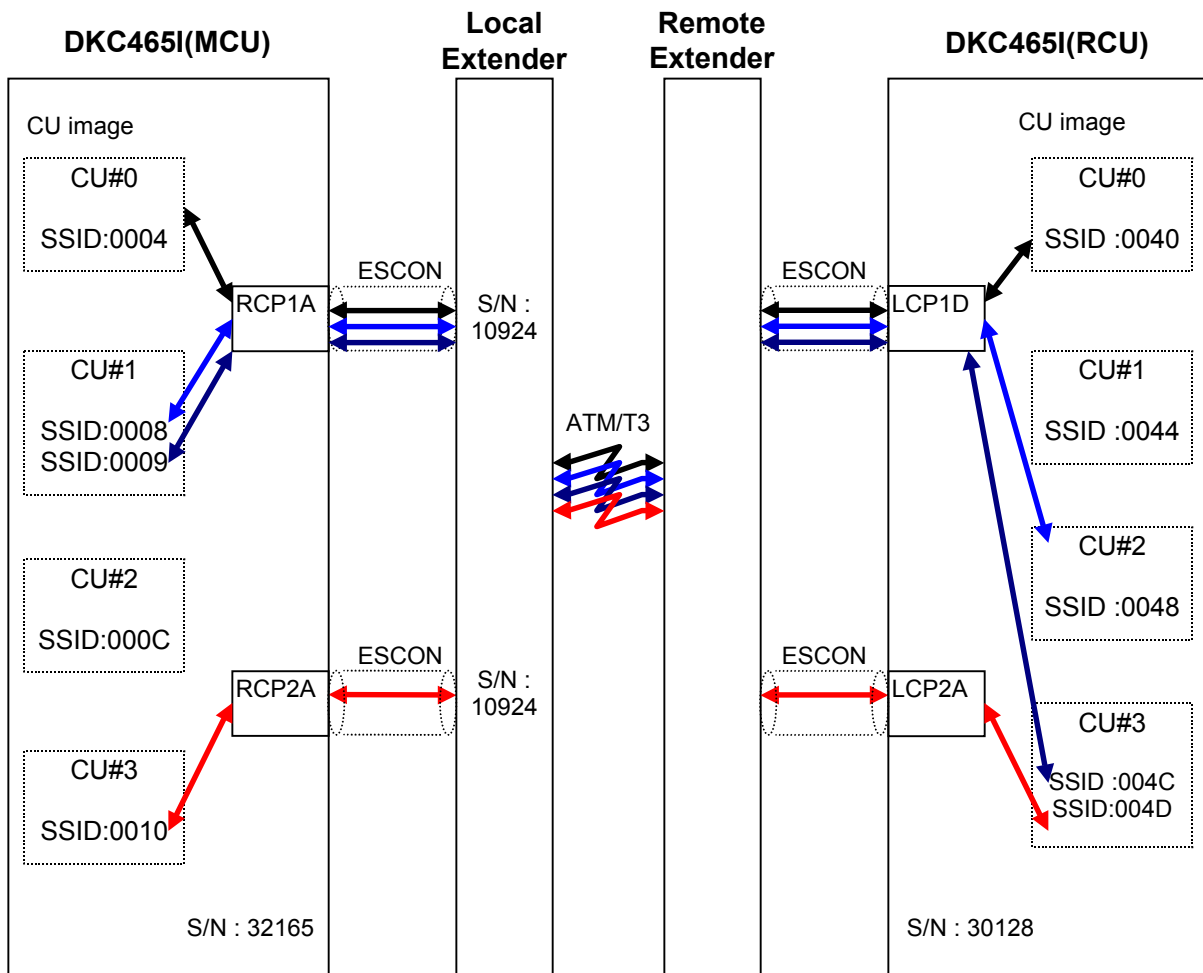


Fig 3.13.3-9 configuration of HRC via Extender

## (3) ADD RCU parameter

These parameters are required in ADD RCU operation of DKC465I from SVP in case of example in this section.

Table 3.13.3-4 ADD RCU parameter

RCU		Path		
Serial number	SSID	PORT#	Link Address	Logical Address
10924	0040	1A	00	00
10924	0048	1A	00	02
10924	004C	1A	00	03
10924	004D	2A	00	03

Without connecting EXTENDER, this parameter is 30128.

## 4. Notes

- (1) When one of HRC paths between the remote EXTENDER and the RCU is blocked, the blocked path will not be recovered voluntarily. And then, "PATH STATUS" of this path may be abnormal(-) status, otherwise the HRC will suspend due to any failure of paths(SSB F/M=8F, EC=C8Ax). If C8Ax status is indicated, then the failure path is determined by the information as follows.
  - CL1/2 : (it is known by MP# detecting SSB)
  - LPN in CL1/2 : (SSB log byte42 means LPN in CL1/2)
 In these cases, please confirm the connection of the physical path and do the following procedure to recover the path.
 

[Recover procedure]

  - a) Please delete the failed HRC path by way of "EDIT PATH" menu.
  - b) Please edit the path again to select "EDIT PATH" menu.
- (2) When using the procedure of switching the M-VOL and the R-VOL for disaster recovery of HRC via Extender, the configuration of Local/Remote Extender should be set again because the Local Extender and Remote Extender are reversed each other. And, when a CU image of the original MCU and plural CU image of the original RCU are connecting through the same physical path, the above mentioned disaster recover procedure is inapplicable. In this case, please recover the combination of 1 CU Image of the new MCU and 1 CU Image of the new RCU according to the procedure of switching the M-VOL and the R-VOL. After that, please try to recover the remaining CU Images one by one.

### 3.13.4 HRC Theory of Operations

#### (1) HRC Copy Activities

HRC executes two kind of copy activities, **initial copy** and **update copy**.

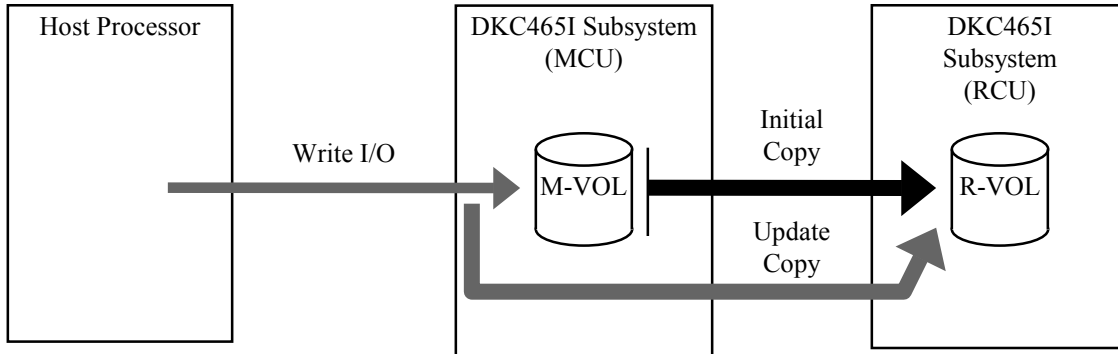


Fig. 3.13.4-1 HRC Copy Activities

#### (a) Initial Copy

Responding to an Establish HRC Volume Pair operation from an SVP/Web Console or an ESTPAIR PPRC command, HRC begins initial copy. Data field of record zero and following records on all tracks, except for alternate and CE tracks, are copied from M-VOL to R-VOL. The initial copy operation is performed in ascending order of cylinder numbers.

“No copy” can be specified as a parameter to the initial copy. When “no copy” is specified, HRC will complete an Establish HRC Volume Pair operation without copying any data. An operator or a system administrator should be responsible for ensuring that data on the M-VOL and the R-VOL is already identical.

“Only out-of-sync cylinders” can also be specified as a parameter to the initial copy. This parameter is used to recover (re-establish) HRC volume pair from suspended condition. After suspending HRC volume pair, the MCU maintains a cylinder basis bit map which indicates the cylinders updated by I/O operations from the host processors. When this parameter is specified, HRC will copy only cylinders indicated by the bit map.

#### (b) Controlling Initial Copy

Number of tracks copied by one initial copy activity can be specified by an SVP/Web Console or an ESTPAIR PPRC command.

Number of volume pairs for which the initial copy are concurrently executed and priority of each volume pair can be specified from an SVP/Web Console.

### (c) Update Copy

Responding to the write I/O operations from the host processors, HRC copies the records updated by the write I/O operation to the R-VOL.

The update copy is a **synchronous remote copy**. An MCU starts the update copy after responding only channel-end status to the host processor channel, and sends device-end status after completing the update copy. The MCU will start the update copy when it receives:

- the last write command in the current domain specified by preceding locate record command;
- a write command for which track switch to the next track is required;
- each write command without being preceded by locate record command.

If many consecutive records are updated by single CCW chain which does not use locate record command, the third condition above may cause the significant impact on performance.

### (d) Update Copy for Cache Fast Write Data

Cache fast write (CFW) data does not always have to be copied because CFW is used for temporary files, such as sort work data sets. These temporary files are not always necessary for disaster recovery.

In order to reduce update copy activities, HRC supports a parameter which specifies whether CFW data should be copied or not.

### (e) Special Write Command for Initial Copy and Update Copy

In order to reduce overhead by the copy activities, HRC uses a special write command which is allowed only for copy activities between the DKC465I subsystems. The single write command transfers control parameters and an FBA formatted data which includes consecutive updated records in a track. It reduces interlocks on ESCON interface protocol and overhead required for converting FBA-to-CKD format and CKD-to-FBA format.

## (2) HRC Read I/O Operations

Responding to read I/O operations, an MCU transfers the requested records from an M-VOL to a host processor. Even if reading records from the M-VOL is failed, the R-VOL is not automatically read for recovery. The redundancy of the M-VOL itself provided by RAID5 or RAID1 technique would recover the failure.

### (3) HRC Volume Pair Status

All volumes in a DKC465I subsystem are in one of the states shown in Table 3.13.4-1.

Status of the M-VOLs or the R-VOLs are kept by the MCU and the RCU respectively. The MCU is responsible to keep status of the R-VOLs identical to status of the M-VOLs. However, in the case of communication failure between the MCU and the RCU, they could be different.

From an Web Console or by using an appropriate command for IBM PPRC, status of M-VOLs or status of R-VOLs can be obtained from the MCU or the RCU respectively.

Table 3.13.4-1 HRC Volume Status

Status	Description
Simplex	This volume does not belong to HRC volume pair. When the initial copy is started by an Add Pair operation, the volume is changed to “pending duplex” state.
Pending Duplex	The initial copy is in progress. Data on HRC volume pair is not fully identical. When completing the initial copy, the volume will be changed to “duplex” state.
Duplex	Volumes in HRC volume pair are synchronized. All updates from the host processors to the M-VOL are duplicated to the R-VOL.
Suspended	Volumes in HRC volume pair are not synchronized. When the MCU can not keep synchronization between HRC volume pair due to, for example, failure on the update copy, the MCU will put the M-VOL and the R-VOL in this state. When the MCU or the RCU accepts an Suspend operation from an SVP/Web Console, the M-VOL and the R-VOL will be put in this state. When the RCU accepts the Delete Pair operation from the SVP/Web Console, the MCU will detect the operation and put the M-VOL in this state.

For “suspended” volumes, cause of suspension is defined as shown in Table 3.13.4-2. The cause of suspension is displayed in the form of “Suspend (cause of suspension)” on an SVP/Web Console.

Table 3.13.4-2 HRC Volume Status - Sub-status of Suspended Volume

Cause of Suspension	Description
M-VOL by Operator	The Suspend operation with “M-VOL failure” option was issued to the M-VOL. This cause of suspension is defined only for the M-VOLs.
R-VOL by Operator	The Suspend operation with “R-VOL” option was issued to the M-VOL or the R-VOL. This cause of suspension is defined for both the M-VOLs and the R-VOLs.
by MCU	The RCU received a request to suspend the R-VOL from an MCU. This cause of suspension is defined for only the R-VOLs.
by RCU	The MCU detected an error condition of the RCU which caused HRC volume pair to be suspended. This cause of suspension is defined only for the M-VOLs.
Delete Pair to RCU	The MCU detected that the R-VOL had been changed to “simplex” state by the Delete Pair operation. This cause of suspension is defined only for the M-VOLs.
R-VOL Failure	The MCU detected an error condition on the communication between the RCU or I/O error on the update copy. This cause of suspension is defined only for the M-VOLs. The cause of suspension of the R-VOLs are usually set to “by MCU” in this situation.
MCU IMPL	The MCU could not find valid control information in its non-volatile memory during its IMPL procedure. This situation may occur after the power supply failure.
Initial Copy Failed	The volume pair was suspended before completing the initial copy. Even if no write I/O has been issued after being suspended, the data in the R-VOL is not completely identical to the M-VOL.

### 3.13.5 HRC Control Operations

This section describes HRC control operations from an Web Console.

#### (1) Add RCU Operation

##### (a) Serial interface connection

An Add RCU operation makes an MCU register the specified disk control unit as an RCU and establish the logical paths to the RCU. This operation also provides a function to modify the Remote Copy options which will be applied to all Remote Copy volume pairs in this subsystem.

To register the RCU, the following parameters are required:

RCU S#	Serial number of the RCU.
SSID	SSID (subsystem identifier) of the RCU.
Num. of Path	Number of logical paths which should be established to the RCU on the remote copy connections.

Path parameters to specify one logical path are shown below. Up to four sets of the path parameters, as many as the “Num. of Paths” parameter specifies, must be specified. The description of path parameters is similar to the channel path definitions in IOCDS (I/O configuration dataset). In the IOCDS, a logical path is described with a sub-channel number, a link destination address and a logical address of the control unit image. A “Port” parameter, instead of the sub-channel number, is used to specify the serial interface port in the DKC465I subsystem.

Port	The serial interface port of the DKC465I subsystem where the logical path begins from. Before this operation, the serial interface port must be set to the RCP mode.
Link Adr	The link destination address. Similar to the logical path definitions in the IOCDS, this is the destination port address on the ESCD which is set to provide the dynamic connection capability. If the remote copy connection does not include the dynamic connection, x'00' must be specified.
Logical Adr	The logical control unit address of the control unit image. If the RCU is configured as an SPSD (single path storage director), either x'00' or x'01' must be specified. Otherwise, x'00' must be specified.

Fig. 3.13.5-1 shows an example for the RCU and the path parameters.

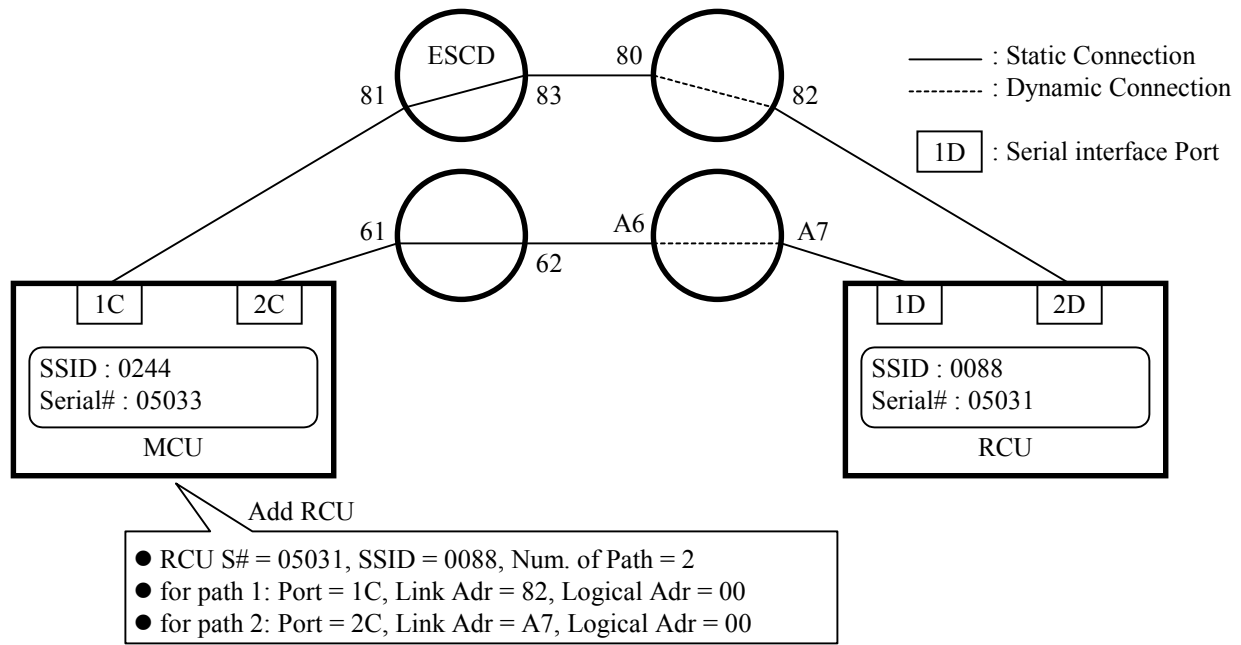


Fig. 3.13.5-1 Add RCU Operation Parameters Example

(b) Fibre channel interface connection

The following parameters are necessary to register RCU as a Fibre Channel connection.

- Port Type            Serial: Serial channel interface is used for the connection of MCU and RCU.  
Fibre: Fiber channel interface is used for the connection of MCU and RCU.
- Controller ID        But, Set it up with '02' when RCU is RAID400. Default is '03' fixed.
- RIO MIH Time        A data transfer complete waiting time to RCU from MCU.  
Usual: 15[Sec]. Avail. range: 10[Sec] ~ 100[Sec]
- Path Blockade Watch    For the connection of MCU and RCU Fibre Channel, set up the time to detect it as a pass difficulty (link going down) on the MCU side.  
Usual: 40[Sec]. Avail range: 0[Sec] ~ 45[Sec]
- MCU Port            An Initiator port of the DKC460-I subsystem which set up a logic pass.  
You must set up a Fibre Channel interface port in Initiator port before this operation.
- RCU Port            The Fibre Channel interface port of the place of the connection.  
You must specify a RCU target port.

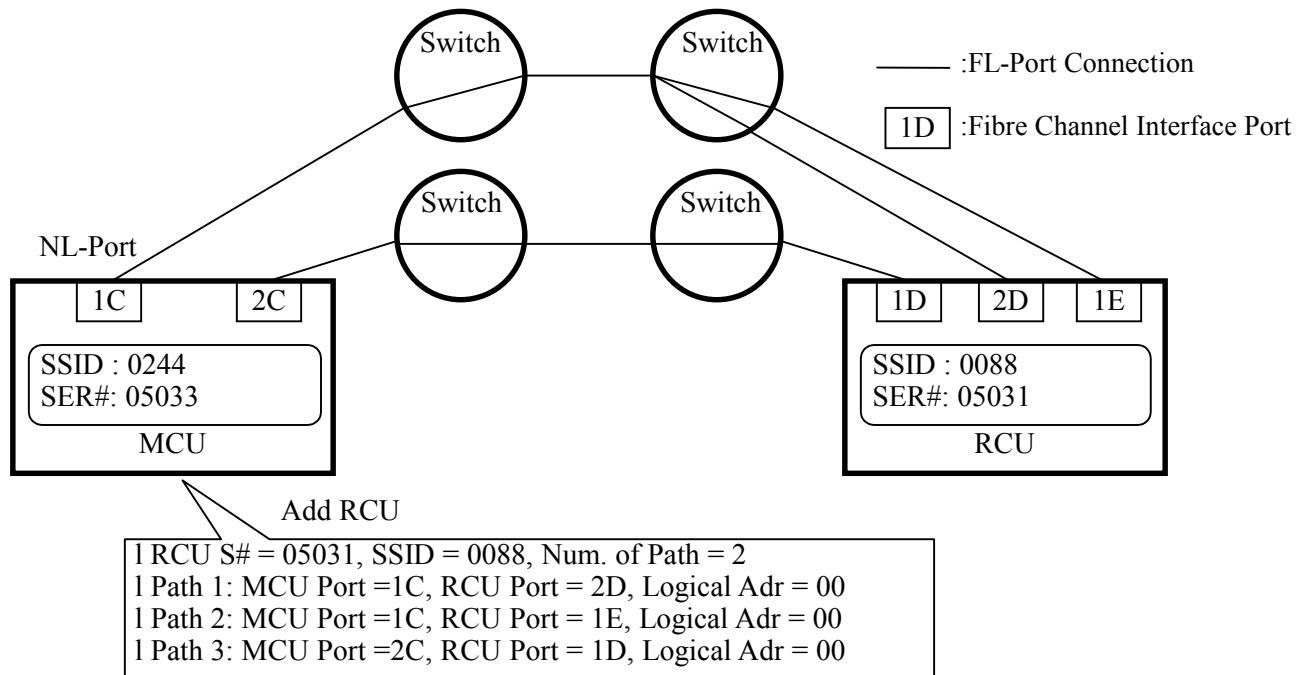


Fig. 3.13.5-2 Add RCU Operation

The following parameters modify the Remote Copy options which will be applied to all Remote Copy volume pairs in this subsystem.

Minimum Paths	When the MCU blocks the logical path due to communication failure, if the number of remaining paths becomes less than the number specified by this parameter, the MCU will suspend all of the Remote Copy volume pairs. The default value is set to "1". If the installation requirements prefers the subsystem I/O performance to the continuation of Remote Copy, value between "2" and the number of the established logical paths can be specified. When you use asynchronous pairs of fibre channel interface, please set the same value for the minimum paths of all RCU.
Maximum Initial Copy Activities	It specifies how many HRC initial copy can be simultaneously executed by the MCU. If more Remote Copy volume pairs are specified by an Add Pair operation, the MCU will execute the initial copy for as many volumes as specified by this parameter. The initial copy for other volumes is delayed until one of the initial copy is completed. This parameter can control the performance impact caused by the initial copy activity. Note: Default value of this parameter is "4".
Incident of RCU	This parameter specifies whether the link incident record generated by the RCU is to be sent or not to the host processor connected to the MCU.
PPRC supported by HOST	If "Yes" is specified, the MCU will generate the sense information which is compatible with IBM PPRC when the HRC volume pair is suspended. If "No" is specified, the MCU will generate only service information messages. Even if the SSB (F/M=FB) is specified by the Suspend Pair Operation, the x'FB' sense information will not be reported to the HOST.
Service SIM of Remote Copy	If "Report" is specified, the Remote Copy Service SIM will be reported to the HOST. If "Yes" is specified in PPRC supported by HOST option, DEV_SIM of HRC will not be reported. If "Not Report" is specified, the Remote Copy Service SIM reporting will be suppressed. Refer to "SIM Reference Codes Detected by the Processor for Remote Copy" in SIM-RC SECTION.

Note that these parameters will be applied to ALL RCUs registered to the MCU. If different parameters are specified, the last parameter will be applied.

## (2) Edit Path Operation

An Edit Path operation makes the MCU add/delete the logical path to/from the registered RCU.

To add a logical path, the same path parameters as an Add RCU operation are required. The added logical path will be automatically used to execute the copy activities.

When deleting a logical path, pay attention to the number of remaining logical paths. If it becomes less than the number specified by “Minimum Paths”, Remote Copy volume pair could be suspended.

## (3) RCU Option Operation

An RCU Option operation modifies the Remote Copy options described in “3.13.5(1) Add RCU operation”.

## (4) Delete RCU Operation

A Delete RCU operation makes the MCU delete the specified RCU from RCU registration. All logical paths to the specified RCU will be removed.

If some volumes connected to the specified RCU are active R-VOLs, this operation will be rejected. All R-VOLs must be deleted by a Delete Pair operation before a Delete RCU operation.

## (5) RCU Status Operation

An RCU Status operation makes the MCU display the status of RCU registration. It also provides the current status, time of registration and time of changing status for each logical path.

The current status of each logical path is defined as follows:

Normal	This logical path has been successfully established and can be used for the Remote Copy activities.
Initialization Failed	The link initialization procedure between the RCU is failed. It occurred due to Missing physical path connection between MCU and RCU, or connecting MCU with HOST as RCU.
Resource Shortage (RCU)	Establish Logical Path link control function has been rejected by the RCU. All logical path resources in the RCU might be used for other connections.
Serial Number Mismatch	The serial number of the control unit which is connected to this logical path does not match to the serial number specified by “RCU S#” parameter.
Invalid Port	The serial interface port specified by “Port” parameter is not in the RCP mode.

## (6) Add Pair Operation

An Add Pair operation makes the MCU establish a new Remote Copy volume pair. It also provides function to modify the Remote Copy options which will be applied to the selected Remote Copy volume pair. Up to 8192 Remote Copy volume pairs can be established in one DKC465I subsystem.

To establish Remote Copy volume pair, following parameters are required:

RCU	The disk control unit which controls the R-VOL of this Remote Copy volume pair. It must be selected from RCUs which have already been registered by Add RCU operations.
R-VOL	Device number of the R-VOL.
Priority	<p>Priority (scheduling order) of the initial copy for this volume pair. When the initial copy for one volume pair has been terminated, the MCU selects and start the initial copy for another volume pair which has the lowest value of this parameter. For the Add Pair operations, the value “1” through “256” can be specified. For establishing HRC volume pair by TSO command or DSF command for PPRC, “0” is implicitly applied to. “0” is the highest priority, “256” is the lowest, and default value for the Add Pair operation is “32”.</p> <p>For the volume pairs to which the priority have been specified, the MCU prioritizes the volume pairs in the arrival order of the Add Pair operations or TSO/DSF commands.</p> <p>If the MCU are performing the initial copy for the number of volume pairs, as much as the value of “maximum initial copy activities”, and accepts further Add Pair operation, the MCU does not start other initial copy until one of the copy being performed will be completed.</p> <p>Note: When a time out occurs in this operation, a schedule may not be done as the priority parameter.</p> <p style="padding-left: 40px;">The cause of the time-out is thought the problem of the configuration of DKC or Remote-copy connection path. Confirm configuration. After that, cancel a pair, and re-establish a pair.</p>
Operation Mode	It specifies what kind of remote copy capability should be applied to this volume pair. “Remote Dual Copy” means HRC respectively.
Initial Copy	<p>It specifies what kind of initial copy activity should be executed for this HRC volume pair. The kind of the initial copy can be selected out of:</p> <ul style="list-style-type: none"> <li>- “Entire Volume” specifies that all cylinders excluding the alternate cylinder and the CE cylinders should be copied.</li> <li>- “Only Out-of-Sync Cylinders” specifies that only cylinders which have been updated during this HRC volume pair is in “suspended” state</li> <li>- “None” specifies that the initial copy does not need to be executed. The synchronization between volume pair must have been ensured by the operator.</li> </ul>

Remote Copy option parameters which will be applied to this Remote Copy volume pair are as follows:

- Initial Copy Pace** It specifies how many tracks should be copied at once by the initial copy. “15 Tracks” or “3 Tracks” can be specified. When “15 Tracks” is selected, elapsed time to complete the initial copy becomes shorter, however, the subsystem I/O performance during the initial copy could become worse. This parameter is valid only for HRC volume pair.  
Note: The default value of this parameter is “15”.
- DFW to R-VOL** It specifies whether the DFW capability of the R-VOL is required or not. If “DFW required” is specified, the HRC volume pair will be suspended when the RCU can not execute the DFW due to, for example, cache failure. If the installation requirements prefers the continuation of HRC to the subsystem I/O performance, “DFW not required” is recommended. This parameter is valid only for HRC volume pair.
- CFW Data** It specifies whether the records updated by CFW should be copied to the R-VOL or not. “Only M-VOL”, which means that CFW updates are not copied, is recommended because CFW data is not always necessary for disaster recovery.
- M-VOL Fence Level** It specifies by what conditions the M-VOL will be fenced (the MCU will reject the write I/O operations to the M-VOL).
- “R-VOL Data”: The M-VOL will be fenced when the MCU can not successfully execute the update copy.
  - “R-VOL Status”: The M-VOL will be fenced when the MCU can not put the R-VOL into “suspended” state. If status of the R-VOL is successfully changed to “suspended”, the subsequent write I/O operations to the M-VOL will be permitted.
  - “Never”: The M-VOL will never be fenced. The subsequent write I/O operations after the HRC volume pair has been suspended will be permitted. This parameter is valid only for HRC volume pairs.

## (7) Delete Pair Operation

A Delete Pair operation makes the specified Remote Copy volume pair being terminated. It can be operated on either the MCU or the RCU.

- When operated on the MCU, both the M-VOL and the R-VOL will be put into the “simplex” state.
- When operated on the RCU, only the R-VOL will be put into the “simplex” state. The M-VOL will be suspended when the MCU detects this operation. To complete deleting this volume pair, the MCU requires another Delete Pair operation.

When the MCU accepts this operation and it can not communicate with the RCU, this operation will be rejected. “Delete Pair by Force” option can make the MCU complete this operation, even if it can not communicate with the RCU.

For the purpose of the recovery operation simply, “Delete All Pairs” option is provided in the delete pair operation. This option is need to use “Delete Pair by Force” option together, and specifies that the all volume pairs in the same RCU (CU Image) should be deleted. In the case of the delete operation at the RCU, specifies that the all volume pairs in the same serial number of the MCU and the same CU image of the MCU should be deleted.

## (8) Suspend Pair Operation

A Suspend Pair operation makes the MCU or the RCU suspend the specified Remote Copy volume pair.

The option parameter of this operation are as follows:

SSB (F/M=FB)	The MCU and the RCU will generate sense information to notify the suspension of this volume pair to the attached host processors. This option is valid only for HRC volume pairs.
M-VOL Failure	The subsequent write I/O operations to the M-VOL will be rejected regardless of the fence level parameter. This option can be selected only when operating on the MCU. This option is valid for only HRC volume pairs.
R-VOL	For HRC volume pairs. This option can be accepted by the MCU and the RCU.

### (9) Pair Option Operation

A Pair Option operation modifies the Remote Copy option parameters which has been applied to the selected Remote Copy volume pair. Refer to “3.13.5(6) Add Pair Operation” for the option parameters.

### (10) Pair Status Operation

A Pair Status operation makes the MCU or the RCU display the result of the Add Pair operation or the Pair Status operation to the specified Remote Copy volume pair, along with the following information:

Initial Copy Complete	When this Remote Copy volume pair is in “pending duplex” state, it indicates how many cylinders have been successfully copied by the initial copy. When this Remote Copy volume pair is in “suspended” state, it indicates how many cylinders are currently identical between this Remote Copy volume pair. This information is provided only by the MCU.
Pair Status	It indicates the status of the M-VOL or the R-VOL. Definition of the volume states is described in “3.13.6(3) HRC Volume Pair Status”.
Last Update	It indicate the time stamp when the volume pair status has been updated. Note that the time stamp value is obtained from an internal clock in the DKC465I subsystem.
Pair Established	It indicates the time stamp when the volume pair has been established by an Add Pair operation. Note that the time stamp value is obtained from an internal clock in the DKC465I subsystem.

## (11) Resume Pair Operation

A Resume Pair operation restart the suspended Remote Copy volume pair. It also provides function to modify the Remote Copy options which will be applied to the selected Remote Copy volume pair.

“Out-of-Sync Cylinders” are recorded in the form of cylinder-bit-map allocated in SM (shared memory) of the DKC465I. If the MCU is powered off and the cylinder-bit-map is not retained due to the battery being discharged, the MCU resumes the initial copy as follows:

- (a) For the HRC volume pair in “pending duplex” state, the initial copy is automatically resumed. The all cylinders of this volume will be copied.
- (b) For the HRC volume pair in “suspended” state, the all cylinders of this volume will be copied responding to the Resume Pair operation.

## (12) Port Operation

### (a) Serial interface connection

All serial interface ports in the DKC465I subsystem are initially set to the LCP mode, to which the host processor channels can be connected. At least two serial interface ports, one port from each storage cluster, must be set to the RCP mode for remote copy connections.

A Port operation makes the DKC465I subsystem change the operating mode of the specified serial interface port(s).

Before changing the operating mode from the LCP mode to the RCP mode, all channel paths to the specified port must be removed using host processor console or ESCD commands.

Before changing the operating mode from the RCP mode to the LCP mode, all RCUs which are connected through the specified port must be deleted by an Delete RCU operation.

Note: The Define Configuration & Installation operation also provide the function to set the operating mode of each serial interface mode.

## (b) Fibre channel interface connection

You must set up the connection port of MCU and RCU prior to the pass formation in Initiator port or the RCU target port from the usual target port.

Port topology of Initiator port and the RCU target port must be set up as follows.

- Direct connection : Fabric = OFF,FC-AL
- A connection via Switch : Fabric = ON, FC-AL or Point to point

In case of a direct connection between MCU and RCU, each Fibre channel port topology must be the same as “Fabric:Off and FC-AL”.

In case of via FC-Switch connection between MCU and RCU, each Fibre channel port topology must be set suitable for the closest FC-Switch’s topology.

(Ex.) “Fabric:On and FC-AL” or “Fabric:On and Point-to-Point”

## (13) Remote Copy function Switch Operation

The Specification of a present function switches is displayed.

Moreover, the function switches which want to specified/released can be set.

Number of function switches is 64.

This function is only SVP operation.

The function allocated in each switch is as follows.

- 00: Decreases the time of Path Blockade Watch of Fibre-HRC PPRC command (ESTPATH) from 40 seconds (default) to 35 seconds.
- 01: Increases the time of Path Blockade Watch of Fibre-HRC PPRC command (ESTPATH) from 40 seconds (default) to 45 seconds.
- 02: Reserved.
- 03: Reserved.
- 04: Reserved.
- 05: The function to reject pair create when there is no difference area which is necessary for the difference memory unit that it was indicated by the pair create.
- 06 ~ 10: Reserved.
- 11: When “15 Tracks” is selected in the Fibre Remote Copy option parameter, a maximum of 32 tracks are copied by the initial copy.
- 12: When Add Pair or Resume Pair is operated with the HOST command, Only M-VOL is set up in the CFW Data option.
- 13 ~ 63: Unused.

(3) Connection composition

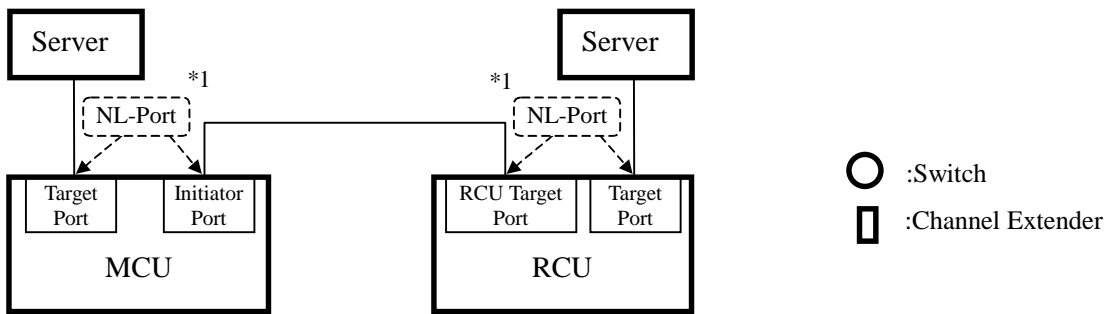
Connection composition examples are shown below:

In case of a direct connection between MCU and RCU, each Fibre channel port topology must be the same as “Fabric:Off and FC-AL”.

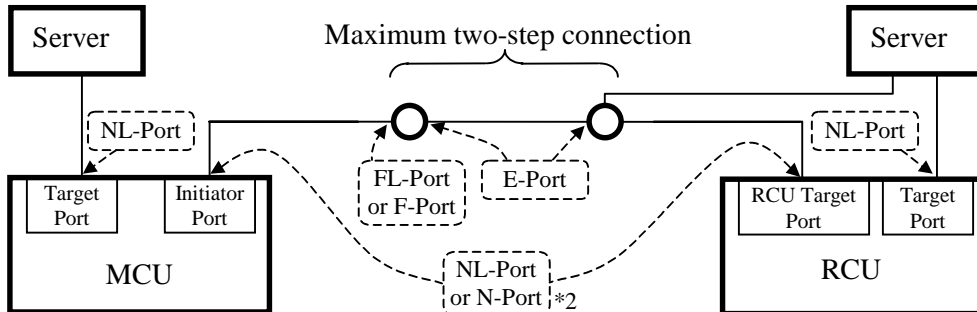
In case of via FC-Switch connection between MCU and RCU, each Fibre channel port topology must be set suitable for the closest FC-Switch’s topology.

(Ex.) “Fabric:On and FC-AL” or “Fabric:On and Point-to-Point”

(a) Direct connection \*1 Fabric OFF



(b) Switch connection \*2 Fabric ON



(c) Extender connection \*3 Fabric ON

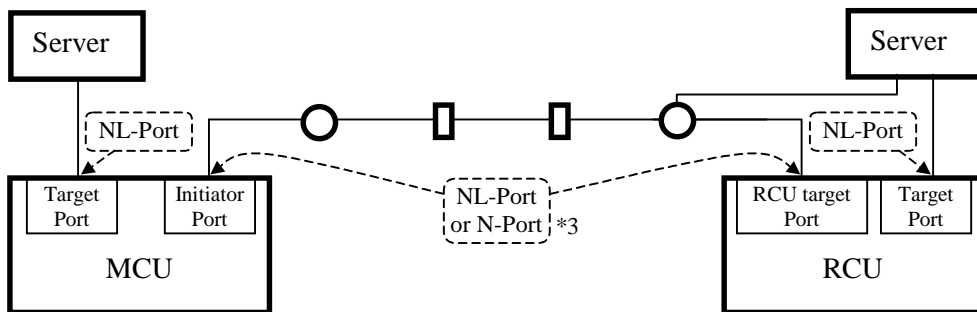


Fig. 3.13.5-3 Connection composition examples

### 3.13.6 Managing HRC Environment

#### (1) Setting Up HRC Volume Pairs

##### (a) Sequence of Operations

Sequence of operations to establish the HRC volume pairs are shown below.

Table 3.13.6-1 Operations to Set Up HRC Volume Pairs

Step		Operation	
		SVP*	Others
1	Set appropriate serial interface ports to the RCP mode.	Port	
2	Establish logical paths between the DKC465I HRC subsystems	Add RCU	Before this step, remote copy connections must be established between DKC465I subsystems.
3	Ensure that the R-VOLs are offline from host processors		If necessary, perform the following system command. <In case of MVS system> <ul style="list-style-type: none"> <li>• VARY OFFLINE</li> </ul> <In case of VM system> <ul style="list-style-type: none"> <li>• VARY OFFLINE from guest OS</li> <li>• VARY PATH OFFLINE from VM</li> </ul>
4	Establish HRC volume pairs.	Add Pair	

\* : Operations from the SVP/Web Console attached to the MCU.

Several volume pairs can be specified within one Add Pair Operation. After completing an Add Pair operation, another Add Pair operation can be executed to establish another HRC volume pairs.

Be sure to vary the R-VOLs offline from the attached host processors before executing the Add Pair operation. The RCU will reject the write I/O operations to the R-VOLs once the Add RCU operation has been accepted.

## (b) Considering HRC Parameters

Setting of the “fence level” parameter to the Add Pair operation and the “PPRC supported by host” and “Service SIM of Remote Copy” option to the Add RCU operation depends on your disaster recovery planning. Refer to “3.13.7(1) Preparing for Disaster Recovery” for these parameters.

Setting of the “CFW data” and “DFW to R-VOL” parameters to the Add Pair operation and the “minimum paths” parameter to the Add RCU operation depends on your performance requirement to the DKC465I subsystem at the primary site. Refer to “3.13.5(6) Add Pair operation” and “3.13.5(1) Add RCU operation” for these parameters.

Setting of the “maximum initial copy activities” parameter to the Add RCU operation and the “priority” and the “initial copy pace” parameters can control performance effect from the initial copy activities. Refer to “3.13.6(1)(c) Controlling Initial Copy Activities” for more detailed description.

Refer to “3.13.5(1) Add RCU operation and “3.13.5(6) Add Pair operation” for other parameters.

### (c) Controlling Initial Copy Activities

To control performance effect from the initial copy activities, the “maximum initial copy activities” parameter and the “priority” and the “copy pace” parameters can be specified:

- The “maximum initial copy activities” parameter controls the number of volumes for which the initial copy are concurrently executed;
- The “priority” parameter specifies the executing order of the migration copy on volume pair basis;
- The “copy pace” parameter specifies how many tracks should be copied by each initial copy activity.

Refer to the following example for the “maximum initial copy activities” and the “priority” parameters.

#### **Example**

Conditions:

- The Add Pair operation specifies that devices 00~05 should be M-VOLs.
- “Maximum initial copy activities” is set to “4” (this is the default value).
- “Priority” parameters for devices 00~05 are set to “3”, ”5”, ”5”, “1”, “4”, and “2” respectively.

Under the above conditions, the MCU will performs the initial copy:

- for devices 00, 03, 04 and 05 immediately.
- for device 01 when one of the initial copy has been terminated.
- for device 02 when the initial copy for the second device has been terminated.

### (2) Suspending and Resuming the HRC Volume Pairs

This section describes the operations to suspend or resume the HRC volume pair, which are necessary for the following sections in this chapter.

The Suspend Pair operation with the “R-VOL” option parameters can suspend the specified HRC volume pairs while the M-VOLs are still accessed from the attached host processors. The “SSB” option should not be selected to prevent the sense information from being generated.

To resume the suspended HRC volumes pairs, the Resume Pair operation must be executed.

Refer to “3.13.5(8) Suspend Pair Operation” and “3.13.5(6) Add Pair Operation” for more detailed description.

### (3) Managing Power On/Off of HRC Components

#### (a) Cutting Power to HRC component

Cutting power to the RCU or the ESCDs on the remote copy connections, or other equivalent events which make the MCU unable to communicate with the RCU should be controlled in order not to affect the remote copy activities. If the MCU detects these events when it intends to communicate with the RCU, it would suspend all HRC volume pairs.

To avoid this problem, the applications on the primary host processors must be terminated or all HRC volume pairs must be suspended or terminated, before performing these events.

Refer to “3.13.6(2) Suspending and Resuming the HRC Volume Pairs” for the operations to suspend and resume the HRC volume pairs.

#### (b) Power Control Interface at the Secondary Site

In the secondary site, It is not recommended to use the power control interface which remotely cuts the power to the RCU or the ESCD on the remote copy connections in order to avoid the situation described in “3.13.6(3)(a) Cutting Power to HRC components”.

#### (c) Power-on-sequence

The RCU and the ESCDs on the remote copy connections must become operable before the MCU accepts to first write I/O operation to the M-VOLs.

After the power-on-reset sequence of the MCU, It communicates with the RCU in order to confirm the status of the R-VOLs. If it is not possible, the MCU retries the confirmation until it is successfully completed or the MCU accepts the first write I/O operations to the M-VOLs.

If the MCU accepts the first write I/O operation before completing the confirmation, the MCU will suspend the HRC volume pair. This situation is critical because the status of the R-VOL can not be changed, that is, remains “duplex” state.

### (4) Executing ICKDSF to HRC Volume Pairs

The updates by the channel programs which specify “diagnostic authorization” or “device support authorization” are not reflected to the R-VOL. ICKDSF commands which issue the write I/O operations to the M-VOL must be controlled. The HRC volume pairs must be suspended or terminated before performing ICKDSF commands.

Refer to “3.13.6(2) Suspending and Resuming the HRC Volume Pairs” for the operations to suspend and resume the HRC volume pairs.

### 3.13.7 HRC Error Recovery

#### (1) Preparing for Disaster Recovery

##### (a) Considering Fence Level Parameter

Table 3.13.7-1 shows how the fence level parameter of the Add Pair operation has an effect on the write I/O operations to the M-VOL after the HRC volume pair has been suspended. You should select one of the fence level considering the “degree of the currency” of the R-VOL required by your disaster recovery planning. The SVP or Web Console, which is connected to either the MCU or the RCU, can display the fence level parameter which has been set to the HRC volume pairs.

Table 3.13.7-1 Effect of the Fence Level Parameter

Failure		Subsequent write I/O operations to the M-VOL will be ...		
		“Data”	“Status”	“Never”
1)	The update copy has failed,	rejected*	-	-
2)	(1) & however the status of the R-VOL could have been successfully changed to “suspended” state.	rejected*	accepted	accepted
3)	(1) & furthermore the status of the R-VOL could not have been changed to “suspended” state.	rejected*	rejected*	accepted

\* ) Sense bytes includes “command reject” and x’0F’ of format/message.

Note: “Data” and “Status” has an effect when an HRC volume pair of “duplex” state is suspended. For HRC volume pairs which are in “pending duplex” state, subsequent write I/O operations will not be rejected regardless of Fence Level parameter.

#### 1) Fence Level = “Data”

The data of the R-VOL is always identical to the M-VOL if once the HRC volume pair has been successfully synchronized. You can reduce the time to analyze whether the R-VOL is current or not in your disaster recovery procedures.

However, this parameter will make the M-VOL not accessible from your applications whenever the HRC copy activity has failed. Therefore you should specify this parameter to the most critical volumes for your disaster recovery planning.

Most of the database system supports duplexing the critical files, for example log files of DB2, for its file recovering capability. It is recommended to locate the duplexed files on the volumes in the physically separated DKC465I subsystems, and establish HRC volume pairs for each volumes by using physically separated remote copy connections.

Note 1: If the failure has occurred before completing the initial copy, the R-VOL can not be used for disaster recovery because the data of the R-VOL is not fully consistent yet. You can become aware of this situation with referring status of the R-VOL in your disaster recovery procedures. Refer to “3.13.7(2)(b) Analyzing the Currency of R-VOLs” for more detailed description.

Note 2: Only the difference between the HRC volume pair must be the last update from the host processor. HRC is a synchronous remote copy. The MCU reports a “unit check” if it detects the failure on the write I/O operation including the update copy to the R-VOL. Therefore, the operating system and the application program does not regard the last (failed) I/O operation as successfully completed.

This parameter is functionally equivalent to “CRIT=YES” parameter for IBM PPRC

## 2) Fence Level = Never

The subsequent write I/O operations to the M-VOL will be accepted even if the HRC volume pair has been suspended. Therefore the contents of the R-VOL can become “older” (behind the currency of corresponding M-VOL) if the application program continue updating the M-VOL. Furthermore, the status of the R-VOL which will be obtained from the RCU can not be in a “suspended” state.

To use this parameter, your disaster recovery planning must satisfy the following requirements:

- The currency of the R-VOL should be decided by referring the error message which might have been transferred through the error reporting communications or analyzing the R-VOL itself with other files which are confirmed to be current.
- The data of the R-VOL should be recovered by using other files which are ensured to be current.

This parameter is functionally equivalent to “CRIT=NO” parameter for IBM PPRC

## 3) Fence Level = Status

The level of this parameter is between “Data” and “Never”. Only when the status of the R-VOL can be ensured, the subsequent write I/O operations to the M-VOL will be permitted. Therefore the disaster recovery procedure of deciding the currency of the R-VOL can be reduced.

### (b) Transferring the Sense Information through Error Reporting Communications

When the HRC volume pair is suspended, the MCU generates the sense information which notifies the host processor of the failure. This will help in deciding the currency of the R-VOLs in the disaster recovery procedures by transferring the sense information, or the system console message caused by the sense information, with the system time stamp information.

The sense information can be selected out of:

- x'FB' of format/message. The sense information is compatible with IBM PPRC and result on a corresponding system console message, for example IEA491E of MVS, if the operating system supports it.
- service information message whose reference code means that the HRC volume pair has been suspended.

Note: The first version of HRC is not completely certified under the operating system which does not support IBM PPRC. Therefore the x'FB' sense information must be selected.

The error reporting communications are essential if you use the fence level of "Status" or "Never".

### (c) File Recovery Procedures Depending on Installations

HRC is a synchronous remote copy. All updates to the M-VOLs are copied to their R-VOLs before completing each channel program of the write I/O operations. When the HRC volume pairs have been suspended or the MCU has become inoperable due to a disaster, therefore, many data "in progress" could remain in the R-VOLs. That is, some data set might be still opened, or some transactions might not be committed yet. All breakdown cases should be previously considered.

Therefore, even if you have selected the fence level of "Data" for all HRC volume pairs, you should establish the file or volume recovery procedures. The situation which should be assumed is similar to that where the volumes have become not accessible due to the disk controller failure in non-remote copied environment.

If you use the fence level of "Status" or "Never", the suspended R-VOLs could become "ancient" compared to other volumes. This situation might cause a data inconsistency problem among several volumes.

You should prepare, in your disaster recovery, for recovering some files or some volumes which have become "ancient" by using:

- files for file recovery, for example DB2 log files, which have been confirmed to be current. To ensure the currency of these files, it is recommended to use the fence level of "Data" for these critical volumes.
- the sense information with the system time stamp which have been transferred through the error reporting communications.
- full consistent file or volume backups, if the sense information and the system time stamp can not be used.

---

(d) CSUSPEND/QUIESCE for IBM PPRC

PPRC recommends to customers to establish their disaster recovery planning where the CSUSPEND/QUIESCE TSO command is programmed to be issued responding to the IEA491E system console messages. This procedure intentionally suspend the remaining volume pairs when some volume pairs have been suspended due to a disaster.

The CSUSPEND/QUIESCE TSO command will be supported as the enhancement to HRC.

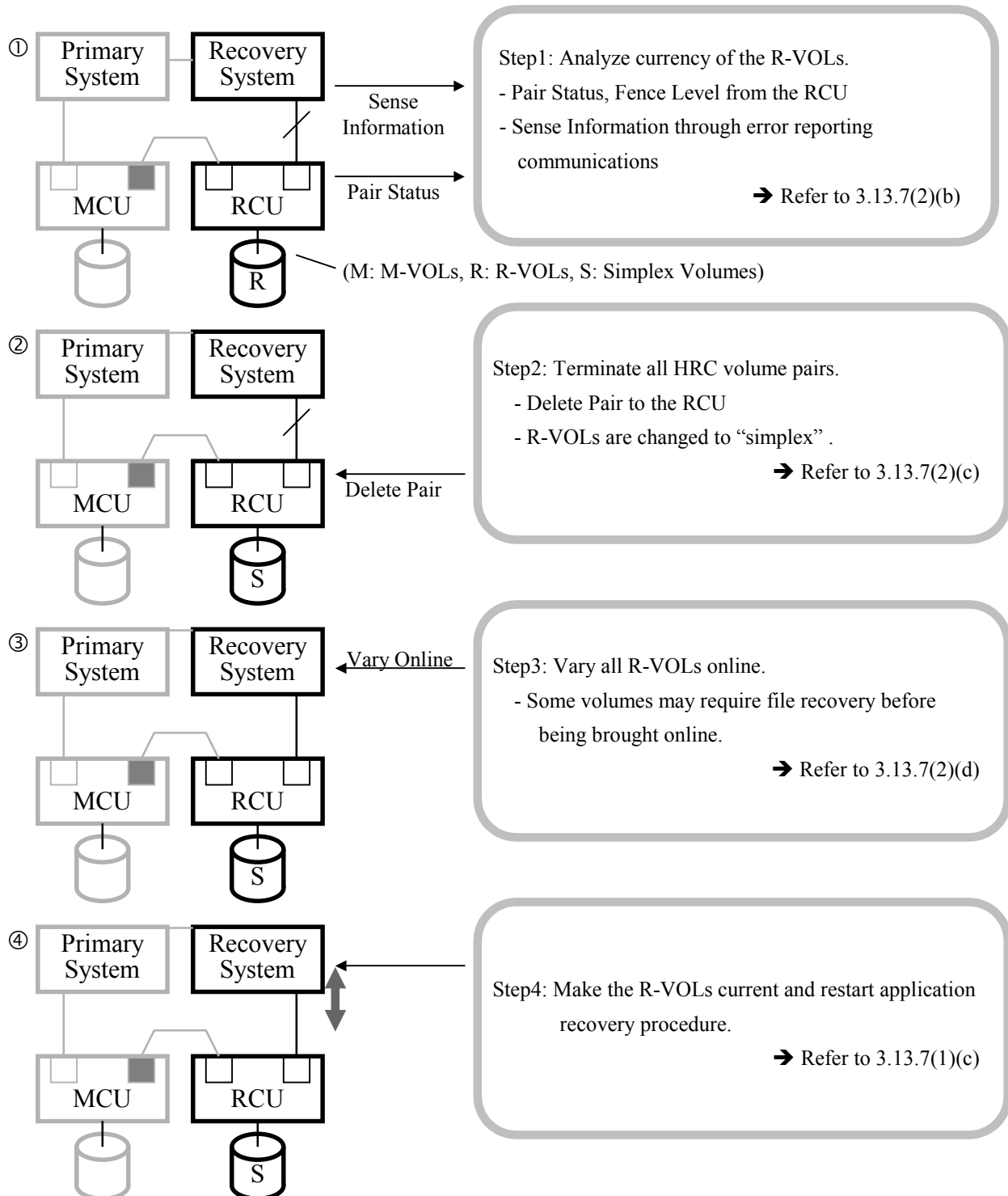
(e) All SIM of the HRC clear option

For the purpose of the restrain to report the HRC SIM which should be generated at the disaster and the recovery operations during the OS IPL, "Clear SIM" button is provided on Other Operation tab at the SVP and the Web Console. This function is able to use for the disaster recovery operation, and specifies that the all Remote Copy SIM in the subsystem should be deleted.

(2) Disaster Recovery Procedures - Switching to the Recovery System

(a) Summary

① Primary system and MCU becomes inoperable due to disaster.



## (b) Analyzing the Currency of R-VOLs (Step 1)

## 1) Analyzing Status of the R-VOLs and Fence Level Parameter

Table 3.13.7-2 Currency of the R-VOLs

Status of R-VOL	Fence Level for this HRC volume pair		
	Data	Status	Never
Simplex	To be confirmed	To be confirmed	To be confirmed
Pending Duplex	Inconsistent	Inconsistent	Inconsistent
Duplex	Current	Current	To be analyzed
Suspended (Initial Copy Failed)	Inconsistent	Inconsistent	Inconsistent
Suspended (by other reason)	Current	Suspected	Suspected

Table 3.13.7-2 shows how to analyze the currency of the R-VOLs referring the status of the R-VOLs and the fence level parameter which have been specified when establishing the HRC volume pairs.

The status of the R-VOLs must be obtained from the RCU in your disaster recovery procedures. The fence level parameter must be previously field since it cannot be obtained From RCU.

Meaning of the results or further actions shown in each column of table 3.13.7-2 are as follows:

- To be confirmed** This volume does not belong to any HRC volume pair. If you have certainly established the HRC volume pair for this volume and you have never deleted it, you should regard this volume as inconsistent.
- Inconsistent** The data on this volume is inconsistent because not all cylinders have successfully been copied to this volume yet. You can not use this volume for the applications unless this volume is initialized (or successfully copied from the M-VOL at later time).
- Current** The data on this volume is completely synchronized with the corresponding M-VOL.
- To be analyzed** The currency on this volume can not determined. To determine the currency, further analysis described in (2) of this section should be performed.
- Suspected** The data on this volume must be “older”, behind the currency of corresponding M-VOL. You should restore the consistency of this volume at least, and the currency of this volume if required. The system time information which might have been transferred through the error reporting communications or time of suspension obtained from the Pair Status operation will help you decide the last time when this volume was current.

## 2) Further Analysis by Referring to Other Information

The M-VOLs, to which the fence level parameter has been set to “Never”, will accept the subsequent write I/O operations regardless of the result of communication to change the R-VOL into the “suspended” state. Therefore, the status of the R-VOL should be analyzed by referring to the following information:

- The sense information through the error reporting communications. If the sense information which denotes the suspension of this volume is found, you can return to table 3.13.7-2 with assumption of the “suspended” state.
- The status of the M-VOL obtained from the MCU, if possible. You should return to table 3.13.7-2 with assumption of the same status as the M-VOL and fence level of “Status”.
- The other related files, for example DB2 log files, which have been confirmed to be current.

### (c) Terminating HRC Volume Pairs (Step 2)

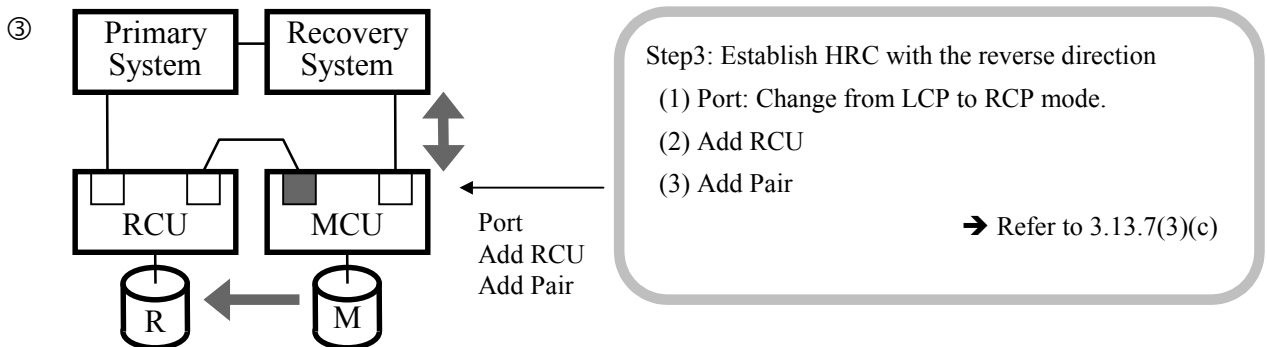
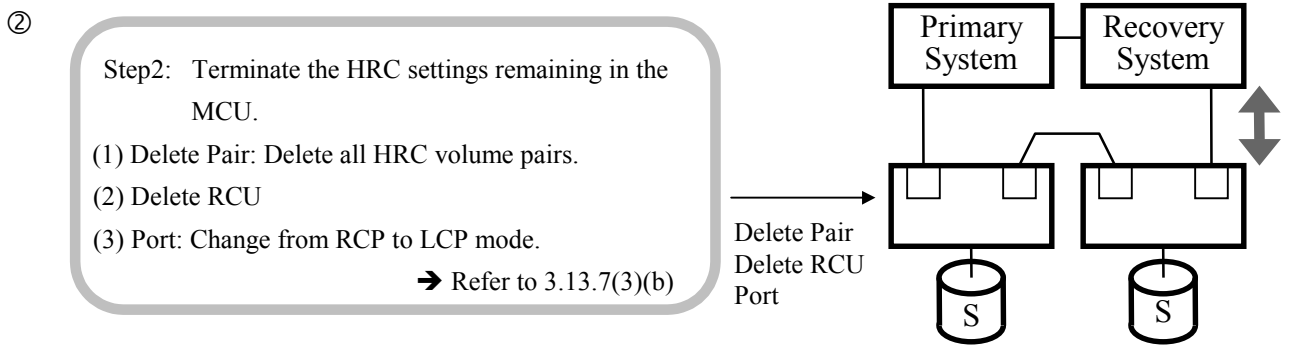
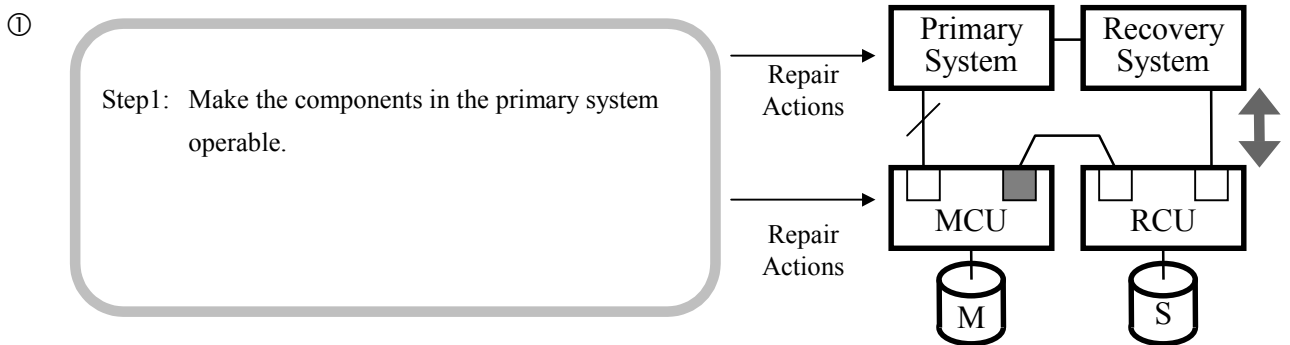
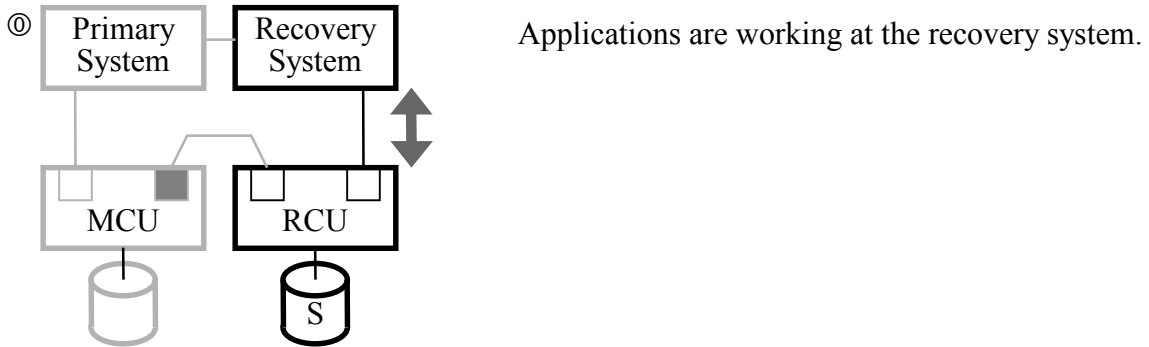
The "Delete Pair" operation to the RCU terminates the specified HRC volume pairs. These R-VOLs will be changed to “simplex” state. Specified “Delete Pair by Force” option and “Delete All Pairs” option delete all volume pairs in the same serial number of the MCU and the same CU image of the MCU should be deleted. Refer to “3.13.7(7) Delete Pair Operation”.

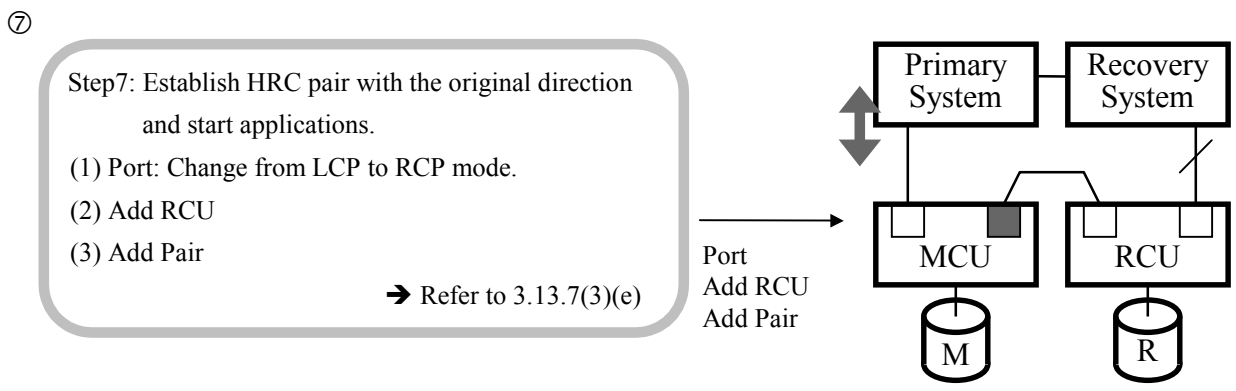
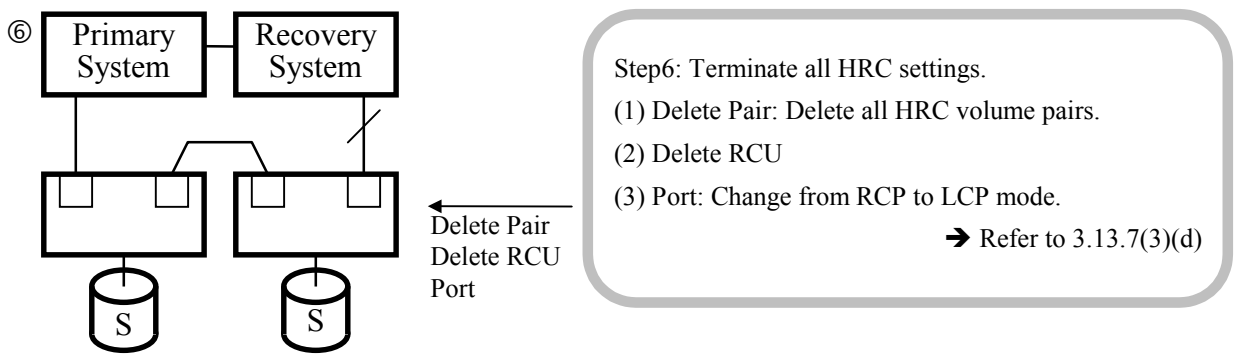
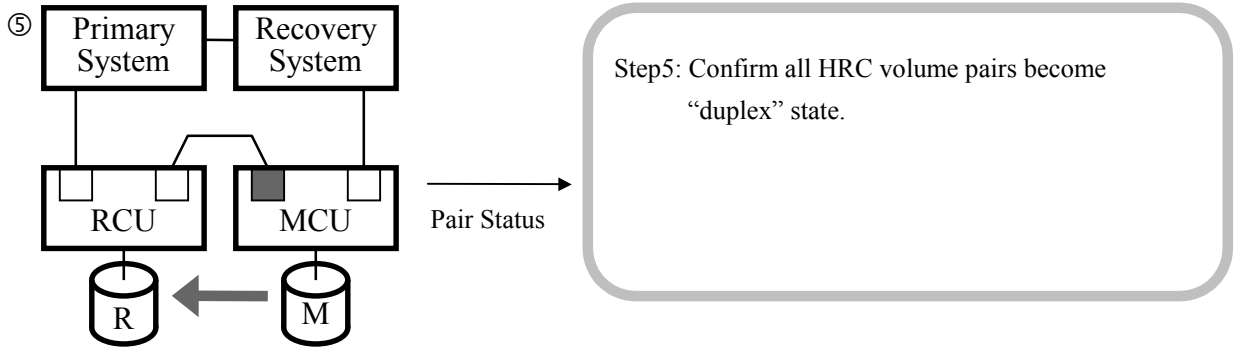
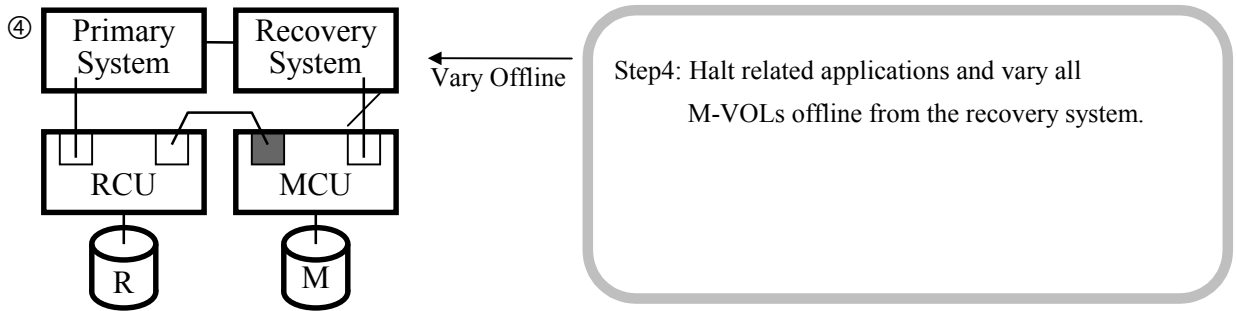
### (d) Vary all R-VOLs online (Step 3)

In the case of the OS IPL, execute the “Clear SIM” operation at the SVP or the Web Console before OS IPL.

(3) Disaster Recovery Procedures - Returning to the Primary Site

(a) Summary





**(b) Terminating the HRC Settings Remaining in the MCU (Step2)**

After the DKC465I subsystem becomes operable, the remaining registration of the HRC volume pairs and the RCU should be deleted by performing the Delete Pair operation and Delete RCU operation respectively.

To complete the Delete Pair operation, the “delete pair unconditionally” option is required because the original R-VOLs do not belong presently to any HRC volume pairs. The MCU will change the specified M-VOLs into “simplex” state without checking the current status of the corresponding R-VOLs.

Specified “Delete Pair by Force” option and “Delete All Pairs” option the all volume pairs in the same RCU should be deleted.

Note that the status of M-VOLs may be “Suspended (Delete Pair to RCU)” because of Delete Pair operation issued to the RCU in step 2 of “3.13.7(2) Disaster Recovery Procedures - Switching to the Recovery System”. It is normal condition in this situation.

Before performing the Delete RCU operation, all HRC volume pairs must be deleted.

If you want to use same remote copy connections for step 3, the serial interface ports which have been set to the RCP mode should be changed to the LCP mode by the Port operation.

**(c) Establish HRC with the Reverse Direction (Step3)**

The HRC volume pair should be established with the reverse direction to synchronize the original M-VOLs with the original R-VOLs. The procedures for this step are same as those described in “3.13.6(1) Setting Up HRC Volume Pairs”. Note that the DKC465I subsystems in the original primary site and the recovery site are treated as the RCUs/R-VOLs and the MCUs/M-VOLs respectively.

Do not select “only out-of-sync cylinders” or “none” parameter to the Add Pair operations. The volumes in the original primary site are now behind the volumes in the recovery site. Furthermore the updates to the volumes in the recovery site have not been accumulated in cylinder bit map.

## (d) Terminate Applications and HRC Settings at the Recovery Site (Step 4~6)

HRC settings with the reverse direction must be deleted after halting the applications in the recovery site (step 4) and confirming that all HRC volume pairs are in “duplex” state (step 5).

Specified “Delete Pair by Force” option and “Delete All Pairs” option the all volume pairs in the same RCU should be deleted.

If you want to use same remote copy connections for step 7, the serial interface ports which have been set to the RCP mode should be changed to the LCP mode by the Port operation.

## (e) Establish HRC Pair with the Original Direction and Start Applications (Step 7)

The HRC volume pair should be established with the original direction to synchronize the original M-VOLs with the original R-VOLs. The procedures for this step are same as those described in “3.13.6(1) Setting Up HRC Volume Pairs”.

Do not select “only out-of-sync cylinders” or “none” parameter to the Add Pair operations. The volumes in the original primary site are now behind the volumes in the recovery site. Furthermore the updates to the volumes in the recovery site have not been accumulated in cylinder bit map.

### 3.14 HMRCF & HOMRCF

#### 3.14.1 Overview

##### (1) Main object

- 1) Reduce Backup time.
- 2) Easy testing before system upgrade with the data whose applications are actually used on the system.

##### (2) Function Outline

- 1) Making duplicated volumes.
- 2) There is no conflict on volume because the duplicated volumes are on another physical storage.
- 3) Three destination volumes can be with one master volume.  
Those three pairs can be split independently.
- 4) HMRCF (Hitachi Multiple RAID Coupling Feature) can be controlled by PPRC Command interface.
- 5) HOMRCF (Hitachi Open Multiple RAID Coupling Feature) can be controlled from RAID Manager.

Table 3.14.1-1 Outline of HMRCF & HOMRCF

No.	Items	Specification		
1	Coupling object	One logical volume (LDEV)		
2	Support emulation type of LDEV	HMRCF: All emulation types and CVSs of them HOMRCF: All emulation types and CVSs and LUSE of them		
3	Requirement for create a pair	(1) Pair LDEVs have to be a same track format and same capacity.		
		(2) Pair LDEVs have to exist in a same subsystem.		
		(3) It is not possible to share a destination volume at same time.		
		• When forming a pair using volumes of the NAS system.		
		Master Volume	Destination Volume	Support
		NAS System Volume	User Volume	Supported
		User Volume	NAS System Volume	Not Supported
		NAS System Volume	NAS System Volume	Not Supported
4	Support of CVS (Customized Volume Size)	HMRCF : Supported HOMRCF : Supported		
5	Combination of RAID level between master volume and destination volume	If either primary or secondary volume is the volume which a path is defined in the iSCSI port, it cannot create a pair. RAID1(2D+2D)←→RAID1(2D+2D) RAID5(3D+1P or 7D+1P)←→RAID5(3D+1P or 7D+1P) RAID5(3D+1P or 7D+1P)←→RAID1(2D+2D)		
6	Data protection	There is a parity protection for both master volume and destination volume.		
7	RESYNC pattern	HMRCF/ HOMRCF supports 2 types of RESYNC pattern. From Master Volume data to Destination volume and from Destination Volume to Master Volume		
8	Time for transition from Duplex to Split.	3 min./VOL(3390-3) without IO (Depend on the number of pairs and the load of DKC)		
9	When the destination volume can be accessed from HOST.	The destination volume can be accessed at only Split status.		

10	Cooperation with HRC/XRC	HMRCF : Supported The master volume of HMRCF can be an M-VOL or R-VOL of HRC.
11	Cooperation with HORC	HOMRCF : Supported The master volume of HOMRCF can be an M-VOL or R-VOL of HORC.
	Cooperation with HORC (only for HOMRCF)	Supported <ul style="list-style-type: none"> <li>The M-VOL or R-VOL of HORC can be a primary volume of HOMRCF.</li> <li>The secondary volume of HMRCF can be an M-VOL of HORC.</li> </ul>
	Cooperation with HMBR (only for HOMRCF)	Supported <ul style="list-style-type: none"> <li>A primary volume of HOMRCF can be accessed from HOST like a usual volume.</li> <li>A secondary volume of HOMRCF can not be accessed from HOST if the pair is not the SPLIT status. In the SPLIT status, a secondary volume of HOMRCF can access from HOST like a usual volume.</li> </ul>
12	Cooperation with HIHSM	Supported <ul style="list-style-type: none"> <li>The source, destination or RESERVE volume of HIHSM can not be the primary, secondary or RESERVE volume of HMRCF/HOMRCF.</li> <li>The primary, secondary or RESERVE volume of HMRCF/HOMRCF can be the source volume of HIHSM. But if HMRCF/HOMRCF P-VOL or RootVOL already has 3 pairs, it can not be the source volume of HIHSM.</li> <li>When HMRCF/HOMRCF pair which is combined with HIHSM is split, the migration of HIHSM is canceled.</li> </ul>
13	Cooperation with Hi-Copy	Not supported <ul style="list-style-type: none"> <li>Hi-Copy pair volumes (primary/secondary) can't be HOMRCF pair volumes (primaly/secondary).</li> </ul>
14	ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2 Option function	<p>The ShadowImage-FlashCopy® Option function provides the same function as IBM Flash Copy. You can operate the ShadowImage-FlashCopy® Option function by using the PPRC TSO and DFSMSdss.</p> <p>The ShadowImage-FlashCopy® Option function forms a pair by virtually or physically copying S-VOL data to the T-VOL. (A pair formed by means of the ShadowImage-FlashCopy® Option function is especially called relationship.)</p> <p>Concerning ShadowImage-FlashCopy®, locations of extents of a copy source and a copy destination must be the same. Concerning ShadowImage-FlashCopy® version2, locations of extents different from each other can be specified.</p> <p>ShadowImage-FlashCopy® forms one relationship for each unit of volume even when the two or more extents are specified; ShadowImage-FlashCopy® version2 forms one relationship for each unit of specified extent.</p> <p>When the relationship is established, a host can execute a reading/writing from/to T-VOL data that is a virtual or physical copy of S-VOL data. When establishing the relationship, the user can specify an extent for copying (to be referred to as extent). The relationship is canceled at the time when a copying of data in the extent is completed.</p> <p><b>Note:</b> ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2 do not start at the same time. For the functions of ShadowImage-FlashCopy® Option and ShadowImage-FlashCopy® version2 Option, refer to the chapters, "ShadowImage-FlashCopy® Option Function" and "ShadowImage-FlashCopy® version2 Option Function" in the "ShadowImage- Mainframe User's Guide."</p>

15	At-Time Split Function (HOMRCF)	<p>The HOMRCF At-Time Split function applies to HOMRCF pairs that belong to a consistency group, and enables you to create Source volumes of all Target volumes in the same consistency group, at the time when the pairsplit command is executed using the Command Control Interface (CCI) software from the UNIX<sup>®</sup>/PC server host to the 9900V subsystem.</p> <p><b>Note:</b> For further information on Command Control Interface, please refer to the Hitachi Lightning 9900™ V Series and Lightning 9900™ Command Control Interface (CCI) User and Reference Guide (MK-90RD011).</p> <p>An HOMRCF consistency group is a user-defined set of HOMRCF volume pairs used for the At-Time Split function. Users can defined a consistency group by using CCI on the UNIX<sup>®</sup>/PC server host. HOMRCF consistency groups also correspond to the groups registered in the CCI configuration definition file. HOMRCF consistency groups have the following restrictions:</p> <ul style="list-style-type: none"> <li>• You can configure up to 128 consistency groups in a subsystem with HMRCF consistency group.</li> <li>• A number (0~127) is assigned to each consistency group. You can specify a consistency group number when you create HOMRCF pairs. If you do not specify a number, then the 9900V subsystem assigns a number automatically.</li> <li>• You can define up to 1,024 HOMRCF pairs in a consistency group. However, for LUSE volumes that contain n LDEVs, you should count as n pairs.</li> </ul> <p><b>Note:</b> For further information on LUSE volumes, please refer to the Hitachi Lightning 9900™ V Series LUN Expansion (LUSE)/Virtual LVI/LUN (VLL) User's Guide (MK-92RD104).</p> <ul style="list-style-type: none"> <li>• HOMRCF pair and HMRCF pair cannot share with same consistency group.</li> <li>• To configure HOMRCF consistency groups, you can only use the CCI software. However, to confirm the HOMRCF consistency group numbers, you can also use the Storage Navigator.</li> </ul>
----	---------------------------------	---

16	At-Time Split Function (HMRCF)	<p>To use this function, utility of host is necessary. Because the utility of host is now under developing, this function can not be used. This function will be released in the near future.</p> <p>The HMRCF At-Time Split function applies to HMRCF pairs that belong to a consistency group, and enables you to create Source volumes of all Target volumes in the same consistency group, at the time that is specified by PPRC command.</p> <p>An HMRCF consistency group is a defined with Storage Navigator by user before creating pair.</p> <ul style="list-style-type: none"> <li>• You can configure up to 128 consistency groups in a subsystem with HMRCF consistency groups.</li> <li>• A number (0~127) is assigned to each consistency group</li> <li>• You can specify a consistency group number when pair is created.</li> <li>• To configure HMRCF consistency group numbers, you can also use PPRC command or the Storage Navigator.</li> <li>• You can define up to 1,024 HMRCF pairs in a consistency group.</li> <li>• HMRCF pair and HOMRCF pair cannot share with same consistency group.</li> </ul>
17	Maximum number of pairs	<p>The maximum number of pairs depends on the number of differential tables required for the pair formation. The differential tables are shared among HMRCF, HOMRCF, ShadowImage-FlashCopy® (or ShadowImage-FlashCopy® version2), HIHSM, and Hi-Copy.</p> <ul style="list-style-type: none"> <li>• Maximum number of HOMRCF pairs: See Item (1) below.</li> <li>• Maximum numbers of HMRCF and ShadowImage-FlashCopy® pairs: See Item (2) below.</li> <li>• Maximum number of pairs of ShadowImage-FlashCopy® version2 (per volume): See Item (3) below.</li> <li>• Maximum number of pairs of ShadowImage-FlashCopy® version2 (per data set): See Item (4) below.</li> </ul>

### (1) OPEN

The number of pairs that can be created depends on the emulation type and the capacity of the paired volumes. For details, refer to Table 3.14.1-2 that shows the number of pairs that can be created with volumes of each emulation type and capacity.

Table 3.14.1-2 shows only the number of pairs that can be created with volumes of same emulation type and capacity. When volumes that differ in the emulation type and capacity are paired, the number of pairs that can be created is determined according to the following condition.

- In case 1 LU consists of one volume (when LUSE is not used)

The maximum number of pairs that can be created is the largest number that meets the equation,  $\Sigma (\alpha) \leq (\beta)$ , where:

$\Sigma (\alpha)$  stands for the total of the number of differential tables per pair (see Table 3.14.1-2), and  $(\beta)$  stands for the number of differential tables in the 9900V subsystem.

$(\beta) = 2,048$  when the number of CUs is equal to or less than 4

$(\beta) = 4,096$  when the number of CUs is equal to or more than 5.

For example, if you are to create 10 pairs of OPEN-3 volumes and 20 pairs of OPEN-V (60GB) volumes, the total of the number of differential tables per pair,  $\Sigma (\alpha)$ , would be  $(1 \times 10) + (7 \times 20) = 150$ .

Since 150 is smaller than 2,048 (when the number of CUs is equal to or less than 4) or 4,096 (when the number of CUs is equal to or more than 5), it meets the equation,  $\Sigma(\alpha) \leq (\beta)$ , thus ensuring you that 10 pairs of OPEN-3 volumes and 20 pairs of OPEN-V (60GB) volumes can be created.

- In case 1 LU consists of more than one volume (when LUSE volumes are used)

The maximum number of pairs that can be created is the largest number that meets the equation,  $[\Sigma(\alpha) \times (\text{number of volumes that configure a LUSE volume})] \leq (\beta)$ , where:

( $\alpha$ ) stands for the number of differential tables per pair (see table 2.2), and

( $\beta$ ) stands for the number of differential tables in the 9900V subsystem.

( $\beta$ ) = 2,048 when the number of CUs is equal to or less than 4

( $\beta$ ) = 4,096 when the number of CUs is equal to or more than 5.

For example, if you are to create 10 pairs of LUSE volumes consisting of three OPEN-3 volumes respectively and 20 pairs of LUSE volumes consisting of five OPEN-V (60GB) volumes respectively,  $[\Sigma(\alpha) \times (\text{number of volumes that configure a LUSE volume})]$  would be  $(1 \times 3 \times 10) + (7 \times 5 \times 20) = 730$ .

Since 730 is smaller than 2,048 (when the number of CUs is equal to or less than 4) or 4,096 (when the number of CUs is equal to or more than 5), it meets the equation,

$[\Sigma(\alpha) \times (\text{number of volumes that configure a LUSE volume})] \leq (\beta)$ , thus ensuring you that 10 pairs of OPEN-3 volumes and 20 pairs of OPEN-V (60GB) volumes can be created.

**Table 3.14.1-2 Number of Pairs That Can Be Created With Volumes of Each Emulation Type and Capacity**

No.	Volume		Number of differential tables per pair needed for controlling the difference between the primary and secondary volumes ( $\alpha$ )	Number of pairs that can be created (In case the volumes of the same emulation type and capacity are used to create all the pairs)	
	Emulation type	Capacity		When the number of CUs is 4 or less	When the number of CUs is 5 or more
1	OPEN-3	Does not depend on the capacity	1	2,047	4,095
2	OPEN-8	Does not depend on the capacity	1	2,047	4,095
3	OPEN-9	Does not depend on the capacity	1	2,047	4,095
4	OPEN-E	7.2GB or less	1	2,047	4,095
5		7.3GB or more	2	1,024	2,048
6	OPEN-L	7.2GB or less	1	2,047	4,095
7		7.3GB – 14.4GB	2	1,024	2,048
8		14.5GB – 21.6GB	3	682	1,365
9		21.7GB – 28.9GB	4	512	1,024
10		29.0GB or more	5	409	819
11	OPEN-V	9.6GB or less	1	2,047	4,095
12		9.7GB – 19.1GB	2	1,024	2,048
13		19.2GB – 28.8GB	3	682	1,365
14		28.9GB – 38.5GB	4	512	1,024
15		38.6GB – 48.1GB	5	409	819
16		48.2GB – 57.8GB	6	341	682
17		57.9GB or more	7	292	585
18	OPEN-K	Does not depend on the capacity	1	2,047	4,095

Note: The maximum number of pairs that you can create is limited to the number of volumes that are installed.

It is possible to extend the maximum number of pairs supported by ShadowImage by using an SVP mode274 to extend the area of differential table (SM).

Specification:

- (a) The OPEN-V (without LUSE) volume is the only volume whose number of pairs can be extended. Though volumes of other emulation types and LUSE volumes can be created to be ShadowImage pairs, they cannot be the objects to extend the number of pairs.
- (b) The maximum number of pairs that can be created depends on emulation types and CU number. Please refer to (h) for the calculation.
- (c) It is necessary to add CUs (SM will be added too) to extend the number of pairs. Please note it cannot be extended by adding SM only (refer to (h) for the relation between numbers of CU and SM).
- (d) Reducing CU/SM should follow the items below.
  - ① Reducing CU.
  - ② Reducing SM. If you create the ShadowImage pair by OPEN-V, the following warning message may be shown. In this case, please delete the ShadowImage pair.

ID	kind	Message Text
3291	W	During the maintenance operation, you will need to de-install the shared memory used by HMRCF, HOMRCF, FlashCopy(R), HIHSM and Hi-Copy. Delete all the HMRCF, HOMRCF, FlashCopy(R), HIHSM and Hi-Copy pairs.

- (e) If SVP mode 274 is set, it is impossible to create new pairs that use the extension area of differential table. However, the current pairs are still usable.
- (f) At-Time Split feature has been supported. In other words, it is possible to use At-Time Split for the newly created pairs that use the extension area of differential table.
- (g) All HDD types and RAID levels have been supported.
- (h) The maximum number of ShadowImage pairs that subsystem can create must meet following equation.

$$X = \sum^n A \leq B \text{ (other than OPEN-V volumes or OPEN-V LUSE volumes), and}$$

$$\sum^n A \leq C - X \text{ (without OPEN-V and LUSE volumes),}$$

n: Number of pairs created in subsystem (in case of LUSE, including the number of HDEVs composing the LUSE volume).

A: number of differential tables used by each volume.

B: usable number of differential tables (other than OPEN-V volumes or OPEN-V LUSE volumes).

C: usable number of differential tables (without OPEN-V and LUSE volumes).

Emulation Type	Capacity		A	B	C	
3390-3	Does not depend on the capacity		1	2048 (CU number <= 4) 4096 (CU number <= 32)	—	
3390-2	Does not depend on the capacity		1			
3390-1	Does not depend on the capacity		1			
3390-9	Does not depend on the capacity		1			
3380-K	Does not depend on the capacity		1			
3380-E	Does not depend on the capacity		1			
3380-J	Does not depend on the capacity		1			
3390-L	2D + 2D, 3D + 1P	7D + 1P				
	1 ~ 10043 CYL	1 ~ 10041 CYL	1			
	10044 ~ 20110 CYL	10042 ~ 20109 CYL	2			
	20111 ~ 30177 CYL	20110 ~ 30174 CYL	3			
	30178 CYL ~	30175 CYL ~	4			
OPEN-3	Does not depend on the capacity		1			
OPEN-8	Does not depend on the capacity		1			
OPEN-9	Does not depend on the capacity		1			
OPEN-E			~ 7.2GB	1		
			~ 13.6GB	2		
OPEN-L			~ 7.2GB	1		
			~ 14.4GB	2		
			~ 21.6GB	3		
			~ 28.9GB	4		
			~ 33.9GB	5		
OPEN-V			~ 9.6GB	1	2048 (CU number <= 4) 4096 (CU number <= 32)	2048 (CU number <= 4) 4096 (CU number <= 16) 8192 (CU number <= 32)
			~ 19.1GB	2		
			~ 28.8GB	3		
			~ 38.5GB	4		
			~ 48.1GB	5		
			~ 57.8GB	6		
			~ 60.0GB	7		

Example of calculating the maximum number of pairs (when SVP mode 274 is set):

- (1) When using OPEN-V (60GB) volumes on a subsystem with 24 CUs, the following equation must be met. Therefore, up to 1170 pairs can be created.

$$\sum^n 7 = 7n \leq 8192$$

- (2) When having created 1,000 pairs of OPEN-L (20GB) volumes on a subsystem with 32 CUs, the maximum number of pairs of OPEN-V (50GB) volumes that can be created must meet the following equation. Therefore, up to 865 pairs can be created.

$$6 \times n \leq 8192 - 1000 \times 3$$

- (3) When having created 1,000 pairs of OPEN-L (25GB) volumes on a subsystem with 32 CUs, the maximum number of pairs of OPEN-E (13GB) volumes that can be created must meet the following equation. Therefore, up to 48 pairs can be created.

$$2 \times n \leq 4096 - 4 \times 1000$$

- (4) When having created 1,000 pairs of OPEN-V (60GB) volumes on a subsystem with 32 CUs, the maximum number of pairs of 3390-L (30178CYL) volumes that can be created must meet the following equation. Therefore, n = 298 pairs can be created.

$$4 \times n \leq \min(8192 - 7 \times 1000, 4096)$$

- (5) When having created 100 pairs of OPEN-V (60GB) volumes on a subsystem with 32 CUs, the maximum number of pairs of OPEN-L (30GB) volumes that can be created must meet the following equation. Therefore, 819 pairs can be created.

$$5 \times n \leq \min(8192 - 7 \times 100, 4096)$$

- (6) When using OPEN-V (9GB) volumes on a subsystem with 18 CUs, the maximum number of pairs that can be created must meet the following equation. As the maximum number of pairs that RAID450 supports is 4096, 4096 pairs can be created.

$$n \leq 8192$$

(2) MF

The number of pairs that can be created depends on the emulation type and the capacity of the paired volumes. For details, refer to Table 3.14.1-3 that shows the number of pairs that can be created with volumes of each emulation type and capacity.

Table 3.14.1-3 shows only the number of pairs that can be created with volumes of same emulation type and capacity. When volumes that differ in the emulation type and capacity are paired, the number of pairs that can be created is determined according to the following condition.

The maximum number of pairs that can be created is the largest number that meets the equation,  $\Sigma (\alpha) \leq (\beta)$ , where:

$\Sigma (\alpha)$  stands for the total of the number of differential tables per pair (see Table 3.14.1-3), and

$(\beta)$  stands for the number of differential tables in the 9900V subsystem.

$(\beta) = 2,048$  when the number of CUs is equal to or less than 4

$(\beta) = 4,096$  when the number of CUs is equal to or more than 5.

For example, if you are to create 10 pairs of 3390-3 volumes and 20 pairs of 3390-L (32,760CYL) volumes, the total of the number of differential tables per pair,  $\Sigma (\alpha)$ , would be  $(1 \times 10) + (4 \times 20) = 90$ .

Since 150 is smaller than 2,048 (when the number of CUs is equal to or less than 4) or 4,096 (when the number of CUs is equal to or more than 5), it meets the equation,

$\Sigma (\alpha) \leq (\beta)$ , thus ensuring you that 10 pairs of 3390-3 volumes and 20 pairs of 3390-L (32,760CYL) volumes can be created.

**Table 3.14.1-3 Number of Pairs That Can Be Created With Volumes of Each Emulation Type and Capacity**

No.	Volume		Number of differential tables per pair needed for controlling the difference between the source and target volumes ( $\alpha$ )	Number of pairs that can be created (In case the volumes of the same emulation type and capacity are used to create all the pairs)	
	Emulation type	Capacity		When the number of CUs is 4 or less	When the number of CUs is 5 or more
1	3390-3	Does not depend on the capacity	1	2,048	4,096
2	3390-2	Does not depend on the capacity	1	2,048	4,096
3	3390-1	Does not depend on the capacity	1	2,048	4,096
4	3390-9	Does not depend on the capacity	1	2,048	4,096
5	3390-K	Does not depend on the capacity	1	2,048	4,096
6	3390-E	Does not depend on the capacity	1	2,048	4,096
7	3390-J	Does not depend on the capacity	1	2,048	4,096
8	3390-L	When the RAID configuration is (2D+2D) or (3D+1P)	1	2,048	4,096
9		1 – 10,043 CYL	1	2,048	4,096
10		10,044 – 20,110 CYL	2	1,024	2,048
11		20,111 – 30,177 CYL	3	682	1,365
12		30,178 CYL or more	4	512	1,024

Note: The maximum number of pairs that you can create is limited to the number of volumes that are installed.

## (3) Case of the MF system (Forming of the relationship of ShadowImage-FlashCopy® version2 for each volume)

For the number of relationships that can be formed, refer to Table 3.14.1-4.

When forming the relationships with volumes with emulation types and capacities different from each other, the number of relationships that can be formed is decided as shown below.

Number of relationships that can be formed:

Maximum number of relationships that satisfies the following inequality.  $\Sigma (\alpha) \leq (\beta)$

$\Sigma (\alpha)$ : The sum of numbers of differential tables (( $\alpha$ )'s) per relationship.

(See Table 3.14.1-4.)

( $\beta$ ): Number of all the differential tables in the subsystem concerned

When the number of CUs is 4 or less, ( $\beta$ ) is 2,048.

When the number of CUs is 5 or more, ( $\beta$ ) is 4,096.

Table 3.14.1-4 Numbers of Relationships That Can Be Formed Depending on Emulation Type and Capacity

#	Volume		Number of differential tables per relationship			Number of relationships that can be formed (when forming all relationships using volumes with the same emulation type and capacity)	
	Emulation type	Capacity	For source volume	For target volume	The sum	When the number of CUs is 4 or less	When the number of CUs is 5 or more
1	3390-3/3R	Does not depend on the capacity	1	1	2	1,024	2,048
2	3390-2	Does not depend on the capacity	1	1	2	1,024	2,048
3	3390-1	Does not depend on the capacity	1	1	2	1,024	2,048
4	3390-9	Does not depend on the capacity	1	1	2	1,024	2,048
	3390-L	When the RAID configuration is 2D+2D, 2D+2D concatenation, or 3D+1P					
5		1 – 10,043 CYL	1	1	2	1,024	2,048
6		10,044 – 20,110 CYL	2	2	4	512	1,024
7		20,111 – 30,177 CYL	3	3	6	341	682
8		30,178 CYL or more	4	4	8	256	512

Note: The above table shows data in the case where all the differential tables are used by only the ShadowImage-FlashCopy® version2 function.

- (4) Case of the MF system (Forming of the relationship of ShadowImage-FlashCopy® version2 for each data set)

When forming the relationship for each extent, resources as many as the number of differential tables per relationship shown in Table 3.14.1-4 are required if there is no duplicate extent in the same volume. If there is a duplicate extent, the differential tables as many as the number of differential tables per relationship shown in Table 3.14.1-4 multiplied by a number of the duplicates are required.

The number of relationships that can be formed is decided as shown below.

The number of relationships that can be formed: Maximum number of relationships that satisfies the following inequalities

$\Sigma (\alpha) \leq (\beta)$ , and  $\Sigma (\gamma) \leq 16,384$  (Maximum number of managed relationships)

$\Sigma (\alpha)$ : The sum of numbers of required differential tables (( $\alpha$ )'s)

( $\beta$ ): Number of differential tables in the subsystem concerned

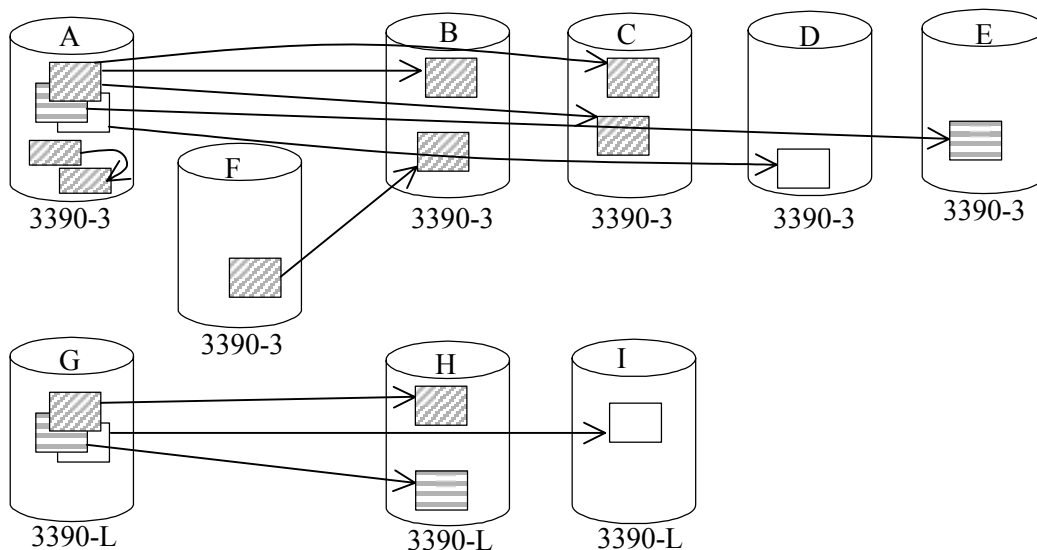
When the number of CUs is 4 or less, ( $\beta$ ) is 2,048.

When the number of CUs is 5 or more, ( $\beta$ ) is 4,096.

$\Sigma (\gamma)$ : The sum of numbers of relationships (( $\gamma$ )'s)

In regard to the 3390-1, 3390-2, 3390-3/3R, and 3390-9, the maximum number of the differential tables available as source volumes is 16. When the 16 differential tables are already used for the volume and an extent of data of the relationship already established duplicates with all the differential tables, a relationship cannot be formed. In the case of the 3390-L, it can use 64 differential tables.

Example: The following figure shows a case where seven relationships of the 3390-3 and three relationships of the 3390-L (32,760 CYL's) are formed.



The sum of numbers of the differential tables per relationship ( $\Sigma(\alpha)$ ) is:

The sum of numbers of differential tables of A to H =  $(3 + 1) + 1 + 1 + 1 + 1 + 1 + (4 \times 3) + 1 + 1 = 23$

Requirements for the number of relationships that can be formed are:

$\Sigma(\alpha) \leq (\beta)$ , and  $\Sigma(\gamma) \leq 16,384$

$23 \leq 2,048$  (When the number of CUs is four or less) or

$74 \leq 4,096$  (When the number of CUs is five or more)

$10 \leq 16,384$

As shown above, the requirements for the number of relationships that can be formed are satisfied. Therefore, the relationships in the configuration shown above can be formed.

For the forming of relationship for each data set of ShadowImage-FlashCopy® version2, refer to the chapter, “ShadowImage-FlashCopy® version2 Option Function” in the “ShadowImage-Mainframe User’s Guide.”

In case of HMRCF/HOMRCF volume is selected for HIHSM volume

	P-VOL	S-VOL	RootVOL	NodeVOL	LeafVOL	ReserveVOL
Source VOL	Possible* <sup>1</sup>	Possible	Possible* <sup>1</sup>	Possible* <sup>2</sup>	Command Reject	Possible
Target VOL	Command Reject	Command Reject	Command Reject	Command Reject	Command Reject	Command Reject
ReserveVOL	Command Reject	Command Reject	Command Reject	Command Reject	Command Reject	Command Reject

\*1: It is impossible if HMRCF/HOMRCF P-VOL or RootVOL already has 3 pairs.

\*2: It is impossible if HMRCF/HOMRCF NodeVOL is already paired with 2 LeafVOLs.

If you want to execute migration of HIHSM, you need to delete the pair and reset ReserveVOL of HMRCF/HOMRCF.

- (5) Differences between ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2  
Table 3.14.1-5 shows differences between ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2.

Table 3.14.1-5 Differences between ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2

#	Item	ShadowImage-FlashCopy®	ShadowImage-FlashCopy® Version2
1	Required program products and memory	ShadowImage-Mainframe program product ShadowImage-FlashCopy® program product	ShadowImage-Mainframe program product ShadowImage-FlashCopy® version2 program product The shared memory is required at the location 1.
2	Sizes of S-VOL (source volume) and T-VOL (target volume) at the time when copying each volume	S-VOL = T-VOL	S-VOL $\cong$ T-VOL
3	S-VOL/T-VOL LSS	Relationship can be formed with the same LSS's.	Relationship can be formed with the same/different LSS's.
4	Extent of relationship	An extent can be specified for each volume or it can be specified when locations of a copy source and a copy destination are the same.	An extent can be specified for each volume or it can be specified when locations of a copy source and a copy destination are the same or different.
5	Unit of relationship management	The relationships are managed for each volume. Even if two or more data sets are specified for the same volume, one relationship is formed.	The relationships are managed for each extent that is specified. They are managed for each volume when the volume is specified. When the two or more data sets are specified for the same volume, an independent relationship is formed for the each data set.
6	Number of relationships of copy source volume	One relationship can be formed for one volume.	Up to 16 relationships can be formed for one extent.
7	Establishment of relationships of ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2	The relationship can be formed with a HMRCF volume in the Simplex status. Besides, the relationship can be formed using an S-VOL and T-VOL in the Split or Duplex status as copy sources. An S-VOL that already has three T-VOLs of HMRCF cannot be a copy source of ShadowImage-FlashCopy® version2.	The relationship can be formed with a HMRCF volume in the Simplex status. Besides, the relationship can be formed using an S-VOL in the Split or Duplex status as a copy source. Even with an S-VOL that already has three T-VOLs of the HMRCF, up to 16 relationships can be formed using if as a copy source of ShadowImage-FlashCopy® version2.
8	Use with the other copy solution	The ShadowImage-FlashCopy® relationship can share volumes with the other copy solution such as TrueCopy-Mainframe (TC-MF), Extended Remote Copy (XRC), and ConcurrentCopy (CC).	The ShadowImage-FlashCopy® version2 relationship can share volumes with HMRCF and TC-MF only. However, IBM copy solution can share volumes with “the other copy solutions” shown to the left.

### 3.14.2 Purchasing Volumes for Use with ShadowImage

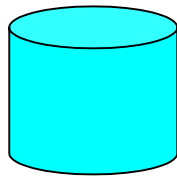
When you purchase a license for volume capacity for use with ShadowImage, you need to examine how much capacity of volumes you wish to use as P-VOLs, S-VOLs or reserved volumes.

The total capacity of volume that you can use cannot exceed the maximum capacity that you are licensed to use. Therefore, you must purchase a license for volume capacity that is equal to or more than the total volume capacity you wish to use.

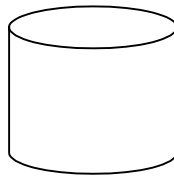
Note: Even when a volume is used for multiple purposes, only the capacity of this volume itself is added to the total volume capacity, and there is no need to multiply the capacity of this volume by the number of purposes it is used for. (In other words, even if you, for example, specify a volume as an S-VOL, and use it as a reserved volume as well by setting its reserve attribute, only the capacity of the volume itself is added to the total volume capacity and there is no need for it to be doubled.)

Below are some illustrated case examples that should help you understand more clearly about how to calculate the total capacity of ShadowImage volumes that will be used. For the sake of explanation, the capacity of each volume is assumed to be 1. The volume or volumes colored in blue represent the volumes that are used.

Case 1



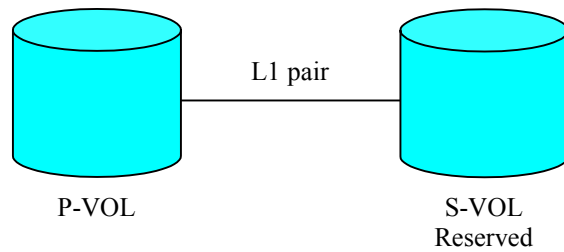
Reserved



Not reserved

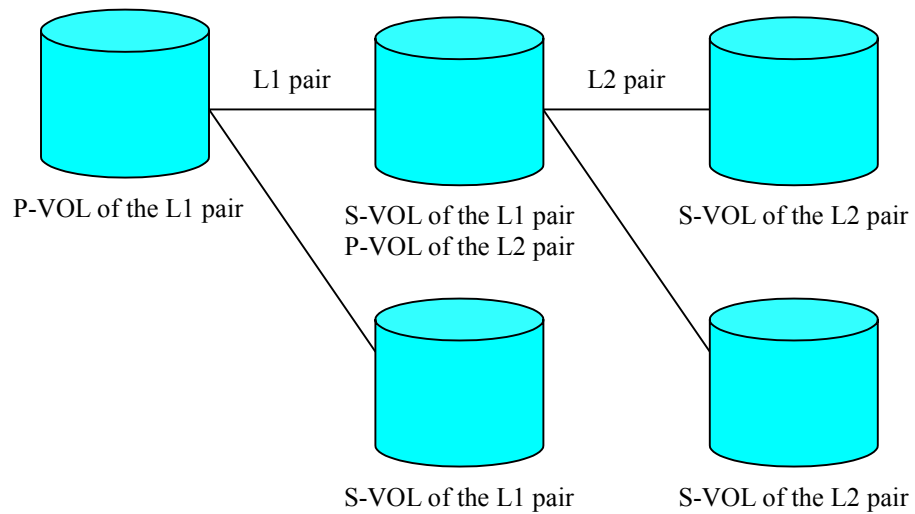
In this case, the total capacity of ShadowImage volume in use will be 1.

## Case 2



In this case, the total capacity of ShadowImage volumes in use will be 2.

## Case 3



In this case, the total capacity of ShadowImage volumes in use will be 5.

### 3.14.3 Purchasing Volumes for Use with ShadowImage – S/390®

When you purchase a license for volume capacity for use with ShadowImage – S/390®, you need to examine how much capacity of volumes you wish to use as any of the following:

- ShadowImage – S/390® (SI390) S-VOL
- SI390 T-VOL
- SI390 reserved volume
- ShadowImage – FlashCopy® (SI-FCopy) S-VOL
- SI-FCopy T-VOL

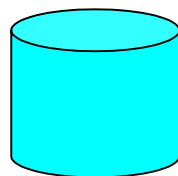
The total capacity of volume that you can use cannot exceed the maximum volume capacity licensed to you. Therefore, you must purchase a license for volume capacity that is equal to or more than the total volume capacity you wish to use.

Note: Even when a volume is used for multiple purposes, only the capacity of this volume itself is added to the total volume capacity, and there is no need to multiply the capacity of this volume by the number of purposes it is used for. (In other words, even if you, for example, specify a volume as an S-VOL, and use it as a reserved volume as well by setting its reserve attribute, only the capacity of the volume itself is added to the total volume capacity and there is no need for it to be doubled.)

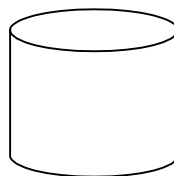
Note: SI-FCopy S-VOLs and T-VOLs are counted not only as SI-FCopy volumes but also as SI-MF volumes. The total capacity of SI-FCopy volumes that you can use cannot exceed the maximum volume capacity licensed to you under the terms of your ShadowImage – FlashCopy® license agreement.

Below are some illustrated case examples that should help you understand more clearly about how to calculate the total capacity of volumes that you will use with ShadowImage – S/390®. For the sake of explanation, the capacity of each volume is assumed to be 1. The volume or volumes colored in blue represent the volumes that are used.

Case 1



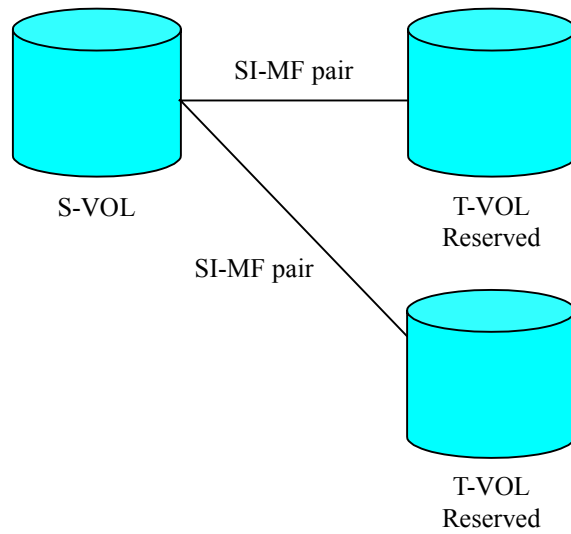
Reserved



Not reserved

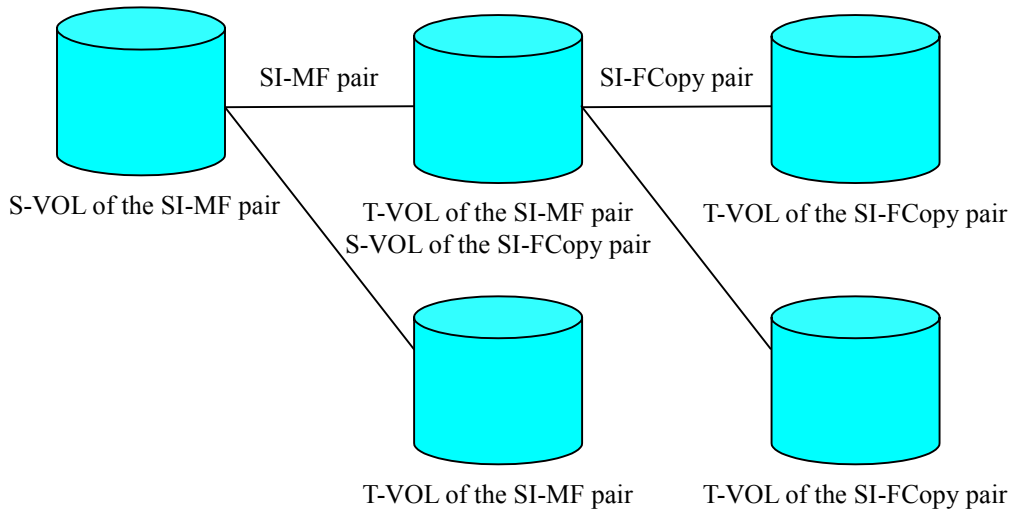
In this case, the total capacity of ShadowImage – S/390® volume in use will be 1.

## Case 2



In this case, the total capacity of ShadowImage – S/390<sup>®</sup> volumes in use will be 3.

## Case 3



In this case, the total capacity of ShadowImage – S/390<sup>®</sup> volumes in use will be 5, and the total capacity of ShadowImage – FlashCopy<sup>®</sup> volumes in use will be 3.

In case of HIHSM volume is selected for HMRCF/HOMRCF volume

	Source VOL	Target VOL	ReserveVOL
P-VOL	Command Reject	Command Reject	Command Reject
S-VOL	Command Reject	Command Reject	Command Reject
RootVOL	Command Reject	Command Reject	Command Reject
NodeVOL	Command Reject	Command Reject	Command Reject
LeafVOL	Command Reject	Command Reject	Command Reject
ReserveVOL	Command Reject	Command Reject	Command Reject

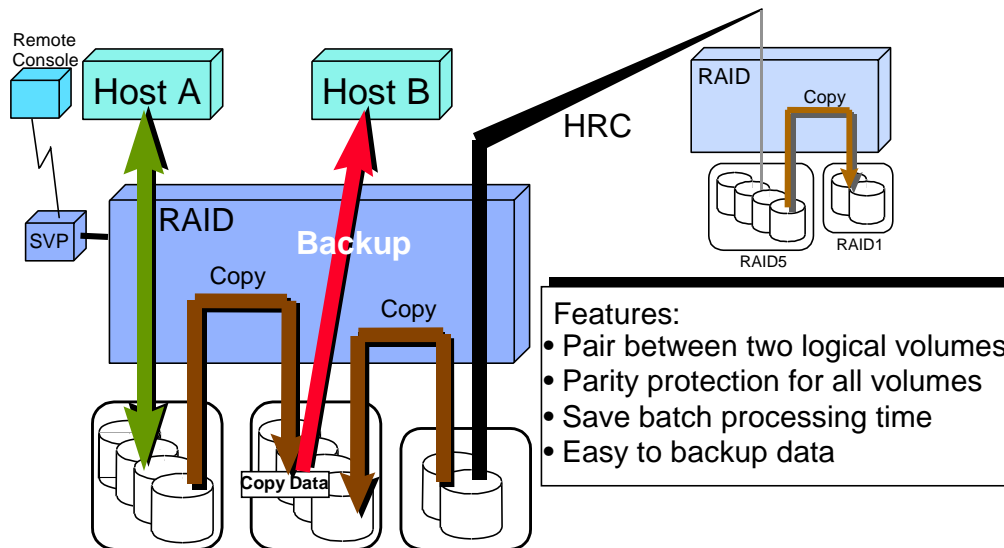
If you want to add pair of HMRCF/HOMRCF, you need to cancel HIHSM migration.

### ⚠ CAUTION

Copy process is done asynchronously with HOST i/o according to differential bit map. Differential bit map is recorded on shared memory. So if shared memory is lost by offline micro exchange or volatile PSON etc., DKC lost differential bit map. In these cases DKC treat as whole volume area has differential data, so copy process will take longer time than usual. And if the pair is SPLIT-PEND status, the pair become SUSPEND status because lost of differential bit map.

Primary volumes and secondary volumes of HMRCF/HOMRCF pairs should be placed on many RAID groups separately. And HMRCF/HOMRCF pairs which are operated at the same time should be placed in other RAID groups. HMRCF/HOMRCF pairs which are concentrated at very few RAID groups may influence HOST I/O performance.

If DKC is busy, increase Cache, DKA and RAID groups. And secondary volumes of HMRCF/HOMRCF pairs should be placed in the increased RAID groups. HMRCF/HOMRCF pairs in very busy DKC may influence HOST I/O performance.



### 3.14.4 Construction of HMRCF & HOMRCF

- HMRCF & HOMRCF can be controlled from SVP, Remote Console and HOST.
- DKA with LA exchange has to exist in the subsystem.

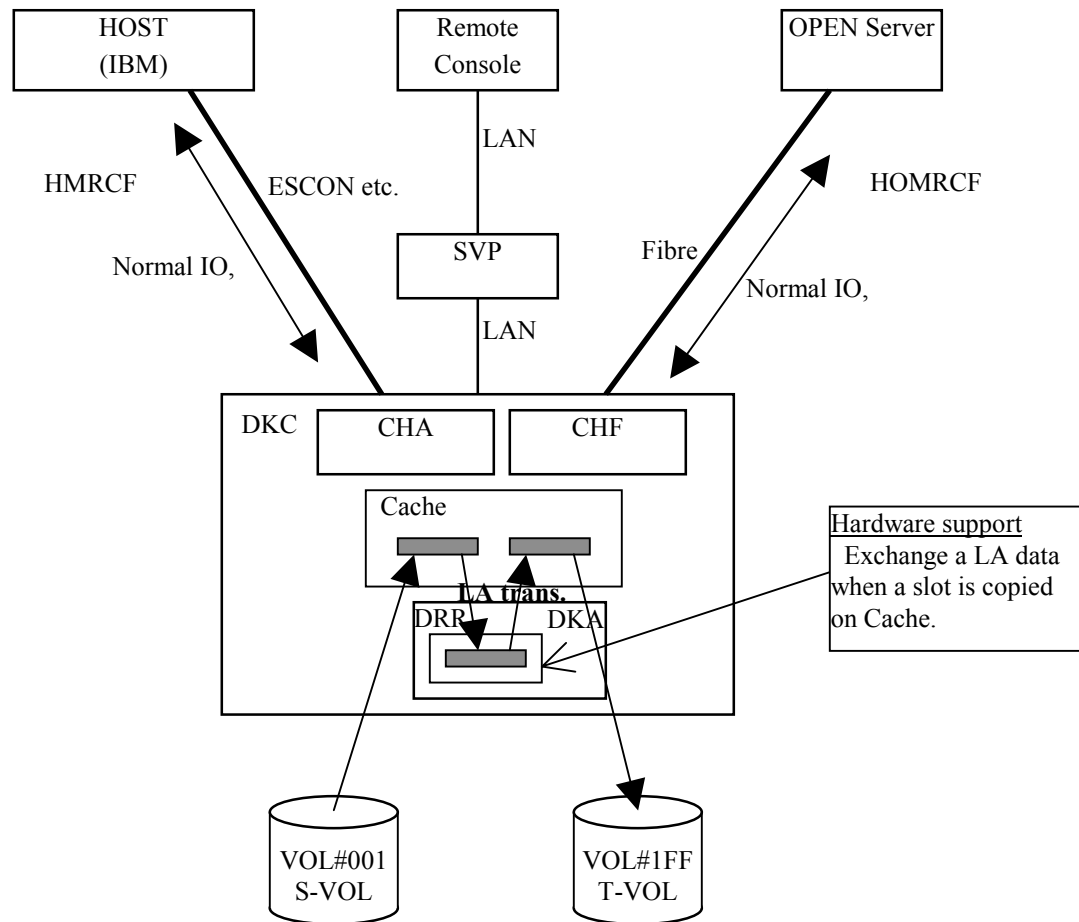


Fig. 3.14.4-1 Construction of HMRCF & HOMRCF

## 3.14.5 Status transition

Table 3.14.5-1 Status of HMRCF &amp; HOMRCF

No.	Status	Definition
1	Simplex	There is no pair with the volume.
2	Pending	In the copy job status from the master volume to the destination volume for duplex status.
3	Duplex	The copy from master to destination is finished. The destination volume can not be accessed from HOST.
4	Split Pending	In the copy job status of the differential data from the master volume.
5	Split	The pair is split. The destination volume can be accessed from HOST. In this status, the position of write data from the HOST is recorded on a bitmap to reduce the copy time on RESYNC.
6	Resync	In the copy job status of the differential data from master to destination.
7	Suspend	(1) There is an error with the pair. (2) After a running copy job was stopped by the SVP operation, the pair status is "suspend".
8	F-COPY	This is a status which a pair enters when the relationship definition is requested by the host command. In this status, the S-VOL data is being copied to the T-VOL in the background. In the case of the No Copy, the background copy is not performed.

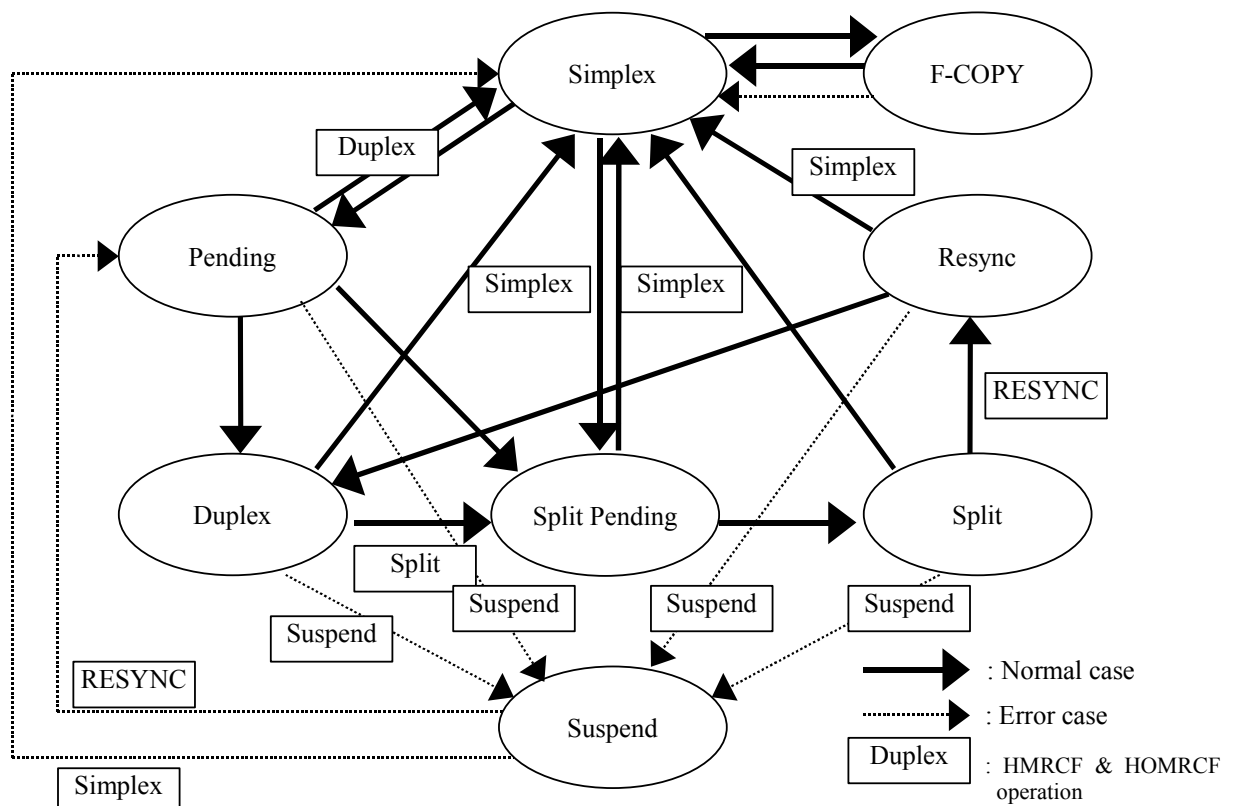


Fig. 3.14.5-1 Status transition map

### 3.14.6 Interface

#### (1) Outline

HMRCF & HOMRCF support a command set to control HMRCF & HOMRCF functions. This command set is a common interface in a subsystem. So the commands from different HOSTs are translated to the HMRCF & HOMRCF command at each command process.

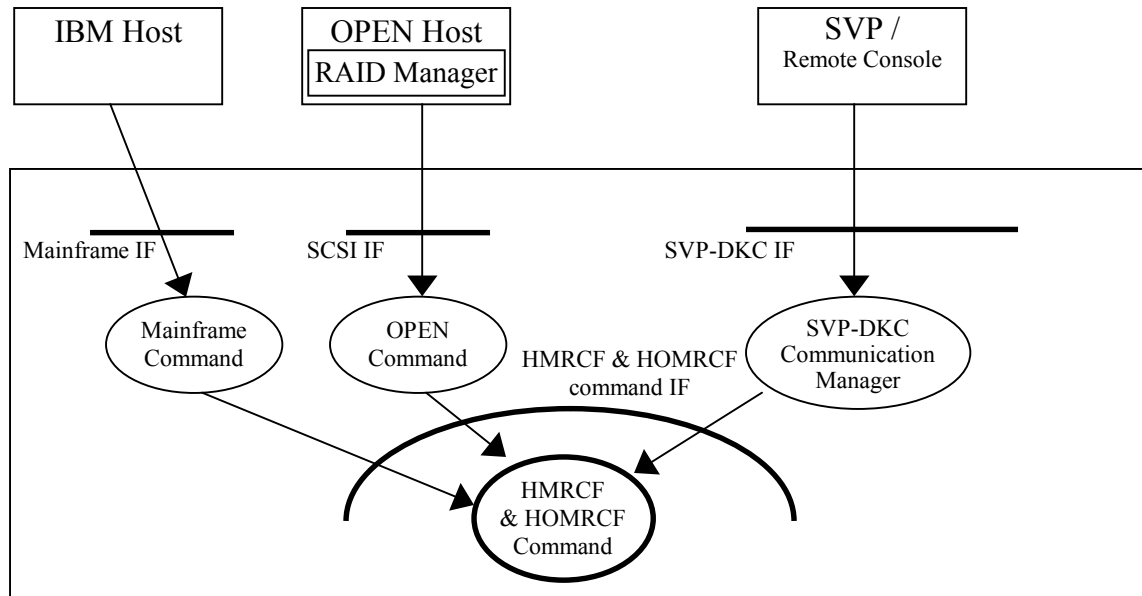


Fig. 3.14.6-1 Outline of HMRCF & HOMRCF IF

#### Notice:

It is necessary to define Command Device before using RAID Manager on OPEN HOST. Do not define Command Device on a heavy-load path.

#### (2) HMRCF & HOMRCF operation

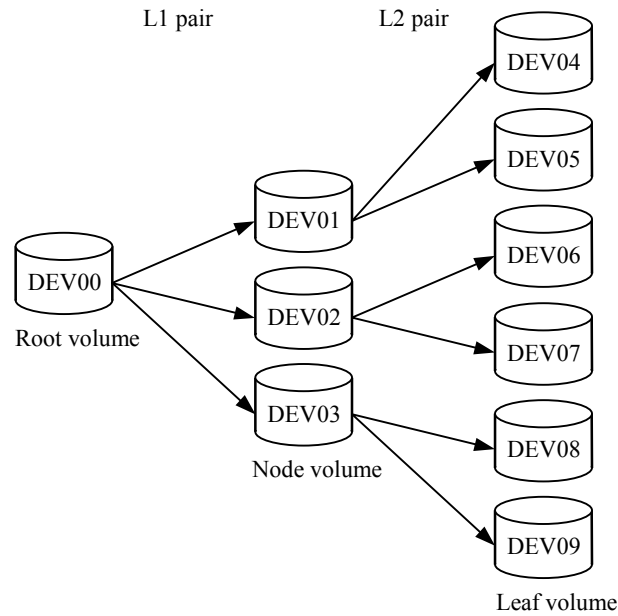
Table 3.14.6-1 HMRCF & HOMRCF operation

No.	Command	Operation
1	Duplex	Creates a pair and start initial copy
2	Split	Splits the pair
3	RESYNC	Resumes the pair and start differential copy
4	Simple	Deletes the pair
5	Suspend	Suspends the pair action
6	Status Check	Requires the status information
7	Reserve	Marks and Unmarks the volume for a candidate of destination volume

### 3.14.7 Cascade function

Cascade function makes a pair with an existed Target volume as a new Source volume. See the figure below.

This function is available for HOMRCF only.



No.	Content	Specification
1	Pair structure	A Target volume of L1 pair (=Node volume) can be a Source volume of L2 pair.
2	Number of copies	Root : Node = 1 : 3 Node : Leaf = 1 : 2 Root : (Node + Leaf) = 1 : 9
3	Split pair condition	L2 pair is able to execute split pair request only when the L1 pair is in the split status.
4	Delete pair condition	<ul style="list-style-type: none"> <li>• No conditions.</li> <li>• When L1 pair is deleted, L2 pair becomes L1 pair.</li> </ul>
5	Combination with HORC	Possible. However, Node volume and Leaf volume are treated as a target volume.
6	Combination with HIHSM	Possible. However, Leaf volume cannot be moved.

- Name of volume type
  - Source volume of the first pair : Root Volume
  - Target volume of the first pair : Node Volume
  - Source volume of the 2nd pair : Node Volume
  - Target volume of the 2nd pair : Leaf Volume
- Name of pair
  - The first pair (A pair of root volume is source volume) : L1 pair
  - The second pair (A pair of node volume is source volume) : L2 pair
- Name of pair chain
  - A chain of L1 pair and L2 pair with a node volume: stream

### 3.14.8 Reverse-RESYNC

(1) Reverse-RESYNC Function/Quick Restore Function

The Reverse-RESYNC function is an extension of the RESYNC function of the MRCF.

The Quick Restore function is a similar function with Reverse-RESYNC, but it speeds up the operation.

When a pair in the Split status is requested to perform the Reverse-RESYNC, the differential data between the target volume and the source volume is copied to the source volume from the target volume.

When a pair in the Split status is requested to perform the Quick Restore, a volume map in DKC is changed to swap contents of Source volume and Target volume without copying the Source volume data to the Target volume. The Source volume and the Target volume are resynchronized when update copy operations are performed for pairs in the Duplex status.

Note on RAID Level and DCR swap:

The Quick Restore operation changes locations of the data for primary volumes and secondary volumes and location of DCR of HMRCF/HOMRCF pairs. Therefore, the operation may change RAID levels and HDD types of the volumes. For example, if the primary volume is RAID1 and the secondary volume is RAID5, Quick Restore operation changes the primary volume to RAID5 and the secondary to RAID1.

If you want to go back to the previous state, follow the actions below:

step1 : Stop HOST I/O to the pair

step2 : Split the pair

step3 : Perform Quick Restore for the pair

step4 : Restart HOST I/O to the pair

Due to the replacement of DCR setting locations, you must operate 1 or 2 shown below.

1. Set the same DCR location for Source volume and Target volume.
2. Reset the DCR settings of Source volume and Target volume before Quick Restore, and set DCR of Source volume and Target volume after the pair transits to the Duplex status by Quick Restore.

Unless you perform the operation above, I/O performance to the same data may be down for the change of the locations of cache-resident area after Quick Restore.

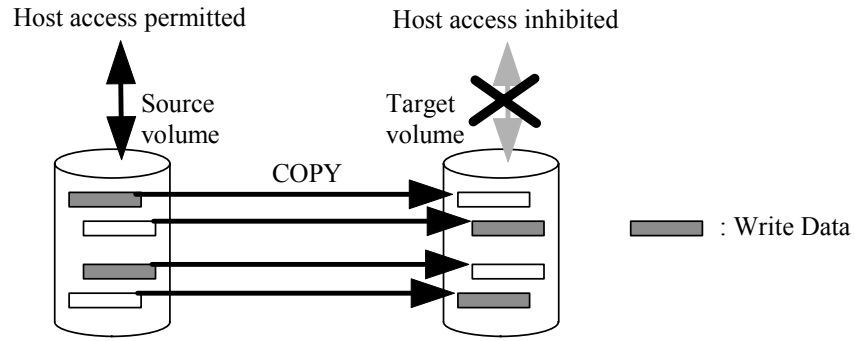


Fig. 3.14.8-1 Normal RESYNC Process

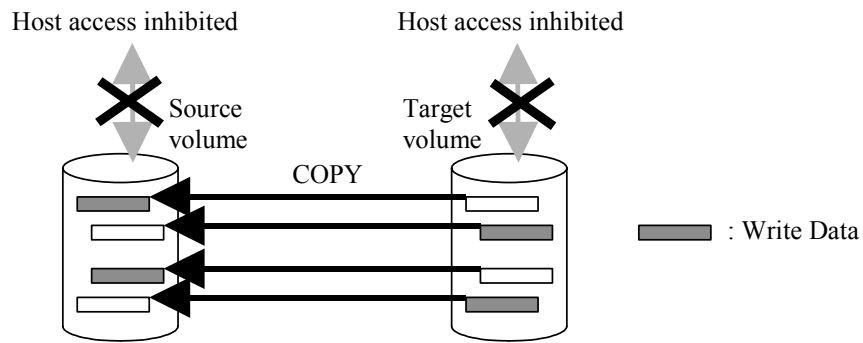


Fig. 3.14.8-2 Reverse RESYNC Process

(2) Specifications

No.	Item	Description
1	RESYNC copy pattern	<ul style="list-style-type: none"> <li>The data of the target volume is copied to the source volume.</li> <li>The copy pattern can be selected by specifying a unit of operation. Specified operation unit : SVP/RMC: In units of pair operation at a time RAID manager: In units of command</li> </ul>
2	Copy range	<ul style="list-style-type: none"> <li>In the case of the Reverse-Copy and Quick Restore in the Split status, a range for merging the writing into the source and target volumes</li> </ul>
3	Copy format	<ul style="list-style-type: none"> <li>Same format as that of a copy in the Duplex status</li> </ul>
4	Applicable LDEV type	<ul style="list-style-type: none"> <li>HMRCF : All emulation types except HMDE Volume types (e.g. 3390-3A, 3390-3B, 3390-3C) and CVSs of them</li> <li>HOMRCF : All emulation types and CVSs and LUSE of them</li> </ul>
5	Host access during copying	<p>(1) In the case of the main frame volume</p> <ul style="list-style-type: none"> <li>Source volume: Reading and writing disabled Target volume: Reading and writing disabled</li> </ul> <p>(2) In the case of the open volume</p> <ul style="list-style-type: none"> <li>Source volume: Writing disabled Target volume: Reading and writing disabled</li> </ul> <p>Note: The reason why the source volume is not disabled to read is to make the volume recognizable by the host and it does not mean that the data is assured.</p>
6	Specification method	<ul style="list-style-type: none"> <li>SVP/RMC: Add a specification for the RESYNC pattern onto the Pair Resync screen.</li> </ul>
7	Conditions of command reception	<ul style="list-style-type: none"> <li>The pair concerned is in the Split status.</li> <li>In the case of Quick Restore, the pair must not be combined CVS Volume and Normal Volume.</li> <li>Another pair sharing the source volume is in the Suspend or Split status. → If this condition is not satisfied, the CMD RJT takes place.</li> <li>When the Reverse-Resync or Quick Restore is being executed by another pair which is sharing the source volume, it is impossible to change the pair status of the pair concerned. (However, the pair deletion and pair suspension requests are excluded.)</li> <li>The source volume of the pair concerned has no pair of the HRC/HORC or in the Suspend status. (See Item No.14 in this table.)</li> </ul>
8	Status display during copying	<ul style="list-style-type: none"> <li>SVP/remote console HMRCF : RESYNC -R HOMRCF : COPY(RS-R) The display of the attribute, source or target, is not changed.</li> <li>RAID manager Pair status display: RCPY The display of the attribute, source or target, is not changed.</li> </ul>
9	Condition after normal end of the copy operation	<ul style="list-style-type: none"> <li>The pair concerned enters the Duplex status.</li> <li>The conditions of the host access after the status transition are shown below.</li> </ul> <p>(1) Main frame volume</p> <ul style="list-style-type: none"> <li>Source volume: Reading and writing enabled Target volume: Reading and writing disabled</li> </ul> <p>(2) Open volume</p> <ul style="list-style-type: none"> <li>Source volume: Reading and writing enabled Target volume: Writing disabled</li> </ul>

10	Impacts on another pair	In another pair sharing the source volume, the part actually copied becomes the difference after executing this function. Example: Pair of the other target volumes in the 1:3 configuration
11	Operation when the copying terminates abnormally	(1) The pair concerned enters the Suspend status. (2) The source volume of the pair concerned is enabled to read and write. → Data is not assured. The target volume of the pair concerned is disabled to read nor write in the case of the main frame volume and disabled to write in the case of the open volume. (3) The status of a pair sharing the source volume is not changed.
12	Operation when a suspension request is received during copying	Same as above
13	Relation to the cascade function	• The Reverse-RESYNC and Quick Restore cannot be executed for the L2 pair.
14	Relation to the HRC/HORC	<ul style="list-style-type: none"> <li>• In the case where “M-volume of the HRC/HORC” = “Source volume of the MRCF” → The Reserve-Resync and Quick Restore cannot be executed. (Command Reject)</li> <li>• In the case where “R-volume of the HRC/HORC” = “Source volume of the MRCF” → The Reserve-Resync and Quick Restore cannot be executed. (Command Reject)</li> <li>• In the case where “Target volume of the MRCF” = “M-VOL of the HRC/HORC” → The Reserve-Resync and Quick Restore cannot be executed. (Command Reject)</li> <li>• A pair of the HRC/HORC cannot be created with the volume of the MRCF executing the Reserve-Resync or Quick Restore. (Command Reject)</li> </ul>

## (3) Action to be taken when the pair is suspended during the Reverse-RESYNC

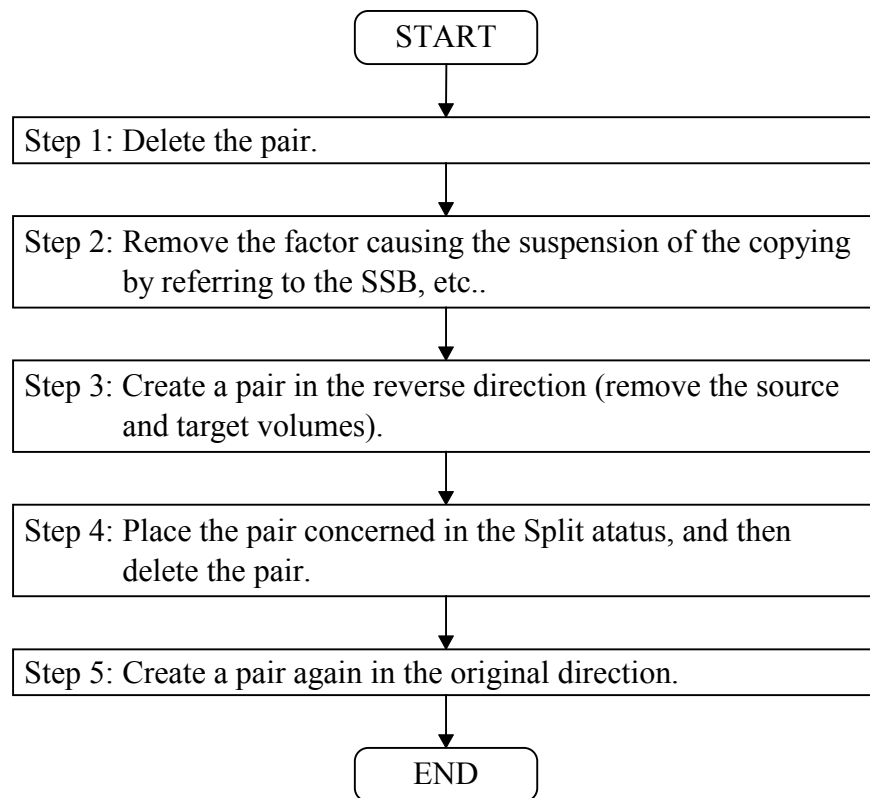
The recovery procedure to be used when the pair executing the Reverse-RESYNC is suspended owing to some problem or is explicitly transferred to the Suspend status by a command from the SVP/remote console/RAID manager is explained below.

## (a) Case 1: A case where the Suspend status can be recovered without recovering the LDEV concerned

This is equivalent to a case where the pair encounters an event that copying cannot be continued owing to a detection of pinned data or a staging time-out.

Or, it is equivalent to a case where the pair is explicitly transferred to the Suspend status by a command.

<<Recovery procedure>>



(b) Case 2: A case where the Suspend status cannot be recovered unless the LDEV concerned is recovered

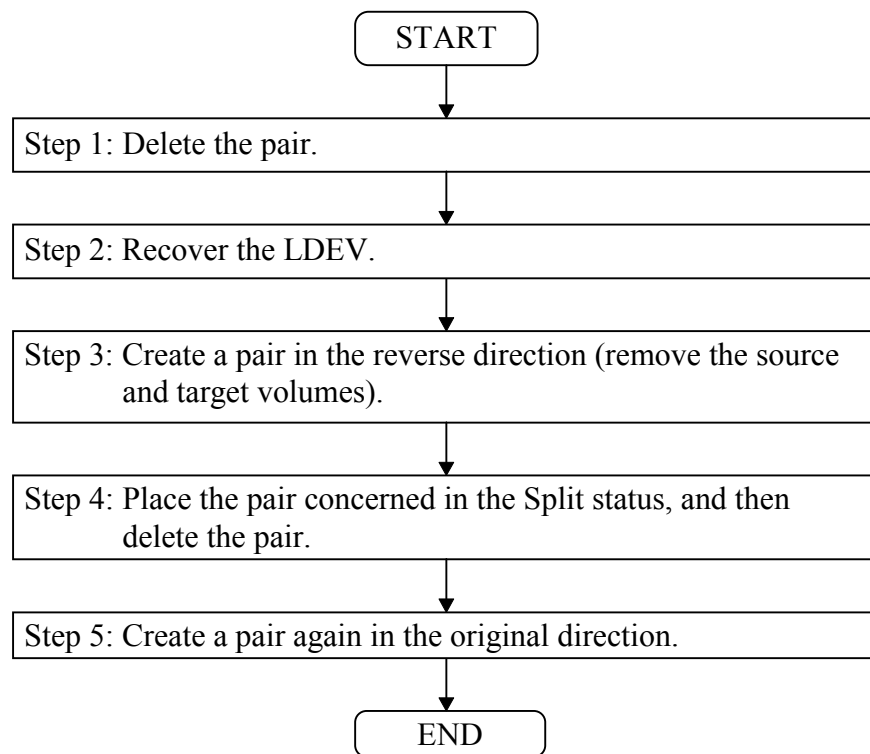
This is equivalent to a case that the LDEV is blocked.

To recover the blockade of the LDEV, an LDEV formatting or LDEV recovery is required. Both of them cannot be executed in the state that the MRCF pair is created. (A guard works against it.) Therefore, delete the pair once, recover the LDEV, and then create the pair once again.

However, in the pending state, caution must be taken because the data of the source volume is copied to the target volume if the pair is simply created again. Recover the blockade following the procedure below.

The following procedure is applicable just to a restoration of the source volume using the target volume. The following procedure does not include a procedure for directly restoring the source volume when the target volume is blocked.

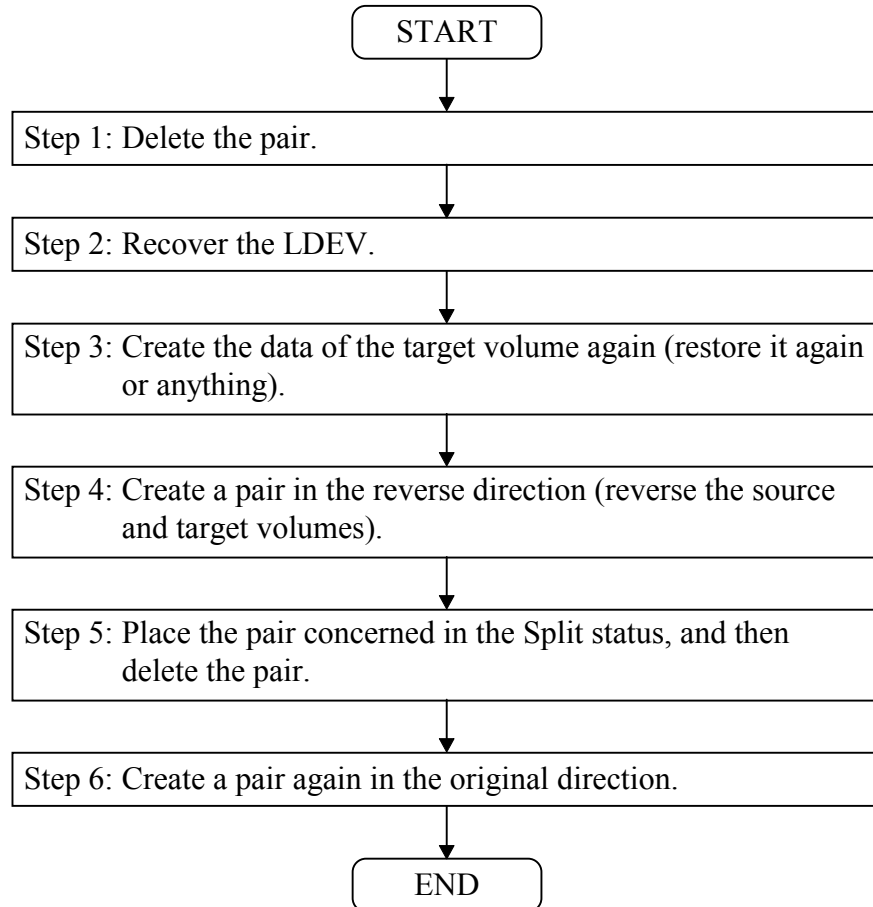
- Case 2-1: A case where the source volume is blocked  
<<Recovery procedure>>



- Case 2-2: A case where the target volume is blocked

A recovery procedure for restoring data of the target volume is added because the copy source of the Reverse-RESYNC cannot be accessed.

<<Recovery procedure>>



### 3.14.9 ShadowImage-FlashCopy® Option function

**Notice:**

ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2 do not start at the same time. A relationship of ShadowImage-FlashCopy® version2 cannot be formed in the state in which a relationship of ShadowImage-FlashCopy® exists. When forming a relationship of ShadowImage-FlashCopy® version2, all the relationships of ShadowImage-FlashCopy® must be dissolved.

(1) ShadowImage-FlashCopy® Option function

ShadowImage-FlashCopy® provides a function to copy data in high-speed.

ShadowImage-FlashCopy® forms a pair by copying data of an S-VOL (source volume) virtually or physically to a T-VOL (target volume).

A pair formed by means of ShadowImage-FlashCopy® is called a “relationship.” Once a relationship of ShadowImage-FlashCopy® is established, a host can execute a reading/writing from/to T-VOL data that is a virtual or physical copy of S-VOL data.

When forming a ShadowImage-FlashCopy® pair (establishing a relationship), an extent of data to be copied can be specified. This extent of data is called an “extent.”

(2) Use of ShadowImage-FlashCopy® Option together with HMRCF

A ShadowImage-FlashCopy® pair can be formed using an HMRCF volume in the Simplex status. Besides the pair can be formed using a P-VOL or S-VOL in the Split or Duplex status as a copy source.

Operations that can be performed for a pair of the ShadowImage-FlashCopy® Option function are shown below.

Table 3.14.9-1 Relations between Statuses of ShadowImage-FlashCopy® Pair and Operations That Can Be Performed

Operation	Pair status							
	HMRCF							ShadowImage-FlashCopy® F-Copy
	Simplex	Pending	Duplex	SP-Pend	Split	Resync	Suspend	
Split Pair	Possible	Possible	Possible	Impossible	Impossible	Impossible	Impossible	Impossible
Suspend Pair	Impossible	Possible	Possible	Possible	Possible	Possible	Possible	Impossible
Resync Pair	Impossible	Impossible	Impossible	Impossible	Possible	Impossible	Possible	Impossible
Reverse Resync, Quick Restore	Impossible	Impossible	Impossible	Impossible	Possible	Impossible	Impossible	Impossible
Delete Pair	Impossible	Possible	Possible	Possible	Possible	Possible	Possible	Possible
Relationship establishment	Possible	Impossible	Impossible	Impossible	Impossible	Impossible	Impossible	Impossible
Relationship dissolution	Impossible	Impossible	Impossible	Impossible	Impossible	Impossible	Impossible	Possible

The S-VOL in the F-COPY status and the T-VOL in the status other than F-COPY can be shared, so the pair in the second layer (L2=Layer2) can be formed under the pair in the first layer (L1=Layer1). (Fig. 3.14.9-1)

(When the L1 pair is the F-COPY pair, the L2 pair can not be formed. The L1 pair and the L2 pair which are other than the F-COPY pair can not be formed. The L3 (L3=Layer3) pair can not be formed.)

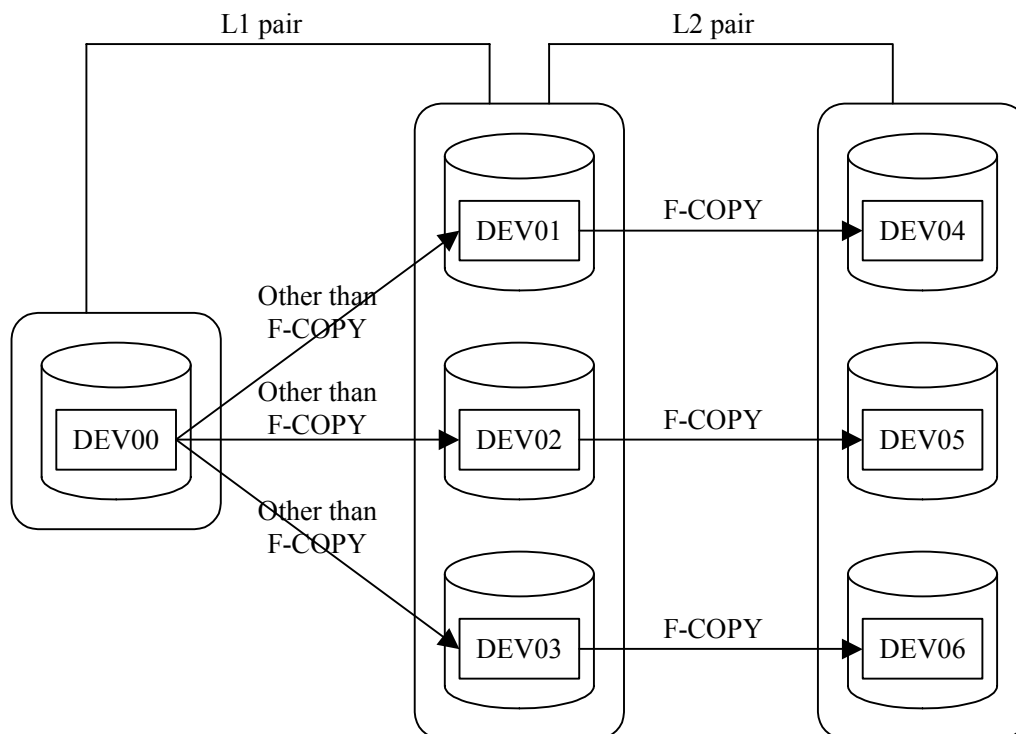


Fig. 3.14.9-1 HMRCF Extended Configuration Formed by Means of ShadowImage-FlashCopy® Option

Relation between the L1 pair statuses and operability of the L2 pair is shown below.

Relation between the L1 pair statuses and operations of the L2 pair

L1 pair status	Operation of L2 pair						Relationship definition
	Add Pair	Split Pair	Resync Pair	Reverse Resync/ Quick Restore	Suspend	Delete	
Pending	–	–	–	–	–	OK	NG
Duplex	–	–	–	–	–	OK	NG
SP-Pend	–	–	–	–	–	OK	NG
V-Split	–	–	–	–	–	OK	NG
Split	–	–	–	–	–	OK	OK
Resync	–	–	–	–	–	OK	NG
Reverse Resync / Quick restore	–	–	–	–	–	OK	NG
Suspend	–	–	–	–	–	OK	NG
F-COPY	NG	NG	NG	NG	NG	NG	NG

“–” (dash) means that the combination has no relationship to F-COPY pair.  
(The operation can not be performed.)

Relation between the L2 pair statuses and operability of the L1 pair is shown below.

Relation between the L2 pair statuses and operations of the L1 pair

L2 pair status	Operation of L1 pair						Relationship definition
	Add Pair	Split Pair	Resync Pair	Reverse Resync/ Quick Restore	Suspend	Delete	
Pending	–	–	–	–	–	OK	NG
Duplex	–	–	–	–	–	OK	NG
SP-Pend	–	–	–	–	–	OK	NG
V-Split	–	–	–	–	–	OK	NG
Split	–	–	–	–	–	OK	NG
Resync	–	–	–	–	–	OK	NG
Reverse Resync / Quick restore	–	–	–	–	–	OK	NG
Suspend	–	–	–	–	–	OK	NG
F-COPY	NG	NG	NG	NG	OK	OK	NG

“–” (dash) means that the combination has no relationship to F-COPY pair.  
(The operation can not be performed.)

For details of the ShadowImage-FlashCopy® Option function, refer to the section, “ShadowImage-FlashCopy® Option Function” in the “ShadowImage-Mainframe User’s Guide.”

### 3.14.10 ShadowImage-FlashCopy® version2 Option function

When there is no shared memory at Location 1, be sure to add it before installing ShadowImage-FlashCopy® version2. The addition of the shared memory is to be done by service personnel sent for by a user.

**Notice:**

ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2 do not start at the same time. A relationship of ShadowImage-FlashCopy® version2 cannot be formed in the state in which a relationship of ShadowImage-FlashCopy® exists. When forming a relationship of ShadowImage-FlashCopy® version2, all the relationships of ShadowImage-FlashCopy® must be dissolved.

(1) ShadowImage-FlashCopy® version2 Option functions

ShadowImage-FlashCopy® version2 provides a function to copy data in high-speed similarly to ShadowImage-FlashCopy®.

ShadowImage-FlashCopy® version2 forms a pair by copying data of a copy source (source volume) virtually or physically to a copy destination (target volume).

A pair formed by means of ShadowImage-FlashCopy® version2 is called a “relationship.” Once a relationship of ShadowImage-FlashCopy® version2 is established, a host can execute a reading/writing from/to target data that is a virtual or physical copy of source volume data.

When making a copy of each data set, a relationship of only the specified data set (an extent of the CCHH). This extent of data to be copied is called an “extent.” The minimum unit of the extent is a track.

(2) Use of ShadowImage-FlashCopy® version2 Option together with the other function

A ShadowImage-FlashCopy® version2 pair can be formed using an HMRCF volume in the Simplex status. Besides the pair can be formed also using a P-VOL in the Split or Duplex status as a copy source.

Table 3.14.10-1 Possibility of Volume Sharing by ShadowImage-FlashCopy® version2 and Other Copy Solutions

	Possibility of coexistence with ShadowImage-FlashCopy® version2	
	ShadowImage-FlashCopy® version2 S-VOL	ShadowImage-FlashCopy® version2 T-VOL
MRCF PVOL	Possible	Impossible
MRCF SVOL	Impossible	Impossible
XRC PVOL	Impossible	Impossible
XRC SVOL	Impossible	Impossible
TC-MF M-VOL	Possible	Impossible
TC-MF R-VOL	Impossible	Impossible
HIHSM	Impossible	Impossible

Note: Even if a volume can be shared by ShadowImage-FlashCopy® version2 and another copy solution, there may be a case where restrictions are placed on the pair status. For details of the restriction, refer to the section, “ShadowImage-FlashCopy® version2 Option Function” in the “ShadowImage-Mainframe User’s Guide.”

### 3.14.11 Micro-program Exchange

#### (1) Off-line Micro-program Exchange

- ① The existence of relationship of ShadowImage-FlashCopy® or ShadowImage-FlashCopy® version2 option is checked on the WebConsole ShadowImage –S/390 screen on SVP. Refer ShadowImage –S/390 User’s Guide4.2.1 The Volume List Box.
  - ①-1: In the case of existing no relationship of ShadowImage-FlashCopy® option.  
go to ②
  - ①-2: In the case of existing relationship of ShadowImage-FlashCopy® option.  
In the case of ShadowImage-FlashCopy®, watch progress of the copying made by ShadowImage-FlashCopy® Option for a while, and then go to Step a) or b).  
In the case of ShadowImage-FlashCopy® version2, go to Step b) because progress of the copying (expressed in percentage) cannot be displayed.
    - a) When the copy is likely to completed within permission time.  
Wait for the completion of the copy.
    - b) When the copy is unlikely to completed within permission time.  
Request to delete all relationship to user. However, notify user of information no longer being guaranteed T-VOL by deleting the relationship under copy.
- ② Perform micro-program exchange operation.
- ③ If required, establish the relationship of ShadowImage-FlashCopy® or ShadowImage-FlashCopy® option again.

Note 1) If step 1 above is not performed ,all relationship of ShadowImage-FlashCopy® or ShadowImage-FlashCopy® option is delete forcibly and all T-VOL of established relationship of ShadowImage-FlashCopy® or ShadowImage-FlashCopy® option is in blockade state with generating SIM (47E600).

Note 2) Request user to delete all relationship of ShadowImage-FlashCopy® or ShadowImage-FlashCopy® option beforehand at the time of performing off-line micro exchange.

## 3.14.12 Notes on powering off

When performing a powering off, take notice of the following.

Item	Note	Reason
1	(MRCF) Take care that the time required for the copying becomes longer. Make a schedule taking the copying time into consideration.	<p>If data in the shared memory has volatilized when the next powering on is performed, the following phenomena occur.</p> <ul style="list-style-type: none"> <li>• When the pair is in the Pending or Resync status, the data, from which a copying has been completed before the powering off, is also treated as data to be copied again.</li> <li>· Even if no I/O has been issued, the rate of data identity does not reach 100% when the pair status is changed to Duplex.</li> <li>· The data that has become the one to be copied again is copied to the secondary volume after the pair status is changed to Duplex.</li> </ul> <ul style="list-style-type: none"> <li>• When the pair is in the Duplex status, the data, from which a copying has been completed before the powering off, is also treated as data to be copied again.</li> <li>· The rate of data identity will be 0%.</li> <li>· The copying of the data, which has become the one to be copied again, is performed in the state in which the pair is in the Duplex status.</li> </ul> <ul style="list-style-type: none"> <li>• When the pair is in the Split status, the whole volume will be a differential between the two volumes.</li> <li>· The rate of data identity will be 0%.</li> <li>· Data of the whole volume is copied when a resynchronization is performed.</li> </ul>
2	(MRCF) As to a pair in the Split Pending status (SP-Pend, V-Split), complete the copying of it and put it in the Split status.	<p>If data in the shared memory has volatilized when the next powering on is performed, the following phenomenon occurs.</p> <ul style="list-style-type: none"> <li>• When the pair status is Split Pending status (SP-Pend, V-Split), it is changed to Suspend.</li> </ul>
3	(ShadowImage-FlashCopy® and ShadowImage-FlashCopy® version2 Option function) Perform a powering off of the subsystem after the copying is completed.	<p>If data in the shared memory has volatilized when the next powering on is performed, the following phenomena occur.</p> <ul style="list-style-type: none"> <li>• The relationship is dissolved.</li> <li>• The secondary volume is detached.</li> </ul>

### 3.15 TPF

#### 3.15.1 An outline of TPF

TPF stands for Transaction Processing Facility.

TPF is one of operating systems (OS) for mainframes mainly used for airline customer reservation systems.

To correspond to TPF, DKC must support logical exclusive lock facility and extended cache facility.

The former is a function which is called MPLF (Multi-Path Lock Facility) and the latter is a function which is called RC (Record Cache).

DKC has implemented a special version of microprogram which supports the MPLF and RC functions of TPF feature(RPQ#8B0178), described in IBM public manuals;

(a) IBM3990 Transaction Processing Facility support RPQs (GA32-0134-03)

(b) IBM3990 Storage Control Reference for Model 6 (GA32-0274-03)

## (1) An outline of MPLF

This facility provides a means, using a DKC, to control concurrent usage of resources in host systems via use of logical locks. A logical lock may be defined for the control of a shared resource, where the sharing of that resource must be controlled. Each shared resource has its own name called Lock Name. Every Lock Name controls multiple lock states (2 to 16).

The following figure shows the outline of I/O sequence which uses MPLF.

DKC recognizes up to 16 MPLF users. In this figure, user A and user B are shown. These users may belong to the same HOST or different HOSTs. Each user must indicate MPLP (Multi-Path Lock Partition) to use MPLF. MPLP is a means of logically subdividing the MPLs (Multi-Path Locks) for a user set. The maximum number of MPLP is four. Each MPLP has numbered from 1 to 4. The process to get permission to use MPLF is called CONNECT.

The connected user executes the SET LOCK STATE process using Lock Name. The MPL corresponding to specified Lock Name is assigned to the user. This assignment is canceled by the UNLOCK process. HOSTs can share the DASD without contradiction by using this MPLF.

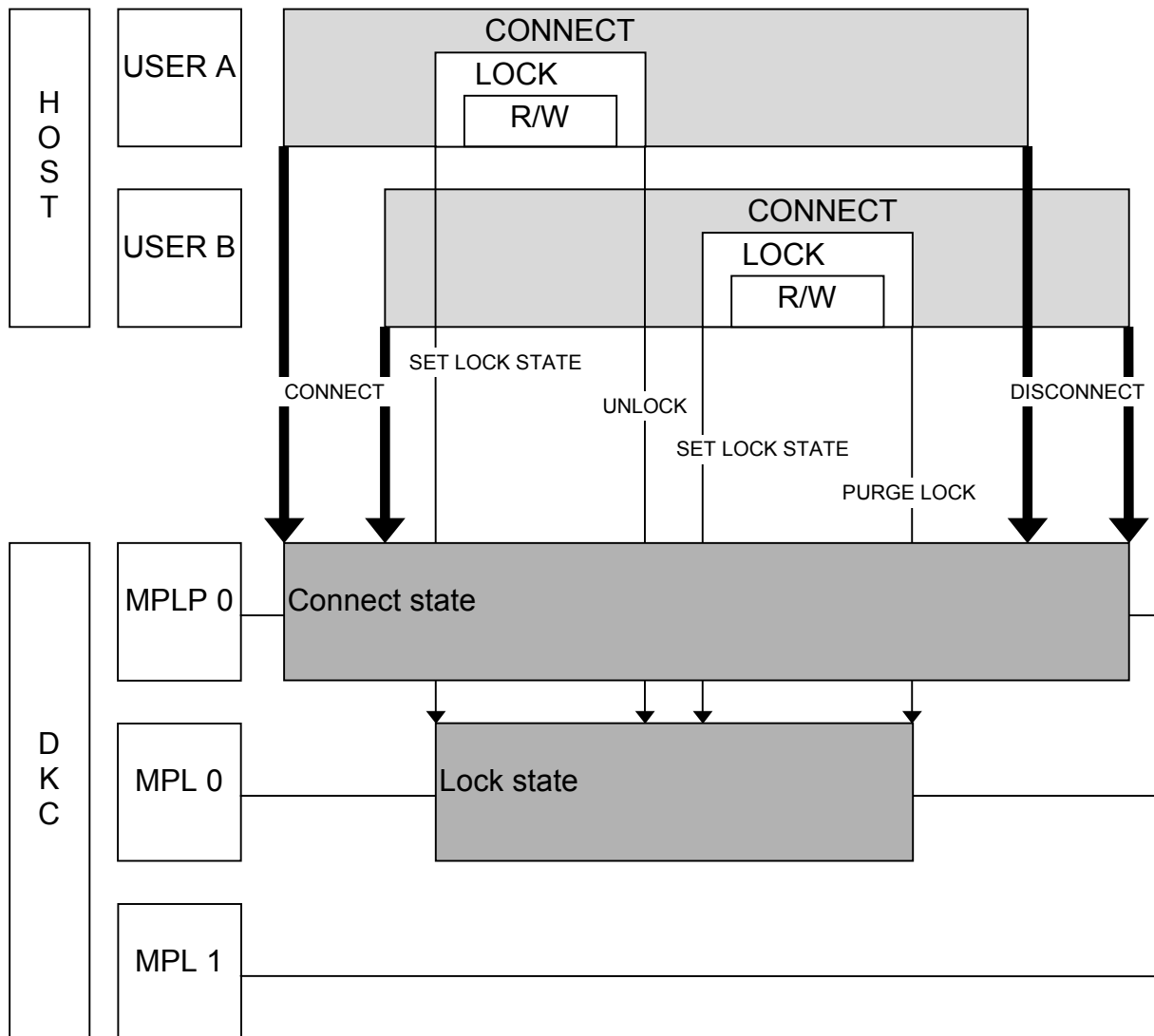


Fig. 3.15.1 An outline of MPLF

## (2) An outline of RC

RC has the following **two** features:

- (a) Record Mode Chain
- (b) Record Caching

The following explains these features.

### (a) Record Mode Chain

Record Mode Chain consists of the following 4 command chains:

- 1) Mainline Processing (Read)
- 2) Mainline Processing (Write)
- 3) Capture
- 4) Restore

To execute Record Mode Chain, a subsystem must be initialized for Record Caching, and Record Mode must be allowed for the addressed device. Under these conditions, Record Mode Chain works when Record Mode Chain is indicated in the Extended Global Attributes of Define Extent command. Otherwise, the chain is processed in a standard mode.

A Mainline Processing chain consists of a Define Extent command, a Locate Record command, and a single Read Data or Write Update Data command.

A Capture chain consists of a Define Extent command followed by a Seek command and multiple Read Count, Key, and Data commands.

A Restore chain consists of a Define Extent command, a Locate Record command, and multiple Write Update Data commands.

### (b) Record Caching

Record Caching is a naming contract with Track Caching used in a standard model. At the completion of first initialization, all caches are allocated to Track Slot as a standard model. Record Cache will be allocated if Set Cache Allocation Parameters Order is issued.

### 3.15.2 TPF Support Requirement

#### (1) OS

TPF Ver.4.1.

#### (2) Hardware

The following table shows subsystem hardware specification for TPF support.

Table 3.15.2 TPF Support Hardware Specification

Item	Description
Number of MODs	Max. 8192/box
Number of LCUs/Box	Max. 32
Number of SSIDs/LCU	1
Cache/SM capacity	(Refer to <a href="#">INST01-50</a> )
RAID level	5 or 1
Emulation type	
(1) LCU	3990-6 or 2105-F20
(2) Device	3390-3 or 3390-9
Physical Disk drive	(Refer to <a href="#">THEORY02-20</a> )
Number of Host paths	Max. 32
Number or Multi-Path Locks	16k/LCU (when up to 16 LCUs) 8k/LCU (when 17 ~ 32LCUs)
Option features;	
(1) CVS	Available
(2) DCR	Available
(3) HRC	Available
(4) HMRCF	Available
(5) HIHSM	Available *
(6) HMDE	(Not Available)
(7) HMBR	(Not Available)

\* HIHSM supports only a Monitor function.

### 3.15.3 TPF trouble shooting method

Basically TPF environment and MVS (as a standard operation system) are same in troubleshooting.

A example order is below;

- (a) Collect system error information by Syslog ...etc.
- (b) Collect DKC error information by SVP dump operation.
  - Normal dump which contains TPF dump data as well. (Refer [SVP02-600](#))
- (c) Send the above data to T.S.C.

### 3.15.4 The differences of DASD-TPF(MPLF) vs DASD-MVS

#### (1) Data-exclusive method by MPLF function

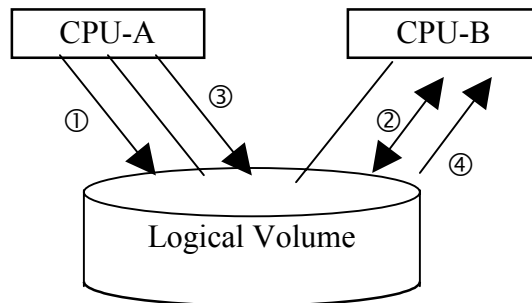
##### MVS environments

- Logical volume(Device) is the unit of data-exclusive between several CPUs.
- “Device” is owned by one CPU during CPU processing(accessing), and “Device-busy” status is reported to another CPU’s accesses.
- “Device-end” status is used to notify the waiting CPUs
- when the device becomes free.

##### TPF environments

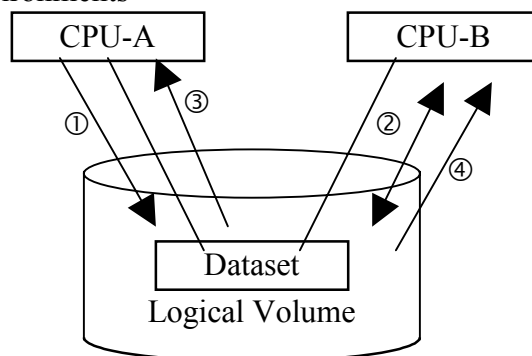
- Logical “Lock” is used for this purpose, instead of logical volume(device) of MVS.
- Most Read/Write CCWs have a unique: Prefix-CCW(Set Lock) to own the target lock.  
And only when the request-lock is granted to, its CCW continues the following Read/Write processes.  
DSB=’4C’ is for granted / DSB=’0C’ is for NOT-granted(wait).
- “Attention” status is used to notify the waiting CPUs when the lock becomes free.
- The relationship between Lock and Dataset is completely free.  
Usually TPF users(customers) have their own definitions.

##### MVS environments



- Reserve/Read&Write Access by CPU-A (Successful).
- CPU-B’s trial is rejected by Device-busy (Failed).
- Terminate its process and release the volume.
- Free(Device-end) will be sent. CPU-B can use this volume.

##### TPF environments



- Set Lock/Read&Write process(\*1) by CPU-A (Successful).
- CPU-B’s trial is rejected by Not-granted (failed).
- Terminate with Unlock, by CPU-A.
- Free(Attention) will be sent.(\*2). CPU-B can use this Dataset.

(\*1)Typical CCW chain:

- Set lock State(x27/order(x30));
- Read Subsystem Data(x3E);
- TIC(to be continued if granted)
- (ordinary CCW chain)

(\*2)This report’s path/Address is usually different from above②.

Fig. 3.15.4-1 Environments of DASD-TPF and DASD-MVS

**(2) No path-group**

## MVS environments

- (a) Each CPU establishes the Path-group on every DASD Online-device, using all the connected paths.
- (b) Channel and DASD (Control Unit) rotate the I/O service path to meet each occasion within this group.
- (c) "Device-end" status can be reported through any-path of this group.

## TPF environments

- (a) TPF OS/CPU does not establish this Path-Group, even if the configuration has multiple-paths for DASD.
- (b) But the Channel rotates the I/O request-path, within the connected paths. (Like old MVS way.)
- (c) "Attention" report is restricted to one "Connect-Path" which has been defined during IPL (Vary-online) procedure.

**(3) Connect Path/Device**

- (a) TPF system issues "Connect order" to define ;
  - Lock tables on each DASD control-unit,
  - Report path & Device for Attention interrupt.
- (b) This order is code(x33) of Perform Subsystem Function (x27) command.
- (c) This order is issued during the IPL process of each CPU.
- (d) CPU (channel) only has the capability to change this path and device definition.

Table 3.15.4-1 Order-list of Perform Subsystem Function (x27) command

Order	Meaning	Function
x10	Commit	RC
x11	Discard	
x18	Prepare for Read Subsystem Data	
x19	Destage Modified Tracks	
x1B	Set Special Intercept Condition	
x20	Set Cache Allocation Parameters	
x21	Suspend/Resume Function	
x22	Prepare to Read Lock Data	MPLF
x30	Set Lock State	
x31	Purge Lock	
x32	Unlock	
x33	Connect	
x34	Disconnect	

For details, please see the following IBM RPQ manual;  
 "IBM 3990 Transaction Processing Facility Support RPQs" (GA32-0134-03)

#### (4) Channel Re-drive function

##### MVS environments

- (a) In general, the Channel selects the most proper path (in the Path-group) for each I/O request.
- (b) In MVS environments, there is not this kind of function.

##### TPF environments

- (a) In TPF environments,
  - To keep a fast IO response & IO request-order(fast-in, fast-out), this kind of special function has been introduced. (Thus is our conjecture.)
- (b) By the channel-monitor data,
  - Once IO request is rejected with Control-unit busy by DASD, Sub-channel repeats a reconnect-trial to DASD (with some short interval) until (1) the sub-channel gets into DASD or (2) it reaches the trial-count threshold (in this case the IO request is registered to some waiting Queue in the channel.)
- (c) And once the IO request is accepted by DASD control-unit, next Control-unit judges this would be accepted or not using "Lock" status.

#### (5) Fixed Record-size

##### Dataset structure in DASD

In general, TPF software makes the following logical structure in DASDs.

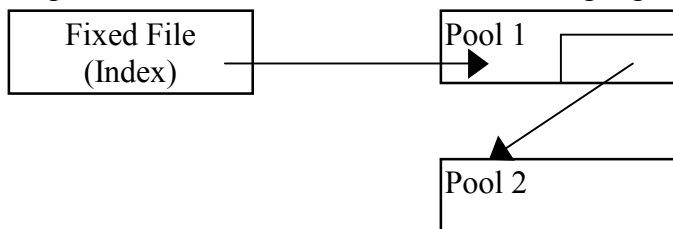


Fig. 3.15.4-2 Logical structure in DASDs

Table 3.15.4-2 Pool records Classification

Logical (usable) size	Physical size
381 Bytes	384 Bytes
1055 Bytes	1056 Bytes
4095 Bytes	4096 Bytes

Only three lengths exist for pool records.

Table 3.15.4-3 More detailed classification

381 Record	1055 Record	4095 Record
SLT (Small, Long Term)	LLT (Large, Long Term)	4LT (4KB, Long Term)
SST (Small, Short Term)	LST (Large, Short Term)	4ST (4KB, Short Term)
SDP (Small, Long Term, Duplicated)	LDP (Large, Long Term, Duplicated)	4DP (4KB, Long Term, Duplicated)

## (6) Prime/Dupe MODs pairs

- (a) To improve Data-integrity of DASD,  
TPF system often makes the Data-duplications  
on different two DASD subsystems.
- (b) The following figure shows one example of these pairs.  
Prime MOD(module)s and Dupe MODs are always located on each side  
of subsystem(spread to all subsystems).

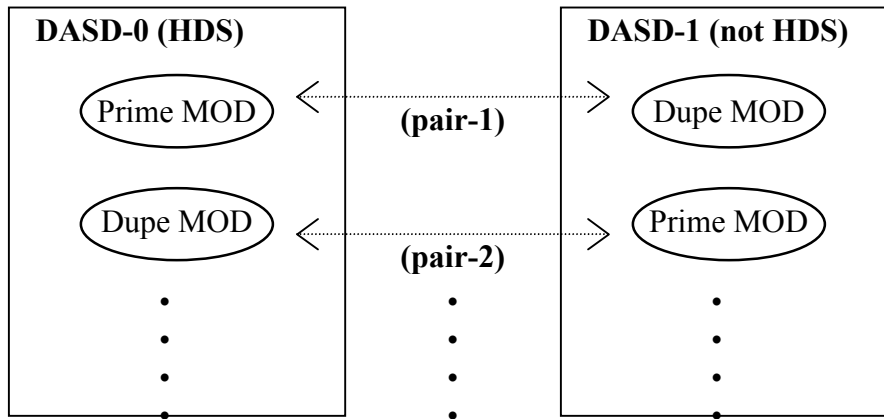


Fig. 3.15.4-3 Prime/Dupe MODs pairs

## (7) Data Copy procedures

The Copy procedures are taken for the following purposes:

- (a) To make a pair (To copy data from Prime MOD to Dupe);  
(b) To recover the failed data (To copy the remaining data to the re-init MOD).

There are two ways to make a pair.

- (a) AFC (All File Copy),  
(b) AMOD copy.

- (a): In this copy process, the destination-drive of the copy keeps “Offline” status, and just after the completion of this copy, the source-drive becomes “Offline” and the destination-drive changes to “Online”. From the view-point of TPF software, there is only one MOD, independent of copy process.
- (b): In this copy process, both source-drive and destination-drive stay “Online”. TPF software can distinguish both drives, even in the copy process.

### 3.15.5 Notices for HRC-option setting

#### <SVP operation>

##### (1) RCU Option

- We strongly recommend you to select “No” in the “PPRC support by host” column of the “RCU Option” window.
- We strongly recommend you to select the “Not Report” in the “Service SIM of Remote Copy” column of the “RCU Option” window.

##### (2) Add Pair

- We strongly recommend you to select the “Copy to R-VOL” in the “CFW Data” column of the “Add Pair” window.

##### (3) Suspend Pair

- We strongly recommend you to select the “Disable” in the “SSB(F/M=FB)” column of the “Suspend Pair” window.

#### <Host (TPF-OS) consideration>

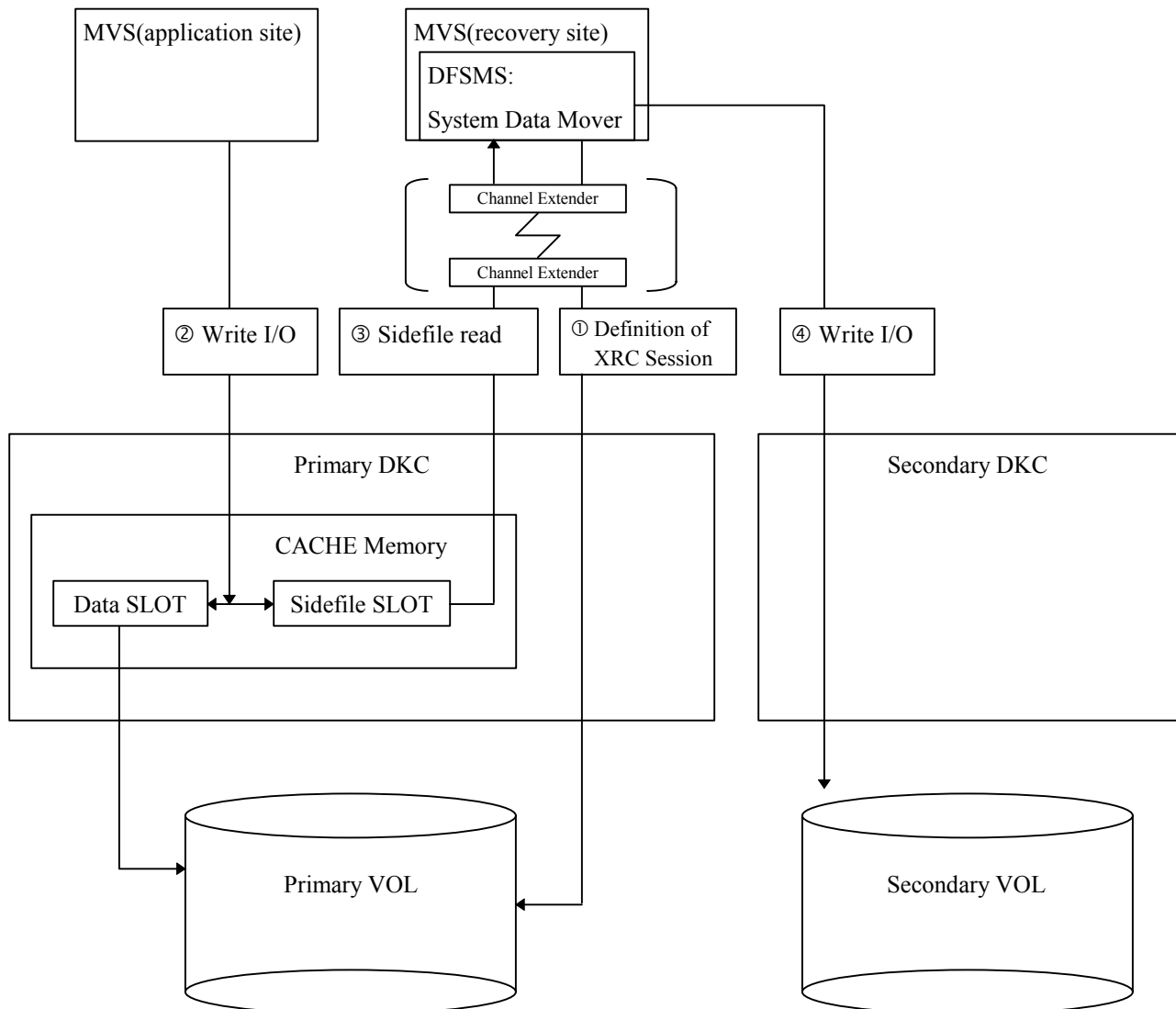
In MVS-OS world, DKC with HRC expects (requires) Customers to extend I/O Patrol Timer to prevent many MIHs from reporting.

Also in TPF-OS world, same consideration is required, so please discuss with your Customer to find the opportunity to extend “Stalled Module Queue” timer over 5 seconds.

## 3.16 HXRC

### 3.16.1 Outline of HXRC

HXRC (Hitachi eXtended Remote Copy) function provides for data replication at distance in order to recover for disaster.



- System Data Mover defines a XRC pair session. (①)
- When a write command is issued to the primary volume from application site, the primary DKC makes Sidefile data (replication) on cache memory. (②)
- System Data Mover reads Sidefile data non-synchronously at distance, and writes it to the secondary volume. (③, ④)

Fig.3.16.1-1 An outline of HXRC

## 3.16.2 HXRC Support Requirements

### 3.16.2.1 OS

#### (1) OS level

- (a) MVS/ESA 4.3.0 or upper.
- (b) DFSMS/MVS 1.1.0 or upper.

#### <Restriction of SMS 1.4 environment>

The Maximum number of HXRC pair per CU image is up to 128 under SMS 1.4 environment. CCA (Channel Connection Address) can be specified to 128 logical devices per CU image such as '00' - '7F'. CCA addresses '80' - 'FF' for HXRC may be rejected by System Data Mover.

#### (2) Conditions of HXRC in use

- (a) The following conditions must be satisfied by OS before starting the HXRC function,

- CACHE ON
- NVS ON

and must be the CACHE ON status on DKC.

When CACHE OFF/NVS OFF commands are issued by OS or Cache malfunctions (includes 'Ref code=FFEE: Area temporary blocking) occur, the HXRC function is stopped.

#### (b) I/O Patrol Value

##### (I) Without CHL Extender

- Current patrol time(more than 30sec).

##### (II) With CHL Extender

- More than 70sec.

#### (c) Session ID

- Up to 64Session ID's can be utilized per 1CU for Concurrent Copy and HXRC.
- Up to 4Session ID's can be utilized per 1CU for HXRC.
- Up to 16Session ID's can be utilized per 1VOL for Concurrent Copy and HXRC.
- Only 1Session ID can be utilized per 1VOL for HXRC.

#### (d) HRC/HODM

- HXRC cannot be used for the same volumes using the HODM pair volumes.
- HODM cannot be used for the same volumes using the HXRC pair volumes.
- HXRC must be the volumes after deleting the HODM pair volumes.

### 3.16.2.2 Hardware

#### (1) HXRC Support Hardware Specification.

Table 3.16.2.2-1 HXRC Support Hardware Specification

CU Type	3990-6/6E, 2105(*1)
DEV Type	3390-3/3R/9/L
DKC model	Primary:RAID450 Secondary:RAID450/RAID400/RAID300/RAID200HA/DKC80/DKC90
RAID level	RAID5/RAID1
Channel	ESCON

(\*1) Do not intermix of DKC emulation type '2105' and 3990-6/6E in the same DKC.  
If you change DKC emulation type '2105', the following operation.

- Delete All CC/XRC pairs
- Change DKC emulation type 2105 of All CHE PK
- RESUME CC/XRC pairs

#### (2) CACHE SIZE

Cache capacity should be doubled from the current cache size.

(The amount of Sidefile data may occupy up to 60% of total cache capacity.)

### 3.16.2.3 Micro-program

- (1) HXRC supports from the 1st version of Main Frame Micro-program.
- (2) CNT extender version 4.9 or upper level code is recommended.
- (3) HXRC supports Modes

Table 3.16.2.3-1 HXRC support Modes

Mode	Description
Mode45	Sleep wait suppression (see modes 61, 85, 86, 97) When mode45 = ON and 61 = ON, write I/Os for LDEV are blocked by threshold specified by SDM. Mode45 OFF : Sidefile threshold activates the sleep wait timer at the sleep wait threshold. Mode45 ON : Sidefile threshold does not activate the sleep wait timer at the sleep wait threshold.
Mode61	Enables the 'DONOT BLOCK' option of the XADDPAIR command. (see mode 45) Should be holding mode61 = OFF, if the SDM does not support the function. Mode61 OFF : 'DONOT BLOCK' option is ignored. Mode61 ON : 'DONOT BLOCK' option is activated.
Mode 85, 86	Variable Sidefile threshold. (see modes 45, 97, 98) Mode85 86 ON, OFF : Threshold sleep wait/SCP/Puncture = 30/40/50% Mode85 86 OFF, OFF : Threshold sleep wait/SCP/Puncture = 40/50/60% Mode85 86 OFF, ON : Threshold sleep wait/SCP/Puncture = 50/60/70% Mode85 86 ON, ON : Threshold sleep wait/SCP/Puncture = 60/70/80%
Mode97	Variable sleep wait timer duration. (see modes 45, 85, 86) Mode97 OFF : Sleep wait timer duration = 100ms at the sleep wait threshold. Mode97 ON : Sleep wait timer duration = 10ms at the sleep wait threshold.
Mode98	Selectable SCP or session cancel at the SCP threshold. (see modes 45, 85, 86) Mode98 OFF : SCP activates at the SCP threshold. Mode98 ON : Forced session cancel at the SCP threshold.
Mode118	Warning SIM reporting at the sleep wait threshold. (see modes 45, 85, 86, 97) Mode118 OFF : Not report SIM. Mode118 ON : Reports SIM. (30sec interval over the sleep wait threshold)

- (4) Device Blocking Function and Load Balancing Control  
DKC does not block Write I/Os for the logical device which is specified the DONOTBLOCK option not to affect performance impact for application programs.  
Device blocking function and new load balancing control are supported from DKCMAIN micro rev. 01-12-00 or upper revision.

#### <Requirements>

The following conditions need to activate the DONOTBLOCK option.

For Operating system

- The operating system should support the DONOTBLOCK option.

For RAID system

- Set system option MODE61 = ON for the DONOTBLOCK option.

DKC performs current load balancing control, if MODE61 = OFF (default).

- Should be holding MODE61 = OFF (default), if the operating system does not support the function.

### 3.16.2.4 HXRC recommendations

- (1) Recovery site CPU is the most ideal location for Data Mover.
- (2) Data Mover's path should be utilized only to read Sidefile.
- (3) Subsystem configurations
  - Cache capacity : Should be doubled from current cache size.
  - Confirmation for Number of channel paths for system data mover (SDM)
  - Confirmation for Work loads for the subsystem
- (4) Utility device for primary volume
  - Should be prepared for each XRC session.
  - A low activity device should be selected as a Utility Device
  - Utility Device should be specified at the 1st time before establishing pair volumes.
- (5) System Data Mover (SDM)
  - Confirmation for PTF levels
  - No record found problem. : APAR # OW30183, OW33680
  - Necessary tuning for SDM data set : Capacity, Geometry of the data set
- (6) DB2
  - Broken VSAM index file problem : APAR # II08859
- (7) Others
  - CPU MIPS : Enough for HXRC environment
  - LINE CAPACITY : Enough for HXRC environment with channel extender.
- (8) HXRC PP option
  - If the ANTA5107E (RC=9014 REAS=604 OR REAS=608) console message is displayed during the XADDPAIR operation for HXRC pairs the operation might be unsuccessful.
  - In this case, you may check the HXRC PP Option Installed. If HXRC PP Option not install Please install HXRC PP option.
  - Hitachi – Extended Remote Copy PP Option effects only 2105 dkctype.
  - You can use Hitachi – Extended Remote Copy for 3990 dkctype without this PP option.
- (9) HXRC with FICON

Table 3.16.2.4-1 HXRC and FICON configuration

		Record set transfer path (System Data Mover - DKC)	
		FICON	ESCON
Application site (System and DKC)	ESCON	Supported	Supported
	FICON	Supported	Not recommended (*1)

\*1: If the path of Application site is FICON, System Data Mover (SDM) path should be also FICON in order to balance the performance of Application path and SDM path.

### 3.16.3 Online Maintenance while Concurrent Copy(CC)/HXRC in use

#### (1) Availability of Installation and DE-installation.

Component	Maintenance Type	During initial copy		Established		Suspend	
		Primary	Secondary	Primary	Secondary	Primary	Secondary
HDD canister	Installation	*	x	*	x	*	x
	De-installation	*	x	*	x	*	x
Cache PCB	Installation	*	x	*	x	*	x
	De-installation	*	x	*	x	*	x
CHA	Installation	x	x	x	x	x	x
	De-installation	x	x	x	x	x	x
DKA	Installation	x	x	x	x	x	x
	De-installation	x	x	x	x	x	x

x: Maintenance is available.

- \*: When a maintenance operation is needed while CC/HXRC is being used, I/O's for CC/HXRC pair volumes or CC/HXRC itself should be stopped before the maintenance operation. If the maintenance operation must be done while CC/HXRC is being used, you must confirm that the usage of Sidefile monitor is less than 20% of total Cache capacity before you start the maintenance operation. Only when the usage of Sidefile monitor is less than 20% of total Cache capacity, you can proceed the maintenance operation. Refer to "Monitoring" in the SVP SECTION about Sidefile monitor. Select the [Information] icon in the 'SVP' window. Next select the [Monitor] menu in the 'Information' window and select [start....]. Next select the 'Sidefile' box in the 'Item' menu in the 'Monitoring' window and select [OK].

#### (2) Availability of the System tuning.

When the following System tuning operation is needed while CC/HXRC is being used, CC/HXRC should be stopped before the System tuning operation.

- It is impossible to change the DKC No, SSID, or DKC Emulation type by System tuning operation while CC/HXRC is being used.
- When the DRV emulation type of CC/HXRC pair volumes are 3390-3 or 3390-3R, it is impossible to change the emulation type between 3390-3 and 3390-3R by CHANGE EMULATION operation while CC/HXRC is being used.

## (3) Availability of the Replacement.

Component	Maintenance Type	During initial copy		Established		Suspend	
		Primary	Secondary	Primary	Secondary	Primary	Secondary
Logical Device	Blockade	**	**	**	**	**	**
	Recovery	**	**	**	**	**	**
	Format	**	**	**	**	**	**
	Verify	x	x	x	x	x	x
HDD canister	Replace	x	x	x	x	x	x
Cache PCB	Replace	*	x	*	x	*	x
CHA	Replace	x	x	x	x	x	x
DKA	Replace	x	x	x	x	x	x
LTM PCB	Replace	x	x	x	x	x	x

x: Maintenance is available

\*: When a maintenance operation is needed while CC/HXRC is being used, I/O's for CC/HXRC pair volumes or CC/HXRC itself should be stopped before the maintenance operation. If the maintenance operation must be done while CC/HXRC is being used, you must confirm that the usage of Sidefile monitor is less than 20% of total Cache capacity before you start maintenance operation. Only when the usage of Sidefile monitor is less than 20% of total Cache capacity, you can proceed the maintenance operation.

Refer to "Monitoring" in the SVP SECTION about Sidefile monitor.

Select the [Information] icon in the 'SVP' window.

Next select the [Monitor] menu in the 'Information' window and select [start....].

Next select the 'Sidefile' box in the 'Item' menu in the 'Monitoring' window and select [OK].

\*\*: When a maintenance operation is needed while CC/HXRC is being used, CC/HXRC should be stopped before the maintenance operation.

### 3.17 HRC Asynchronous

#### 3.17.1 Components

Asynchronous mode is one of the update copy modes of the HRC volume pairs. The HRC asynchronous subsystem consists of the same components as HRC synchronous with the following exceptions:

- A set of the HRC volume pairs named consistency group is introduced.
- Only 1-to-1 and n-to-1 ( $n \leq 4$ ) configuration is supported
- For n-to-1 configuration, the XRC time-stamping capability is required.
- A communicating facility to transfer error information from the primary system to the secondary system is not required.

Note) In this document, the term n-to-m means that n-MCUs and m-RCUs are connected to each other to establish the HRC volume pairs. N and m is number of control units of physical unit bases, not of control unit image bases.

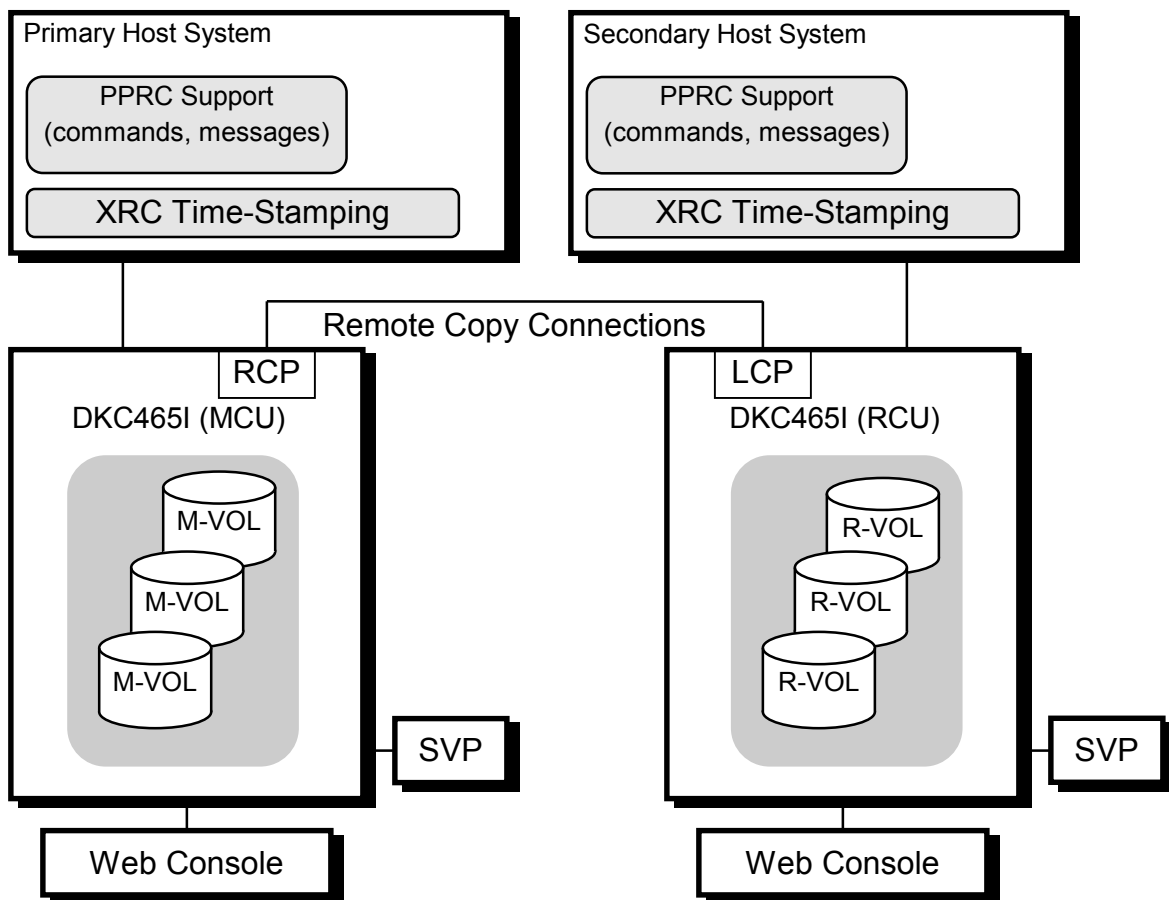


Fig. 3.17.1-1 HRC Asynchronous Subsystem Components

### (1) MCU and RCU

- Both MCU and RCU must be RAID400 or upper model.
- Maximum configuration is 4-to-1. For n-to-1 (n >1) configuration, XRC time-stamping capability is required.
- To use XRC time-stamping capability, control unit emulation must be 2105 or 3990-6 basic or enhanced mode.
- Maximum number of control unit image pairings (established by Add RCU or ESTPATH) is 128.

### (2) Consistency Group

- HRC asynchronous ensures update-sequence-consistency across several volume pairs. It also provides some group-based operations. A set of volume pairs treated by such group-based functions is called a consistency group.
- HRC asynchronous supports 128 consistency groups at maximum. Every HRC asynchronous volume pair belongs to one consistency group.

### (3) PPRC Support

- Although HRC asynchronous is not fully compatible to PPRC, it can be controlled and monitored with PPRC host facilities, PPRC TSO commands, ICKDSF PPRC commands and some console messages. For this purpose, MVS/DFP 3.2.0 or higher level and ICKDSF release 16 or upper are available.
- Only fundamental facilities are available. Neither P/DAS SWAP nor CGROUP is supported.
- If the primary system (and the secondary system) consists of several CPU complexes, SYSPLEX timer must be installed for the common time reference.

### (4) XRC Time-Stamping Capability

- In case of N-to-1 configuration, the XRC time-stamping capability requires to be installed in the primary host system. MVS/DFP 3.2.0 or higher level is required.
- In order to get benefit of time-stamping capability during copy-back process (pair establishment from the secondary to the primary subsystem), the XRC time-stamping capability recommends to be installed in the secondary system.
- If the primary system (and the secondary system) consists of several CPU complexes, SYSPLEX timer must be installed for common time reference.

### 3.17.2 Consistency Group

#### (1) HRC Asynchronous Volume Pairs and Consistency Group

- Every HRC asynchronous volume pair belongs to one consistency group.
- When establishing the HRC asynchronous volume pair, an operator specifies the consistency group number that the volume pair will belong to with a new parameter of Add Pair and ESTPATH.
- The consistency group must be registered prior to pair establishment.

#### (2) Functions of Consistency Group Basis

##### (a) Ensuring Update Sequence Consistency

- The updated records are copied to the corresponding R-VOLs in the same order as the M-VOLs have been updated by the primary host systems.
- The update sequence consistency is ensured within a consistency group. The updated records of the different consistency groups may be copied in the different order from the original.

##### (b) Suspending Volumes Pairs (Error Level)

- When one R-VOLs is not updated correctly due to the failure, all the HRC asynchronous volume pairs will be suspended with keeping update sequence consistency.
- When establishing the HRC asynchronous volume pair, an operator specifies whether other volume pairs will be suspended together or not against the failure of the volume pair. A new parameter called **Error Level** is defined for this purpose.

**Error Level = Group** When this volume pair is suspended due to the failure, all volume pairs in the same consistency group will be suspended together.

**Error Level = Volume** Even if this volume pair is suspended due to the failure, other volume pairs in the same consistency group will not be suspended, as long as the failure prevent.

### (c) Providing the Consistency Time

The latest time stamp value of the update that has been successfully copied to the R-VOL is called a **consistency time**. The consistency time is a group basis indication. It means that all the updates performed before or at the consistency time have been successfully copied to the R-VOLs in the consistency group.

- The consistency time can be displayed with the following operations issued to the R-VOL of duplex or suspended state. If 'LOCAL' is specified for timer type, Consistency time is not displayed.
  - Web Console Pair Status panel
  - PPRC CQUERY command (only at suspended state.)
- At the R-VOLs in duplex state, the consistency time is a ticking value. Any R-VOL displays the consistency time in that instance. It can be used for feeling how long the R-VOLs are behind the M-VOLs.
- Whenever the volume pair is suspended, the consistency time of the R-VOL is frozen.
  - ① If the update sequence consistency between the R-VOL and other R-VOLs in the consistency group is ensured, the R-VOL indicates the latest consistency time of the consistency group.
  - ② Otherwise, the R-VOL indicates the latest time stamp value of the update that has been successfully copied to the R-VOL. It may be older than other R-VOLs because the consistency time of the consistency group is still ticking.
- If the R-VOL is in suspended state, the supplementary status that indicates whether the consistency time is of the consistency group (case (a) above) or the R-VOL (case (b) above) is also displayed.

### (d) Consistency Group Basis Operations

In order to make the disaster/failure recovery procedure simple, the following consistency group basis operations are provided.

- Operations at the RCU
  - ① Deleting all suspended volume pairs except for inconsistent volume pairs
  - ② Deleting all volume pairs regardless of the consistency among them
  - ③ Suspending all volume pairs
  
- Operations at the MCU
  - ① Suspending all volume pairs
  - ② Deleting all volume pairs behind this unit (except for M-VOLs behind other MCU)
  - ③ Resuming all suspended volume pairs behind this unit (except for M-VOLs behind other MCU)

### (3) Configuration of the Consistency Group

#### (a) Disposition of the Volume Pairs

- All R-VOLs that belong to the same consistency group must be located behind one RCU.
- The M-VOLs that belong to in the same consistency group can be located behind up to 4 different MCUs.
- Up to 128 consistency groups can be established within one pair of MCU and RCU. The RCU supports up to 128 consistency groups.
- The R-VOLs of the different consistency groups can be located behind the different RCU.
- Up to 8,192 volume pairs can belong to one consistency group.

## (b) Primary Host Systems and Consistency Group

## 1) Primary host systems and timer type

- Every update I/O to the M-VOL of the same consistency group must be time-stamped by using common timer facility. Table 3.17.2-1 shows the relationship between the primary host system and available timer resource.
- When registering the consistency group, an operator must specify which timer resource should be used for the consistency group based functions.

Table 3.17.2-1 Primary host systems and timer resource

Primary Host System	Timer Resource	Notes on Configuration
MVS with the XRC time-stamping capability	System timer (CPU TOD clock)	<ul style="list-style-type: none"> <li>• N-to-1 (N≤4) configuration is possible.</li> <li>• SYSPLEX timer must be installed if the primary system consists of the several CPU complexes.</li> </ul>
Other main frame host systems	Local timer (MCU internal clock)	<ul style="list-style-type: none"> <li>• Only 1-to-1 configuration allowed.</li> <li>• The consistency time is not displayed.</li> </ul>
Open host systems		

## 2) Restrictions and notes on the primary host systems

- The primary host systems can not access the volume pairs of the same consistency group unless they have the common timer reference.
- The M-VOLs updated by the same primary host system can belong to the same or different consistency group if the M-VOLs have no requirement on update sequence consistency (i.e. they are updated independently of each other.) However it is recommended for them to belong to the different consistency groups because, for example, they might be suspended together against the failure
- Because of the same reason, the independent M-VOLs accessed by the independent primary host systems is recommended to belong to the different consistency groups, even if they can use common timer reference.

### 3.17.3 HRC Asynchronous Theory of Operations

#### (1) Update Copy

The updates from the primary host systems and additional control information are queued in the cache storage of the MCU, and sent to the RCU independent of host I/O processes. The RCU stores the data and control information into the provisional spaces allocated in the cache storage.

According to the time-stamp and the sequence information, the RCU promotes the updates in the provisional spaces the formal data of the R-VOLs in the same order as they have been performed at the MCU.

#### (a) Receiving Time-stamp Information

In case of the Timer Type of System specified, the MCU receives the time-stamp information as follows:

- When directed to establish the HRC asynchronous volume pair, the MCU reports the state-change-interrupt (SCI) to all the attached host systems. The host system issues a series of sense group commands to recognize what status of the device has changed. The MCU generates the response as if the device became a member of an XRC session. This response activates the XRC time-stamping capability if installed in the host systems.
- Once activated, MVS IOS routine attaches the time-stamp information (contents of time-of-day clock) to each I/O operation to read and write the device. The time-stamp information indicates when the corresponding update has been issued at the primary host system. It is transferred to the MCU at the beginning of each I/O operation.

#### (b) Creating Recordset

- When accepting the updates from the primary host systems, the MCU creates a set of information called a **recordset**. A recordset includes:

- ① updated record
- ② time-stamp information received
- ③ sequence number
- ④ record locations (device, cylinder, track and record number) and record length

- The **sequence number** is the number of recordsets the MCU has created for the consistency group. That is, all recordsets in each MCU and each consistency group are independently numbered.
- The recordset information other than the updated records is stored and queued into the exclusive spaces allocated in the cache storage.
- The updated records are stored as the host-dirty data and do not occupy the exclusive space until the following events happen before the recordset is sent to the RCU
  - The same record is updated again, or
  - The host-dirty status is removed by the de-staging process.

When the above mentioned event happens, the MCU moves the updated records into an exclusive space, called **Sidfile**, in the cache storage.

## (c) Sending Recordset to the RCU

- The MCU sends the recordset in a similar manner as HRC synchronous. That is, the MCU and RCP port act as the host processor channel and issue I/O operation, called Remote I/O (RIO), to the RCU.
- The RIO transfers the time-stamp information, the sequence number, the record locations and length, and the updated records in the FBA format (not in the CKD format) by one channel command, like HRC synchronous. However the parameter length and detailed specification of this channel command is different from HRC synchronous. Therefore the micro code of the store-and-forward type channel extender (i.e. Channelink and UltraNet of CNT corp.) should be upgraded to support the command.
- Unlike the recordset offloading of the XRC Data Mover, the MCU sends the recordset with directly specifying the device address of the R-VOL. The RIO independently activates each R-VOL. Furthermore, the MCU may send several recordsets by one RIO even if their sequence numbers are not contiguous to each other. Therefore the recordsets are usually sent in different order from their arrivals to the MCU.

## (d) Storing Recordset into Sidefile Space

- The RCU stores the received recordsets into the spaces exclusively allocated in the cache storage. The exclusive space is called a Sidefile. The updated records in the Sidefile are not treated as the formal data. That is, the host I/O processes and the de-staging processes do not access the records in the Sidefile at this time.
- The records in the Sidefile will be promoted the formal data later. A term **settle** means to promote the records in the Sidefile.
- The RCU also allocates exclusive spaces in the cache storage so that the Sidefiles form a queue.
  - The RCU makes a queue per MCU and per consistency group.
  - This queue is not of the FIFO fashion. Each entry of the queue is previously assigned to each sequence number. The arrived recordsets are queued into the corresponding entries with indexed by their sequence number. The entries for the recordsets that do not arrive yet are left empty. As a result, the RCU lines up the recordsets in the order of their sequence number.

## (e) Selecting Recordset and Deciding Consistency Time

- The RCU selects the recordset to be settled with the following algorithm.
  - Checks if there are the valid entries at the top of all the queues in the consistency group. If one of them is empty, the RCU waits for the entry.
  - When all the top entries are filled with the valid Sidefiles, the RCU selects one entry that has the smallest time-stamp. It can be settled.
  - Repeats step 1 and 2.
- Figure 3.17.3-1 shows an example. All the top entries are filled with the recordsets of S11/T3, S21/T2, S31/T1 and S41/T5. The RCU selects the recordset of S31/T1 to be settled because the T1 is the smallest time-stamp. Then the top entry S31/T1 is removed from the MCU3's queue. The S32 becomes the top but it is empty. The next recordset S11/T2 will be selected when recordset S32 arrives and its time-stamp is smaller than T2.

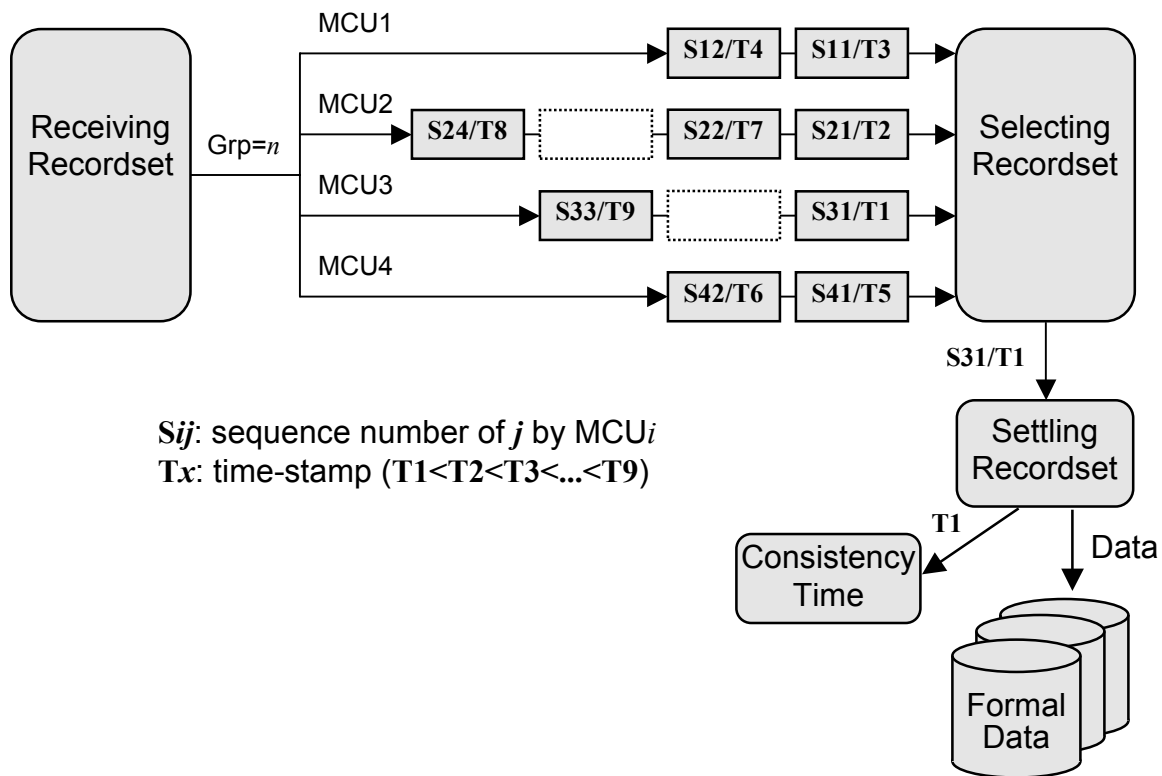


Figure 3.17.3-1 Example of Selecting Recordset at the RCU

#### (f) Settling Recordset

- The recordset selected by the algorithm described in section(e) will be marked as host-dirty and treated as the formal data after that time. The time-stamp value of the recordset is promoted to the consistency time.
- The RCU settles the updated records in the recordset as follows:
  - If the corresponding track is not in cache storage (track-miss), the cache directory of the Sidefile is changed to be the formal data. No data is moved.
  - If the corresponding track is in cache (track-hit), the updated records in the recordset are copied to the existing cached-track and the cache space for the Sidefile is released.

### 3.17.4 MCU-RCU Communications to Maintain Asynchronous Copies

#### (1) Dummy Recordset

The RCU needs to receive the recordset continuously from all the MCUs even if the MCU does not have to create the new recordset.

- The MCU creates and sends a dummy recordset when it has received no update I/O in a second. The dummy recordset contains only the sequence number and the time-stamp information. Contents of the time-stamp information is generated by incrementing the largest time-stamp that the RCU has (the MCU reads it from the RCU before creating the dummy recordset) by one.
- The RCU receives the dummy recordset and puts it into the queue. It can help other recordsets being selected.

Another purpose of the dummy recordset is have the RCU be aware of the disaster. If the RCU can not receive any recordset in the predetermined duration (this time can be specified by Maximum Copy Delay Time parameter), the RCU regards such situation as the disaster and suspends all the HRC asynchronous volume pairs.

Due to these reasons, the MCU and RCU always need to communicate with each other once the HRC asynchronous volume pair established and not all the pairs have been suspended.

#### (2) Change-Status Recordset

When the volume pairs are suspended or deleted due to operations or failure, the update sequence consistency must be ensured. In order to meet this requirement, the negotiation on changing volume pair status is made by means of recordset. The recordset used for this purpose also has only the sequence number and the time-stamp information.

#### (3) PS OFF Notification Recordset

At the power-off sequence, the MCU creates and sends the recordset to notify of the power-off event. The recordset used for this purpose also has only the sequence number and the time-stamp information.

### 3.17.5 Failure Detected by the RCU

#### (1) Pair Suspend by the RCU

Table 3.17.5-1 shows the failures detected by the RCU and the volume pairs to be suspended due to the failure.

**Table 3.17.5-1 Volume Pairs to be Suspended by the RCU-detected Failure**

Failures	Volume pairs to be suspended
The RCU could not settle the pending recordset or could not communicate with the MCU before the maximum copy delay time expired.	All pairs in the consistency group
The RCU could not receive the recordset successfully due to the hardware failure.	All pairs in the consistency group, or only the affected pair (depending on the failure)
The RCU detected the logical error while selecting the recordset to be settled.	All pairs in the consistency group
The RCU could not settle the recordset due to the hardware failure, the track condition, or the logical error.	All pairs in the consistency group, or only the affected pair (depending on the failure)

#### (2) Pair Suspended and Re-synchronization

For the HRC asynchronous volume pairs, both the MCU and RCU maintain the bit map for pair re-synchronization. When/after the volume pair(s) suspended, the cylinders that contain the following records are marked in the bit map as modified(to-be-copied later):

- The recordsets that have been created by the MCU but not sent the RCU yet. After marking the cylinders as modified, the recordsets are discarded.
- The recordset that have reached at the RCU but not settled yet. After marking the cylinders as modified, the recordsets are discarded.
- The records updated by the primary system after the volume pair(s) suspended

At the beginning of the pair re-synchronization, the contents of the RCU's bit map are sent to the MCU and merged into the MCU's bit map. The MCU performs the initial copy for the pair re-synchronization according to its bit map. That is, the cylinders that contain the lost recordset are re-synchronized at this time.

### 3.17.6 Inflow Control for Sidefiles

- As described in section 3.17.4, both the MCU and RCU create the Sidefiles for storing the recordsets. Since the Sidefile is an exclusive space in the cache storage, both the MCU and RCU perform the inflow control to prevent the subsystem overload.
- Both the MCU and RCU use the threshold value specified with the Web Console/SVP panel.

#### (1) Inflow Control by MCU

- When the amount of Sidefiles reaches at the threshold, the MCU responds to the update I/Os from the primary system with the state-change-pending (SCP) or channel-command-retry request.
- If no recordset has been sent to the RCU after the specified time duration, the MCU will suspend all the volume pairs and reset the SCP condition in order to avoid the system being hung up.

#### (2) Inflow Control by RCU

- When the amount of Sidefiles reached at the threshold, the RCU responds to the command that transfers the recordset from the MCU with the channel-command-retry request. Only the recordset of the sequence number necessary to continue settling the pending recordsets is accepted.
- If the recordset has not been settled after the specified time duration, the RCU will suspend all the volume pairs and reset the channel-command-retry condition in order to avoid the MCU being hung up.

### 3.17.7 HRC Asynchronous Control Operations

This chapter describes the Web Console operations for HRC asynchronous.

#### 3.17.7.1 DKC Options

##### (1) *Async Option* - Modifying HRC Options on Physical Unit Basis

- Async Option panel provides the function to modify asynchronous options.
- These options are effective to entire physical control unit (i.e. all M-VOLs and R-VOLs behind the control unit.)
- These options can be modified when no asynchronous volume pair is established.
- These options may be modified before/after performing Port, Add RCU, and Add Group operations.

Table 3.17.7-1 Async Options on Physical Control Unit Basis

Option Name	Description
Pending Update Data Rate	<ul style="list-style-type: none"> <li>• It specifies the amount of cache storage in percent that allows to be used for storing recordset (Sidefile).</li> <li>• When the amount of cache storage for the recordset reaches the specified threshold, the MCU and RCU invokes its own inflow control as follows:               <ul style="list-style-type: none"> <li>— The MCU responds to the update I/Os from the primary system with the state-change-pending (SCP) or channel-command-retry request.</li> <li>— The RCU responds to the command that transfers recordset from the MCU with the channel-command-retry request. However the specific recordset that will help the RCU settle the pending recordset is still accepted.</li> </ul> </li> <li>• Any percent between 30% and 70% can be specified with a unit of 10%. The default is 50%.</li> </ul>
Offloading Timer	<ul style="list-style-type: none"> <li>• It specifies how long the MCU can continue the inflow control described above.</li> <li>• The MCU stops the inflow control and suspends all asynchronous volume pairs after the specified time expires unless no recordset has been offloaded to the RCU.</li> <li>• Every minute between 1 to 20 and "None" can be specified. If "None" is specified, the MCU will immediately become suspend, when the Sidefile threshold is exceeded.</li> </ul>

Notice : If Pending Update Data Rate is modified when asynchronous volume pair is established, the host I/O timeout may occur.

### 3.17.7.2 Registering/Monitoring/Deleting the Consistency Group

#### (1) *Add Group* - Registering Consistency Groups

- The consistency group can be registered with Web Console attached to the MCU.
- The consistency group must be registered prior to the volume pair establishment.
- The consistency group has its own attributes and parameters, consistency group number, timer type, and others. They are specified when registered.
- The consistency group is registered in the RCU too. However it is not necessary to be specified. When the volume pair is established, the MCU directs the RCU to register the consistency group.

#### (a) Consistency Group Number

- The consistency group number is described with one digit of hexadecimal character.
- The volume pair control operations require the consistency group number as the parameter. The pair status displayed by Pair Status operation of Web Console and CQUERY command also includes the consistency group number.

## (b) Timer Type

- The timer type must be specified out of System, Local and None when the consistency group is registered.

Table 3.17.7-2 Timer Type Attributes

Timer Type	Meaning
System	The system timer (CPU TOD clock) provided by the XRC time-stamping capability is used for controlling this consistency group.
Local	The local timer ( <i>internal</i> TOD clock of this MCU) is used to for controlling this consistency group.
None	The system timer (CPU TOD clock) provided by the XRC time-stamping capability is used for controlling this consistency group. The R-VOLs in this consistency group can be located behind the different RCUs. <i>However the update sequence consistency across the RCUs is not ensured.</i> This timer type should be selected only when volume pairs are established from the original secondary to the original primary volumes ( <i>copy back</i> ).

- Table 3.17.7-3 shows the related configuration and timer type to be specified.

Table 3.17.7-3 Timer Types to Be Specified

Configuration			Timer type to be specified	
Host system	XRC time-stamping capability	MCU-to-RCU	For <i>P-to-S</i> copy (original direction)	For <i>S-to-P</i> copy (copy back)
Main frame	Installed	N-to-1 (n > 1 )	System	None
		1-to-1	System	System
	Not installed	1-to-1	Local	Local
Open systems	(unavailable)	1-to-1	Local	Local

## (c) Timeout Parameters

- The following parameters can be specified to modify the expiration time for the timeout event of the consistency group basis.

## ① Maximum copy delay time

Table 3.17.7-4 Maximum copy delay time to Be Specified

Name in the Web Console	Time Out (Copy Pending) (min.)
Available range	3 min. to 15 min. or "None"(no time out event occurs)
Default	5 min.
Description	It specifies the maximum delay allowed for asynchronous copy. Based on this parameter, the RCU will suspend all R-VOLs if following time out event occurs: <ul style="list-style-type: none"> <li>• The RCU has received the updated data but it can not be settled in the specified time.</li> <li>• The RCU has had no communication from the MCU until the specified time expires</li> </ul>

Note.) The RCU stores the updated data received into a *provisional* space of cache storage, and will make it available for use later. The term *settle* means to making it available for use.

When the User of SE use ASYNC HRC function in N-to-1 configuration, they must reset the maximum copy delay allowed with the notice of as follows.

If not take, it may cause suspension of HRC pairs.

- (1) Execute ASYNC HRC with the maximum delay allowed = ‘NONE’.
- (2) You can recognize the current copy delay with the difference the Time Stamp of HOST I/O and the Consistency Time of “Group Status” in Web Console.
- (3) Execute “Suspend Pair” for all pairs on the CT Group by Web Console, and reset the maximum delay allowed with over the current copy delay. If it is longer than maximum time (15 min.), reduce the HOST I/O rate, or you should leave it “NONE”.
- (4) Restart ASYNC HRC with “Resume Pair” for all pairs on the CT Group by Web Console.

## ② Maximum RCU-ready-wait time

Table 3.17.7-5 Maximum RCU-ready-wait time to Be Specified

Name in the Web Console	RCU Ready (min.)
Available range	1 min. to 10 min or "None"(no wait)
Default	5 min.
Description	During the power-on-reset procedure, the MCU intends to communicate with the RCU and will suspend all the volume pairs if it can not communicate until the specified time expires.

(2) *Delete Group* - Deleting Consistency Group Registration

- If no volume pair belongs to the consistency group, the group can be deleted.
- This operation is available only at the MCU. The registration to the RCU is automatically deleted, when the last volume pair in this group is deleted.
- In N-to-1 ( $N > 1$ ) configuration, deleting the consistency group does not affect the consistency group that has been registered in another MCU.

(3) *Group Option* - Modifying HRC Options on Group Basis

- This operation allows MCU to delete the consistency group currently registered.
- The Group Option can be operated only when no volume pair belongs to this group.

(4) *Group Status* - Displaying Consistency Group Status

- The options and the working status can be displayed on this panel.

Table 3.17.7-6 Consistency Group Status

Item	Contents	Displayed by:	
		MCU	RCU
Consistency group number	Consistency group number in one digit of hexadecimal character.	Yes	Yes
RCU serial number/SSID	Serial number and SSID of the RCU that belongs to this group.	Yes	No
Volume list	List of volumes that belong to this group and are behind this control unit.	Yes (*1)	Yes
Consistency time	Current consistency time of this group.	Yes (*2)	Yes
Timer type	Specified timer type of this group.	Yes	Yes
SEQCHK (*3)	At least one volume pair of this group has the SEQCHK status.	Yes (*2)	Yes
Maximum copy delay time	Specified maximum copy delay time.	Yes	Yes
Maximum RCU-ready-wait time	Specified maximum RCU-ready-wait time.	Yes	No

(\*1) In N-to-1 ( $N > 1$ ) configuration, it does not include the M-VOLs behind other MCU.

(\*2) The consistency time and SEQCHK status are decided by the RCU. The MCU displays these items after reading them from the RCU. If the MCU can not communicate due to communication failure, the latest contents are not displayed. Therefore these items should not be used for disaster recovery.

(\*3) SEQCHK is one of the R-VOL statuses.

### 3.17.7.3 Pair Status

- Suspending and Deleting state are newly defined to indicate the status in transition.
- Suspended by MCU powered-off is added as the caused of suspension.
- To indicate whether the update sequence consistency is kept or not, the *subsidiary pair status* (Group or Volume) and SEQCHK indicator are newly defined.

#### (1) Status in Transition - *Suspending* and *Deleting*

When suspending or deleting the HRC asynchronous volume pairs, the MCU and RCU intend to process all pending recordset before changing pair status. It takes longer time for the asynchronous volume pairs to change to suspended or simplex state than the synchronous volume pairs. Therefore adding to the conventional pair statuses (simplex, pending, duplex, suspended), two new statuses are introduced.

#### (a) Definitions and conditions of transition

***Suspending*** This volume pair is *in transition from duplex or pending to suspended state*. When cause of suspension (failure or operation) is detected, all affected volume pairs change to suspending state. After completing suspension, they will automatically change to suspended state.

***Deleting*** This volume pair is *in transition from duplex, pending or suspended to simplex state*. When accepting delete pair operation, all affected volume pairs change to deleting state. After completing delete pair operation, they will automatically change to simplex state.

#### (b) Indication of pair status

- Suspending and deleting statuses can be indicated *only* on Web Console (or SVP) Pair Operation tab and pair status panels.
- For main frame host systems, these states are not indicated. Status in transition is treated as follows:
  - In case of operations (suspend pair or delete pair), status is not changed until transition completes. After completing status transition, affected volume pairs are changed to suspended or simplex state.
  - In case of failure, affected volume pairs are changed to suspended state when cause of suspension is detected.
  - In any cases, the MCU or RCU report the state change interrupt (SCI) after completing status transition. IEA491E or IEA494I console messages appear on the system console at this time.

## (2) Suspended by MCU Powered-Off

When the MCU is being powered off, the MCU suspends all volume pairs behind it. The suspended volume pairs will automatically return to their original state (duplex or pending) when the MCU is powered-on again. During this suspension, the suspended R-VOLs indicate by MCU powered-off as the cause of suspension.

## (a) Conditions of transition

- During power-off sequence, the MCU notifies the RCU of the power-off event. The RCU changes all related R-VOLs to suspended states and sets by MCU powered-off as the cause of suspension.
  - Only R-VOLs which are in duplex or pending state are affected. The cause of suspension of the R-VOL that is already suspended is not changed.
  - Only R-VOLs of which corresponding M-VOLs are behind the MCU are affected. The volume pairs between other MCU are not affected.
- During power-on sequence, the MCU notifies the RCU of the power-on event. The RCU changes all R-VOLs in suspended by MCU powered-off state to original (duplex or pending) state.

## (b) Indication of pair status

- Only the RCU can indicate this cause of suspension.
- This cause of suspension is displayed as follows:
  - Web Console Pair Operation tab: OFF in the Sub column
  - Web Console Pair Status panel: Suspended (MCU PS OFF)
  - PPRC CQUERY command: SUSPENDED(5) with the specific indicator in the serial number field

(3) Consistency Status - *Group* or *Volume*

## (a) Definition of the status

- Group** Update sequence consistency between this R-VOL and other R-VOLs in this consistency group is ensured. This R-VOL can be used for disaster recovery at the secondary system after deleting the HRC volume pair.  
This status is indicated when:
- All volume pairs in this consistency group have been suspended due to the failure that affected the consistency group (not the specific volume pair.)
  - The volume pair having Error Level = Group has been suspended due to the failure.
  - This volume pair has been suspended by suspend pair operation with *Group* parameter.
- Volume** Probably this volume pair has been suspended alone. Update sequence consistency between this R-VOL and other R-VOLs in this consistency group is not ensured. This R-VOL can not be used for disaster recovery at the secondary system. This status is indicated when:
- This volume pair has Error Level = Volume and has been suspended due to the failure that did not affect entire group.
  - This volume pair has been suspended by suspend pair operation with *Volume* parameter.

## (b) Indication of the status

- Only the RCU can display this cause of suspension.
- This status has the meaning described above only when the R-VOL is in suspended state.
- This status is displayed as follows:
  - Web Console (or SVP) Pair Operatoin tab: GRP or VOL in the Sub column
  - Web Console (or SVP) Pair Status panel: GRP or VOL as “Suspended by:” item
  - PPRC CQUERY command: SUSPENDED(x) with the specific indicator in the serial number field

(4) Alert for Non-Time-stamped Updates - *SEQCHK*

SEQCHK indicates that the volume pair has accepted some updates without time-stamp attached while the consistency group is specified to use system timer.

## (a) Set/reset conditions

When the RCU is settling the updated data, the RCU turns this indicator on if the updated data is not time-stamped, otherwise turns this indicator off.

## (b) Indication of this indicator

- Only the RCU can display this indicator.
- This status can be indicated unless the volume is in simplex status.
- This status is displayed as follows:
  - Web Console Pair Operation tab: SEQ (on) or blank (off) in the Sub column
  - Web Console Pair Status panel: SEQCHK (on) or blank (off)
  - PPRC CQUERY command: The specific indicator in the serial number field

### 3.17.7.4 Controlling Volume Pairs

- Basic operations to control/monitor the HRC asynchronous volume pairs are same as the HRC synchronous pairs. That is, at first the volume pairs to be operated must be selected on the main control panel, and then the operation and its parameters/options should be specified.
- For the HRC asynchronous volume pairs, some group basis operations are supported. Several volume pairs within the consistency group to which the selected volume belongs can be deleted, suspended, resumed by the group basis operations. For these operations, any volume pair within the consistency group may be selected.

#### (1) *Add Pair* - Establishing HRC Volume Pairs

- This operation has the MCU establish the HRC volume pair(s). Selected volumes will be the M-VOLs and the R-VOLs should be specified by the parameters.
- For asynchronous volume pairs, the MCU-RCU logical connection must be established and the consistency group must be registered prior to this operation.

#### (a) Basic parameters and pair options

The basic parameters and the pair options of Add Pair are described in and respectively. "Changed" column of these tables indicates the difference between HRC asynchronous and conventional HRC.

Table 3.17.7-7 Add Pair - Basic Parameters

Item	Parameters	Changed	Description
Pair configuration	- R-VOL - RCU	No	Specifies the serial number and SSID of the RCU and the logical device number of the R-VOL
Initial copy control	- Initial Copy - Priority	No	Specifies whether the initial copy for this volume pair is necessary ("Entire Volume") or not ("None") and its priority
Update copy mode	- Copy Mode	Yes	Specifies the update copy mode for this volume pair out of Synchronous and Asynchronous. For the asynchronous volume pair, specifies the consistency group number to which this volume pair will belong.

Table 3.17.7-8 Add Pair/Resume Pair - Option Parameters

Option Name	Changed	For:	Description
Initial copy pace	No	Any	Specifies the initial copy pace.
Fence Level	No	Synch.	Specifies the fence level out of "Data", "Status" and "Never" for synchronous volume pairs. This option is valid for the HRC synchronous volume pairs.
CFW data	No	Any	Specifies whether the CFW updates should be copied to the R-VOL or not.
DFW to R-VOL	No	HODM	Specifies the volume pair should be suspended when DFW is disabled/failed at the RCU. This option is valid only for HODM volume pairs.
Error Level	Yes (new)	Asynch.	Specifies whether all the volume pairs in the same consistency group should be suspended together or not when this volume pair is suspended. Group All the volume pairs group should be suspended together. Volume Only this volume pair may be suspended. This option is valid only for the HRC asynchronous volume pairs and the default is Group.
Pair Resume	Yes (new)	Asynch.	Specifies whether all the suspended volume pairs, which belong to the same consistency group and whose M-VOLs are behind this MCU, should be resumed together. Group All the volume pairs should be resumed together. Volume Only this volume pair may be resumed. This option is valid only for Resume Pair operation of the HRC asynchronous volume pairs and the default is Group (currently Volume).

## (b) End conditions

The Resume Pair operation with the Group option gets the normal end condition before the initial copy for each volume pair begins. If some unusual conditions (pinned tracks, correction-access status of the parity group, etc.) prevents the volume pair from being re-established, the volume pair would be still in suspended status. Therefor the result of this operation should be confirmed by referring to the actual pair status or the console messages that indicate pair status change.

(2) *Delete Pair* - Deleting HRC Volume Pairs

- In order to make the disaster recovery operations at the secondary subsystem simple, a new option that specifies the volume pairs to be deleted is supported (**Delete** option).
- The pair status of the asynchronous volume pairs will be Deleting when the operation completes, and then Simplex after the internal process completes.

## (a) Volume pairs to be deleted

Table 3.17.7-9 Delete Pair - Delete Option

Option Name	Operable at:		Description
	MCU	RCU	
Consistent Volumes	No	Yes	Specifies that the volume pairs that meet the following conditions should be deleted. <ul style="list-style-type: none"> <li>— Belongs to the same consistency group as the selected volume pair and;</li> <li>— Is in Suspended status and;</li> <li>— Has the consistency status of Group.</li> </ul> Other volume pairs than described above are not deleted. This is the default when operated at the RCU.
Group	Yes	Yes	Specifies that the all volume pairs (but volume pairs behind other MCU when operated at the MCU) in the same consistency group should be deleted.
Volume	Yes	Yes	Specifies that only selected volume pairs should be deleted. This is the default when operated at the MCU.

- This option is valid only when the asynchronous volume pair is selected.
- "Consistent Volumes" and "Group" can be specified when only one volume pair is selected.

Note: Async pair using with [Suspend Range: Volume]

If there are “Duplex” status pairs and “not-Duplex” status pairs in one CT group, unexpected pair suspend may occur for your pair operation (Suspend/Delete/Resume) under high I/O stress condition\* (Ex. about 30% ratio of Sidefile).

\*: You can know high I/O stress condition with about 30% ratio of Sidefile (if you can see Sidefile ratio) or high frequency of host I/O (if you can not see Sidefile ratio).

## (b) Force option for asynchronous volume pairs

The Force option specifies that the volume pair should be deleted even if the MCU and RCU can not communicate with each other. For the asynchronous volume pairs, this option is still effective but can be specified with the Delete option of Group.

## (c)

## 1) Group option for R-VOL pairs

If you delete the R-VOL pairs without the Delete option of Group, the pair status of the pairs will be Simplex after settling all pending recordsets when the operation is accepted by the MCU/RCU. On the other hand, if you delete the R-VOL pairs with the Delete option of Group, the pair status of the pairs will be Simplex when the state of no recordset for the pair continue during some time after settling all pending recordsets when the operation is accepted by the RCU. Therefore, if you delete the R-VOL pairs with the Delete option of Group, you need the following procedures.

- Stop I/Os to the volume pairs, and
- Delete the pairs.

After the operation is accepted by the RCU, if the state of no recordset for the pair does not continue during some time, the pair is forcibly suspended. This suspend is due to R-VOL failure (not user-requested). This status is temporary, and will change to Simplex finally. In this case it is possible that all pending recordsets are not settled.

## 2) End condition/Delete operation

The Delete Pair operation for the asynchronous volume pairs gets the normal end condition when the operation is accepted by MCU/RCU. The pair status of the volume pairs to be deleted are Deleting at this time. And then the pair status will be Simplex when the condition above mentioned in 1) is concluded.

Therefore the result of this operation should be confirmed by referring to the actual pair status or the console messages that indicate pair status change.

## (d) Consistency time/status after deleted

Once the volume pair deleted, the consistency time/status of the volume pair will be reset. Therefore the consistency time/status should be memorized prior to this operation.

(3) *Suspend Pair* - Suspending HRC Volume Pairs

- A new option that specifies the volume pairs to be suspended is supported (Suspend option).
- The pair status of asynchronous volume pairs will be Suspending when the operation completes, and then Suspended after the internal process completes.

## (a) Parameters and options

Table 3.17.7-10 Suspend Pair - Parameters and Options

Item Name	Operable at:		Description
	MCU	RCU	
SSB (F/M=FB)	Yes	Yes	Specifies that IEA494E console message should be generated.
Suspend Kind	-	-	Only "R-VOL" can be selected for the asynchronous volume pairs.
Suspend			Specifies the volume pairs to be suspended.
Group	Yes	Yes	Specifies that all volume pairs in the same consistency group as the selected volume pair should be suspended together.
Volume	Yes	Yes	Specifies that only the selected volume pair should be suspended.
Pending Update			Specifies how the pending recordset should be treated.
Drain	Yes	Yes	Specifies that the volume pair(s) should be suspended after all pending recordset are settled. Refer to (3) in this section for notes on this option.
Purge	Yes	Yes	Specified that that volume pair(s) should be suspended even if pending recordset remain. The pending recordset may be purged. If the MCU/RCU discard the pending recordset, the MCU/RCU marks the cylinders that contain discarded recordset as modified in its shared memory. The marked cylinders will be copied when the Resume Pair operated.

Note: Async pair using with [Suspend Range: Volume]

If there are "Duplex" status pairs and "not-Duplex" status pairs in one CT group, unexpected pair suspend may occur for your pair operation (Suspend/Delete/Resume) under high I/O stress condition\* (Ex. about 30% ratio of Sidefile).

\*: You can know high I/O stress condition with about 30% ratio of Sidefile (if you can see Sidefile ratio) or high frequency of host I/O (if you can not see Sidefile ratio).

## (b) Update sequence consistency

Regardless of the Pending Update parameter, the update sequence consistency across the volume pairs to be suspended is ensured. However, if some volume pairs have been suspended with the Volume option and other related volume pairs are updated after that, the update sequence consistency is not ensured.

## (c) Notes on Drain option

- If the Purge option specified, the volume pairs are suspend when the RCU accepts this operation. On the other hand, if the Drain option is specified, the volume pairs will be suspended when the RCU completes the steps described below.
  - ① Accepts this operation and;
  - ② Has finished settling all the pending recordsets and;
  - ③ Completes the negotiation with all MCUs (reports ready-for-suspension to all the MCUs and receives their acknowledgements) without further recordset generated.
- Therefore, the procedures to get the R-VOLs whose contents are frozen at specific point in time relative to the application, are as follows
  - ① Quiesce the application (Quiesce all update activity to the volume pairs) and;
  - ② Perform the Suspend Pair operation with Drain option and;
  - ③ Confirm that all volume pairs complete to be suspended and;
  - ④ Restart the application.
- After the operation is accepted by the RCU, if the state of no recordset for the pair does not continue during some time, the pair is forcibly suspended. This suspend is due to R-VOL failure (not user-requested). In this case it is possible that all pending recordsets are not settled.

## (d) End conditions

The Suspend Pair operation for the asynchronous volume pairs gets the normal end condition when the operation is accepted by the MCU/RCU. The pair status of the volume pairs to be suspended are Suspending at this time. And then the pair status will be Suspended after the internal process (negotiation between the MCU and RCU) completes. Therefore the result of this operation should be confirmed by referring to the actual pair status or the console messages that indicate pair status change.

(4) *Resume Pair* - Resuming HRC Volume Pairs

The Pair Resume option is newly supported. It specifies whether all suspended volume pairs, which belong to the same consistency group and whose M-VOLs are behind this MCU, should be resumed together or not. Refer to Table 3.17.7-8 for this option.

(5) *Pair Option* - Modifying HRC Options on Volume Pair Basis

Refer to Table 3.17.7-8 for the options on volume pair basis. The Error Level option can be changed regardless of the pair status (even if the volume pair is in Suspended state), but become effective at the next time of suspension.

## (a) Modified items on Pair Status panel

Table 3.17.7-11 Pair Status - Modified Items on Pair Status Panel

Items	Indicated at:		Description
	MCU	RCU	
M-VOL and R-VOL	Yes	Yes	Indicates control unit image number and device number in form of "c:dd".
Pair Synchronized	Yes	Yes	Indicates the amount (%) of cylinders that are marked as modified in the bitmap for pair re-synchronization. Refer to (2) below for more detailed information.
Pair Status			Adding to the contents for the synchronous HRC volume pairs, the following are added for the asynchronous HRC volume pairs.
Suspending	Yes	Yes	Indicates that the volume pair is in transition state to Suspended.
Deleting	Yes	Yes	Indicates that the volume pair is in transition state to Simplex.
Suspended[MCU PS OFF]	No	Yes	Indicates that the volume pair has been suspended due to the power-off event of the MCU.

## (b) The Pair Synchronized indicator

- For the asynchronous volume pairs, both MCU and RCU maintain the bitmap for pair resynchronization. The indicated percentage is calculated as follows.
  - The M-VOL of Pending state indicates the remaining cylinders to be copied for pair resynchronization.
  - The R-VOL of Suspended state indicates the cylinders that contain the recordsets lost at the RCU (reached at the RCU but can not be settled before suspension).
  - The M-VOL of Suspended state indicates the cylinders that contain;
    - ① The tracks that have not copied yet by the initial copy and;
    - ② The records updated by the primary system after suspension and;
    - ③ The recordsets lost at the MCU (created in the MCU but can not be sent to the RCU before suspension) and;
    - ④ The recordsets lost at the RCU.

The last item (d) is included as long as the MCU can get the information from the RCU. Otherwise, only items (a)-(c) are included. In this case, the item (d) will be included at the beginning of the pair resynchronization.

- This percentage is always calculated based on the total cylinders of the M-VOL (even if the R-VOL is larger than M-VOL).

## (c) Added items on Pair Status panel

Table 3.17.7-12 Pair Status - Added Items on Pair Status Panel

Items	Indicated at:		Description
	MCU	RCU	
C/T Group	Yes	Yes	Indicates the consistency group number to which this volume pair belongs.
C/T Type	Yes	Yes	Indicates the timer type that has been specified to control the consistency group. The displayed content is out of System, Local and None.
C/T	Yes (Note)	Yes	Indicates the consistency time of this volume pair in form of "mm/dd/yyyy hh:mm:ss.uuuuuu". (uuuuuu: micro seconds)
SEQCHK	Yes (Note)	Yes	Indicates SEQCHK if the volume pair is in SEQCHK status. Otherwise it blanks.
Suspended by	No	Yes	Indicates the consistency status of the R-VOL when this volume pair is in Suspended status. Group The consistency between this R-VOL and other R-VOLs in the consistency group is ensured. Volume The consistency between this R-VOL and other R-VOLs in the consistency group is not ensured. The contents of this R-VOL may be behind other R-VOLs.

(Note) Only the RCU maintains the latest result and can display it. The result at the MCU may be behind the actual result because the MCU get the result from the RCU before displaying. Therefore the result at the MCU can be used *for monitoring the normal activities*, but can not for the disaster recovery at the RCU.

## (d) Added items

Table 3.17.7-13 Pair Status - Added Items on Pair Status Panel

Items	Indicated at:		Description
	MCU	RCU	
Grp (Lv)	Yes	Yes	Grp Indicates the consistency group number to which this volume pair belongs. (Lv) Indicates the Error Level of this volume pair, "Grp" for group or "Vol" for volume.
Sub	No	Yes	Indicates the consistency status or the others when the volume pair is in "suspended" state. GRP This R-VOL has the consistency status of Group. VOL This R-VOL has the consistency status of Volume. OFF This volume pair has been suspended due to the power-off event of the MCU.
Seq	No	Yes	Indicates SEQCHK if the volume pair is in SEQCHK status. Otherwise it blanks.

### 3.17.7.5 Monitoring subsystem Statistics

#### (1) Usage - Displaying Remote I/O Statistics Information

Table 3.17.7-14 Usage - RIO Statistics in Async Copy Category

Item name	Unit	Description
Async IO count	—	Indicates the total number of RIO (Remote I/O) activities completed in a specified interval.
Total number of recordset	—	Indicates the total number of recordset sent to the RCU in a specified interval.
RCU command retries	—	Indicates the total number of RIO command retries requested by the RCU in a specified interval.
MCU command retries	—	Indicates the total number of channel command retries performed by the MCU in order to avoid cache slot conflict between the host I/O and RIO processes.
Average transfer rate	KB/sec.	Indicates the average data transfer rate of the recordset sent to the RCU in a specified interval.
Average RIO response	msec.	Indicates the average RIO response time in a specified interval.

#### (2) Information/Monitor - Displaying Subsystem Resource Usage

- The item named "Async Write Pending Data" is newly supported. It is displayed on the Information/Monitor panel of the SVP and recorded into monitor.dat file.
- "Async Write Pending Data" indicates the total amount of cache space in percent that store the pending recordset (Sidefile).
- The conventional item "Sidefile" indicates the total usage of the Sidefile for HXRC, Concurrent Copy and HRC asynchronous.

### 3.17.7.6 On-line Micro-program exchange procedure

#### (1) Version up procedure

- Usually, exchange the Micro-program of MCU first.
- If the 'Copy Back function' is running, exchange the Micro-program of RCU first.

#### (2) Version down procedure

- If in the 'N-to-1' configuration, set back to '1-to-1' configuration.
- Execute 'Delete- Group' for all CT groups on the subsystem.

### 3.17.8 Management/Recovery Procedures

#### 3.17.8.1 Managing HRC Asynchronous Subsystems

##### (1) Checking on SEQCHK Status

- SEQCHK status would be indicated when the asynchronous volume pair in the consistency group with the timer type of System specified accepts the non-time-stamped updates from the primary system.
- SEQCHK status does not affect copy activities of HRC asynchronous and will be removed when the next time-stamped update is successfully copied to the R-VOL. However if the disaster happens before the next time-stamped update, the update sequence consistency between the R-VOL and other R-VOLs in the consistency group is not ensured. Therefore to make the disaster recovery more certain, the source of SEQCHK status should be detected and removed.
- Source of SEQCHK status to be suspected is as follows:
  - An application may issue the update I/Os with bypassing MVS standard I/O procedure.
  - An XRC time-stamping capability may not active at the primary system.
  - An Operating system of the primary system may not support the time-stamping capability.

##### (2) Checking on the Consistency Time

The consistency time is indicated as a part of pair status of the HRC asynchronous volume pairs. While the primary system continues to update the M-VOLs, the difference between the current time and the consistency time indicates how long the R-VOLs are behind the M-VOLs. The updates to the M-VOLs during this duration may be lost when the disaster happens

- If the disaster recovery design can not accept this delay, performance improvement by adding the remote copy resources (paths, cache amount, etc.) and/or reducing unnecessary I/O workload should be considered to get shorter delay.
- If this delay is close to the time specified by the Maximum Copy Delay Time parameter, the timeout failure may occur and the affected volume pair may be suspended due to the I/O workload fluctuations. The performance improvement described above and/or increasing this parameter setting should be considered.

### (3) Planned Outage of HRC Asynchronous Components

The MCU requires communication with the RCU even if it receives no update I/Os from the primary system. Suspending all the duplex pairs is necessary prior to the planned outage of the RCU.

#### (a) Planned outage of the MCU

- No special procedure is required for the asynchronous volume pairs. The MCU automatically suspends all volume pairs in Duplex/Pending state during the power-off sequence, and will remove the Suspended state at the power-on-reset sequence.
- (Note) Perform planned outage operation of the MCU after the Sidefile data becomes a zero. The Sidefile ratio of the subsystem is shown on the Monitor dialog box.
- Note that the volume pairs whose M-VOLs are behind the MCU will not be suspended. In N-to-1 configuration, the volume pairs behind other MCU(s) continue to be copied. If the full-consistent volume set requires to be kept during the planned outage, the procedures are as follows:
  - ① Quiesce the applications.
  - ② Perform Suspend Pair operation with Group and Purge (or Drain) option at the RCU.
  - ③ Perform planned outage operation of the MCU(s).
  - ④ After getting all MCUs ready to resume, perform Resume Pair operation at all MCUs.

#### (b) Planned outage of the RCU

- Suspending all volume pairs is required prior to the planned outage according to the followings:
  - ① Suspend all volume pairs;
    - 1) Perform Suspended Pair operation at each MCU and;
    - 2) Confirm volume status with Pair Status operation.
  - ② Perform planned outage operation of the RCU.
  - ③ Get the RCU ready to resume.
  - ④ Remove Suspended status from all volume pairs;
    - 1) Perform Resume Pair operation at each MCU and;
    - 2) Confirm volume status with Pair Status operation.

(Note) If step 1 above is not performed, the MCU detects the communication failure and suspend all affected volume pairs with generating SIM(s) and console message(s) that indicates the failure.

## (c) Planned outage of the components on the remote copy connection

The same restriction should be considered and the same procedures should be performed as the RCU for the components (channel extender, ESCON director, etc.) on the remote copy connection.

- Suspending all volume pairs is required prior to the planned outage according to the followings:

- ① Suspend all volume pairs;
  - 1) Perform Suspended Pair operation at each MCU and;
  - 2) Confirm volume pair status with Pair Status operation.
- ② Perform planned outage operation of the component.
- ③ Get the component ready to resume.
- ④ Remove Suspended status from all volume pairs;
  - 1) Perform Resume Pair operation at each MCU and;
  - 2) Confirm volume status with Pair Status operation.

(Note) If step 1 above is not performed, the MCU detects the communication failure and suspend all affected volume pairs with generating SIM(s) and console message(s) that indicates the failure.

## (d) Planned outage of both MCU and RCU

- The MCU(s) must become not-ready first, and the RCU must be back before the MCU(s).

- ① Perform planned outage operation of the MCU(s).
- ② Perform planned outage of operation of the RCU.
- ③ Get the RCU ready to resume.
- ④ Get the MCU(s) ready to resume.

- Note that the MCU make all volume pairs suspended if it can not communicate with the RCU during the power-on-reset sequence. Therefore step 4 above should be started after completing step 3. If it is difficult to control due to some installation requirements (ex. Power-Control-Interface setting), consider using the Maximum RCU-ready-wait Time parameter.

## (4) ICKDSF on the Asynchronous Volume Pairs

- ICKDSF activities involve write I/Os with device support authorization or diagnostic authorization instead of normal authorization. Since the MCU does not duplicate write I/Os with device support or diagnostic authorization, the HRC volume pairs must be suspended before running ICKDSF. The procedures to do are as follows:

- ① Perform Suspend Pair operation with Volume option at the MCU to suspend volume pair(s) for which ICKDSF will be performed.
- ② Perform ICKDSF.
- ③ Perform Resume Pair operation at the MCU.

---

### (5) Micro-program Exchange

No special procedure is required for the asynchronous volume pairs for the on-line micro-program exchange and the MCU's off-line micro-program exchange.

In the case of HRC Asynchronous components, Suspending all volume pairs is required prior to the RCU's off-line micro-program exchange.

- ① Suspend all volume pairs;
  - 1) Perform Suspended Pair operation at each MCU and;
  - 2) Confirm volume status with Pair Status operation.
- ② Perform micro-program exchange operation of the RCU.
- ③ Remove Suspended status from all volume pairs;
  - 1) Perform Resume Pair operation at each MCU and;
  - 2) Confirm volume status with Pair Status operation.

(Note) If step 1 above is not performed, the MCU detects the communication failure and suspend all affected volume pairs with generating SIM(s) and console message(s) that indicates the failure.

In step 3, if both micro-program exchange operation is performed, perform Resume Pair operation after waiting 10 minutes after RCU's micro-program exchange is completed.

### 3.17.8.2 Recovering from Pair Suspended

#### (1) Recovering from Pair Suspended - Suspended by the MCU

The cause of suspension and the recovery procedures are basically the same as HRC synchronous with exceptions that:

- ① The cache storage/shared memory of the one side (not both sides) may cause the HRC asynchronous volume pairs to be suspended.
- ② The MCU requires communicating with the RCU in power-on-reset sequence and suspends all the HRC asynchronous volume pairs if it can not do so.
- ③ The MCU suspends all the HRC asynchronous volume pairs if no recordset can be sent in the specified time period.

#### (2) Recovering from Pair Suspended - Suspended by the RCU

Adding to directed by the MCU, the RCU of HRC synchronous may detect the cause of suspension and suspended the failed volume pair(s) on its own initiative.

### 3.17.8.3 Disaster Recovery - Switching to the Secondary Subsystem

#### (1) Switching Procedures to the Secondary Subsystem

Basic procedures to switch to the secondary subsystem are as follows:

- ① Check pair status and memorize the consistency time of the R-VOLs.
- ② Make the R-VOLs simplex by performing Delete Pair operation.
- ③ Confirm that the R-VOLs have successfully been changed to simplex.
- ④ Perform IPL of the secondary system.
- ⑤ Perform the application restart procedure at the secondary system

#### (2) Suspending Volume Pairs due to the Disaster

If the RCU receives no communication with the MCU after the time specified by Maximum Copy Delay Time expires, the RCU regards this timeout event as a disaster and suspends all the HRC asynchronous volume pairs.

- Pair status of the R-VOLs normally become Suspended - Group.
- The latest time-stamp of the recordset that has been successfully settled is frozen and indicated as the consistency time of the R-VOLs.

## (3) Checking Volume Pair Status

- The R-VOLs of Suspended - Volume should not be used for the disaster recovery. Therefore Error Level of Group should be selected if the volume is essential to the disaster recovery.
- Delete Pair operation with Group option can make only the R-VOLs of Suspended - Group status. Therefore an operator seems to be able to skip this checking. However the volumes of Simplex status can not be distinguished from others after the R-VOLs in Suspended - Group status become Simplex by Delete Pair operation. Therefore at least the volumes in *Simplex* must be checked at this time.

Table 3.17.8-1 Checking R-VOL Status When Switching to the Secondary System

Pair status		Usable for recovery?	Description
Suspended	Group	Yes (*1)	Since the update sequence consistency across these R-VOLs is ensured at point in time indicated by the consistency time, these R-VOLs can be used for the disaster recovery at the secondary system. Note that some updates performed after the consistency time at the primary system may be lost.
Suspended	Volume	No	Since contents of this R-VOL may be behind other R-VOLs in the consistency group, this R-VOL should not be used for the disaster recovery if this volume requires the update sequence consistency with other volumes. Suspected reason for this status are as follows: — This R-VOL has been suspended due to the failure or Suspend Pair operation prior to the disaster. — This R-VOL was in pending status when the disaster happened. — The Error Level of Volume has been specified for this R-VOL and this R-VOL was suspended by the first symptom of the disaster.
Duplex		No	This status does not usually take place in the disaster recovery procedure. This R-VOLs should not be used for the disaster recovery. <i>It should be especially noted that the volumes in simplex status can not be distinguished from others after deleted by Delete Pair operation.</i>
Pending		No	
Simplex		No	

(\*1) If SEQCHK status should be decided by the RCU using the XRC time-stamping capability, this R-VOL shall not be used for disaster recovery.

#### (4) Memorizing the Consistency Time

The consistency time of the R-VOLs should be *memorized*. It could help the disaster recovery retrieve the lost updates.

- All the R-VOLs of Suspended - Group status indicate the same consistency time. Therefore any R-VOL of this status can represent the consistency time of the consistency group.
- Once Delete Pair operation performed, the R-VOL *never* indicates the consistency time again. Therefore the consistency time should be memorized before Delete Pair operation.

#### (5) Deleting Volume Pairs

By Delete Pair operation, the R-VOLs that are used for the disaster recovery should be changed to Simplex status.

- Group option of Delete Pair operation can make all the R-VOLs of Suspended - Group status Simplex. It would be helpful in reducing number of operations.
- Group option of Delete Pair operation does not change the pair status of the R-VOLs if they are other than Suspended - Group. It can prevent the inconsistent volumes from being used for the disaster recovery.

#### (6) Recovering the Lost Updates

HRC asynchronous provides *no* factory standard procedure to retrieve the lost updates.

- In order to detect and recreate lost updates, it is necessary for customers to check other current information, for example, data base journal log file that had been active at the primary system when disaster occurred. Note that the journal log file entries of most DBMS may be related to time-of-day clock information and the source of the consistency time is time-of-day clock of the primary system (when the timer type of System specified).
- However such a detection/retrieval would take long time to do. The customers' disaster recovery scenario recommends to be designed to enable such a detection/retrieval *after* the application has been started at the secondary system.
- Maximum Copy Delay Time parameter of Add Group operation can control the maximum time duration during which the updates may be lost.

### 3.17.8.4 Disaster Recovery - Switching Back to the Primary Subsystem

This section describes the procedures to switch back from the secondary system to the primary system. The basic concept and procedures are the same as HRC synchronous. After volume pairs establishment in the opposite direction, planned switching-back and volume pair establishment in the original direction are performed.

That is, the original R-VOLs are working as Simplex and the application is running on the secondary system by using the original R-VOLs. The original HRC asynchronous configuration/status should remain in the original MCU. In this section, the original MCU/M-VOLs and RCU/R-VOLs are called the primary subsystem and secondary subsystem respectively.

- (1) Switching Back Procedures to the Primary Subsystem
  - (a) Make the primary subsystem and the communication facilities on the remote copy connection operable. Note that all the M-VOLs in the primary subsystem may be suspended since the original R-VOLs are now in Simplex status.
  - (b) Remove entire HRC asynchronous configuration remained in the *primary* subsystem:
    - ① Make all the volume pairs Simplex by Delete Pair operation.
    - ② Remove registration of the consistency group by Delete Group operation.
    - ③ Remove MCU-RCU logical paths by Delete RCU operation.
    - ④ Change the serial interface port to LCP mode.
  - (c) Establish HRC asynchronous in the *opposite* direction:
    - ① Change the operating mode of the communication facilities in the opposite direction.
    - ② Change the serial interface port of the secondary subsystem to RCP mode.
    - ③ Register the consistency group at the secondary subsystem by Add Group operation.
    - ④ Establish MCU-RCU path in the opposite direction at the secondary subsystem by Add RCU operation. The primary subsystem is now defined as the RCU.
    - ⑤ Establish the HRC asynchronous volume pairs in the opposite direction. The volumes in primary and secondary subsystem are now defined as the R-VOLs and M-VOLs respectively. Note that Initial Copy of Entire Volume option must be specified.
  - (d) Quiesce the application at the secondary system.
  - (e) Confirm all the HRC asynchronous volume pairs are in Duplex status.

(f) Remove entire HRC asynchronous configuration at the *secondary* subsystem:

- ① Make all the volume pairs Simplex by Delete Pair operation.
- ② Remove registration of the consistency group by Delete Group operation.
- ③ Remove MCU-RCU logical paths by Delete RCU operation.
- ④ Change the serial interface port to LCP mode.

(g) Establish HRC asynchronous in the original direction

- ① Change the operating mode of the communication facilities in opposite direction.
- ② Change the serial interface port of the primary subsystem to RCP mode.
- ③ Register the consistency group at the primary subsystem by Add Group operation.
- ④ Establish MCU-RCU path in opposite direction at the secondary subsystem by Add RCU operation. The secondary subsystem is now defined as the RCU.
- ⑤ Establish the HRC asynchronous volume pairs in the original direction. The volumes in primary and secondary subsystem are now defined as the M-VOLs and R-VOLs respectively. Note that Initial Copy of No Copy option may be specified.

(h) Make the primary subsystem online from the primary system and restart the application at the primary system.

(Note) Since the CNT channel extenders have the operating mode, they must be re-configured to change copy direction. The boxes (or nodes) to which the *current* MCU and RCU are connected must be set as channel-mode and device-mode respectively.

(2) Setting the Consistency Group for Switching Back

- For N-to-1 ( $N \geq 2$ ) configuration, timer type of “None” must be specified.
  - None enables the consistency group across up to 4 RCUs to be established.
  - However the update sequence consistency across the RCUs is not ensured.
- In 1-to-1 configuration, the update sequence consistency across all R-VOLs is ensured regardless of the timer type. However System is recommended if the secondary system can use the XRC time-stamping capability in order for the consistency time to be indicated.

Table 3.17.8-2 Timer Type for Switching Back

Original Configuration		Timer Time for Switching Back	
Number of MCU-RCU	Timer Type	XRC time-stamping capability at the secondary system?	
		Yes	No
N-to-1 ( $N \geq 2$ )	System	<b>None</b>	<b>None</b>
1-to-1	System	<b>System</b>	<b>None</b>
	Local	<b>Local</b>	<b>Local</b>

Blank Sheet

REV.1	Jun.2001	Feb.2002				
-------	----------	----------	--	--	--	--

Blank Sheet

REV.1	Jun.2001	Feb.2002				
-------	----------	----------	--	--	--	--

Blank Sheet

REV.1	Jun.2001	Feb.2002				
-------	----------	----------	--	--	--	--

Blank Sheet

REV.1	Jun.2001	Feb.2002				
-------	----------	----------	--	--	--	--

### 3.18 HIHSM(Hitachi internal Hierarchical Storage Management)

#### 3.18.1 HIHSM Overview

This document describes the function of HIHSM (Hitachi internal Hierarchical Storage Management) that is one of program products.

RAID system can be constructed by several types of physical drives and two types of RAID levels (RAID1 and RAID5).

This combination of the type of physical drive and the type of RAID level provides a system that cost and performance are optimized to user environment. However, it is difficult to get information about actual operation of physical drives in the RAID system unlike other disk subsystems.

- (1) HIHSM provides solutions of the problem and supports decision of users to determine system construction as described below.
  - (a) Load balancing of system resources  
Unbalance of utilization of system resources makes performance worse. HIHSM supports decision of optimized allocation of logical volumes to physical drives.
  - (b) Migration of logical volumes optimized to access patterns to physical drives  
For instance, RAID5 is suitable to sequential access, and RAID1 of high performance drive is suitable to random access that is required small response time. HIHSM shows types of access pattern to physical drives clearly, and supports migration of logical volumes to suit the access pattern.
- (2) HIHSM consists of following subfunction to achieve above purposes. Users can refer to utilization of system resources monitored by monitor function, decide reallocation plan by using estimate function, and reallocate the logical volumes by volume moving (migration) function.
  - (a) Monitor function<sup>(\*1)</sup> monitors and shows utilization of system resources.
  - (b) Estimate function estimates utilization of parity groups after migration of logical volumes.
  - (c) Volume moving (migration) function moves logical volumes to specified parity groups.
  - (d) Preset function makes a migration plan from information that users preset, and moves the logical volumes by the migration plan automatically.

(\*1) The license of Performance Monitor is required.

For details, refer to Performance Management User's Guide of Program products.

#### 3.18.2 Hardware requirements

The SM capacity is required by 768 M byte (384 M byte × 2) or more.

### 3.18.3 Monitor function

The Performance Monitor Program is required to perform this function.

(1) How to start and stop.

Monitoring starts in DKC by direction from the Monitoring Option window.

Monitor function monitors ratios of utilization of system resources described below.

- (a) CHP utilization and DKP utilization ratio
- (b) Starnet utilization ratio
- (c) DRR utilization ratio
- (d) Parity group utilization: Disk utilization of parity groups.  
The used time of physical drives in a parity groups.
- (e) Parity group utilization ratio of each logical volume: The used time of physical drives of synchronous and asynchronous access on each logical volume, averaged by the number of physical drives in the parity group.  
Parity group utilization means the sum of utilization of each logical volume in the parity group.

Directions for an stop from the Monitoring Option window to finish the collection of the Monitoring information.

(2) How to collect

Monitoring information is acquired automatically until directions for an acquisition end are issued after directions for an acquisition start of the Monitoring information are issued on the Monitoring Option Window.

When acquired Monitoring information is collected in SVP, it learns to refer to it with a [Physical] tab.

It is done automatically collecting opportunity Monitoring information designated time(AM/PM) twice a day when the switch of the as auto migration function is set as ON, collecting processing is performed before plan creation and plan execution. also, it is possible that it is collected by the user's demand in an optional opportunity by the hand-operated operation.

The collected Monitoring information up to 3 months is stored in the hard disk of SVP. When a monitor function is continued for more than 3 months, it is erased in order from the old information, and new Monitoring information is cumulated.

(3)How to view

The Monitoring information which accumulated in SVP can be referred to with a [Physical] tab. The period of the Monitoring information which accumulated can be specified by the operation of the part Term.(It is optional in the unit for 15 minutes.)

Information about the Monitoring information of the period specified in the part Term is indicated in the Table part and the Graph part.

The utilization of the resource (average and maximum value) chosen in the Tree part is indicated in the Table part.

The utilization of the element chosen by the Table part is graphed and indicated by the Graph part.

### 3.18.4 Estimate function

The estimate function estimates changes of parity group utilization and parity group utilization of each logical volume after migration of the logical volume to specified parity group. The estimate function estimates the changes from the monitored information.

### 3.18.5 Volume moving (migration) function

Volume moving (migration) function moves data in logical volume (source volume) to physical location of another logical volume (destination volume). Users specify the volumes in HIHSM utility window.

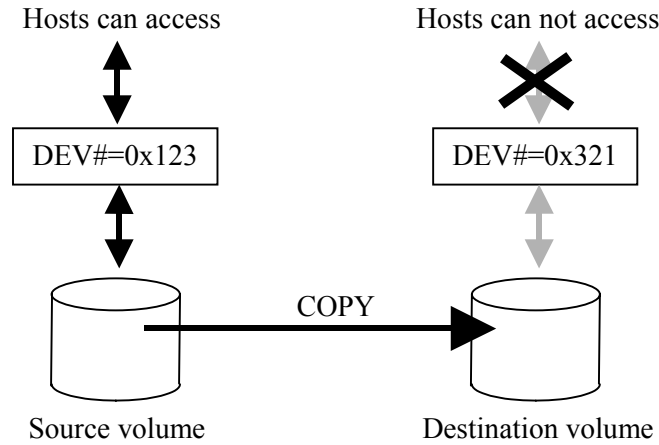


Fig. 3.18.5-1 Before moving

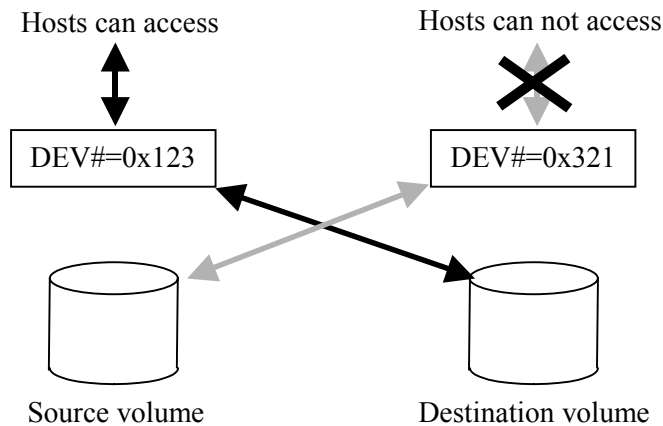


Fig. 3.18.5-2 After moving

## (1) Volume moving function Overview

## (a) Source volumes:

The following volumes cannot be used as source volumes:

- Volumes which are set as command devices (devices reserved for use by the host)
- Volumes which are used by XRC
- Volumes which are used by CC (Concurrent Copy)
- Volumes which have FlashAccess (also called DCR) data stored in cache
- Volumes which are in an abnormal or inaccessible condition (e.g., pinned track, fenced)
- Volumes which are Hi-Copy pair volumes
- Volumes which paths are defined in the iSCSI port

If the status of volumes that form HRC pairs is *suspended*, the volumes can be used as source volumes. If you delete an HRC pair from an MCU, the status of the M-VOL and the R-VOL changes to *simplex* so that the volumes can be used as source volumes. If you delete an HRC pair from an RCU, the status of the M-VOL changes to *suspended* and the status of the R-VOL changes to *simplex* so that the volumes can be used as source volumes.

If the status of volumes that forms HORC pairs is *PSUS* or *PSUE*, the volumes can be used as source volumes. If not, the volumes cannot be used as source volumes. If you delete an HORC pair from an MCU, the status of the P-VOL and the S-VOL changes to *SMPL* so that the volumes can be used as source volumes. If you delete an HORC pair from an RCU, the status of the P-VOL changes to *PSUS* and the status of the S-VOL changes to *SMPL*, so that the volumes can be used as source volumes.

For volumes that form an HMRCF pair or an HOMRCF pair, it depends on the status or configuration of the pair whether the volumes can be used as source volumes, as explained below:

- If the status of the pair is not *Split pending*, the volumes can be used as source volumes. If the status of the pair is *Split pending*, the volumes cannot be used as source volumes.
- The table below explains whether volumes that do not form a cascade pair can be used as source volumes:

Table 3.18.5-1 Whether volumes that do not form a cascade pair can be used as source volumes

If the pair is configured as follows	Can P-VOLs be used as source volumes?	Can S-VOLs be used as source volumes?
If the ratio of P-VOLs to S-VOLs is 1:1	Yes	Yes
If the ratio of P-VOLs to S-VOLs is 1:2	Yes	Yes
If the ratio of P-VOLs to S-VOLs is 1:3	No	Yes

- The table below explains whether volumes that form a cascade pair can be used as source volumes:

Table 3.18.5-2 Whether volumes that form a cascade pair can be used as source volumes

If the pair is configured as follows	Can P-VOLs be used as source volumes?	Can S-VOLs be used as source volumes?
If the pair is an L1 pair and the ratio of P-VOLs to S-VOLs is 1:1	Yes	Yes
If the pair is an L1 pair and the ratio of P-VOLs to S-VOLs is 1:2	Yes	Yes
If the pair is an L1 pair and the ratio of P-VOLs to S-VOLs is 1:3	No	Yes
If the pair is an L2 pair and the ratio of P-VOLs to S-VOLs is 1:1	Yes	No
If the pair is an L2 pair and the ratio of P-VOLs to S-VOLs is 1:2	No	No

Caution: If any of the following operations is performed on a source volume, the volume migration process stops:

- XRC operation
- CC operation
- HRC/HORC operation that changes the volume status to something other than *suspended*
- ShadowImage (HMRCF/HOMRCF) operation that changes the volume status to *Split Pending*.

(b) Target volumes:

Target volumes must be reserved prior to migration. The HIHSM remote console software allows you to reserve volumes as HIHSM target volumes.

Hosts cannot access HIHSM-reserved volumes.

The following volumes cannot be reserved as target volumes:

- Logical Unit Size Expansion (LUSE) volumes
- Volumes which are set as command devices (devices reserved for use by the host)
- Volumes which are assigned to Hitachi ShadowImage (HMRCF/HOMRCF) or Hitachi Remote Copy (HRC/HORC) pairs
- Volumes which are used by XRC
- Volumes which are used by CC (Concurrent Copy)
- Volumes which are reserved for ShadowImage operations
- Volumes which have FlashAccess (also called DCR) data stored in cache
- Volumes which are used by ENAS system.
- Volumes which are in an abnormal or inaccessible condition (e.g., pinned track, fenced)
- Volumes which are Hi-Copy pair volumes
- Volumes which paths are defined in the iSCSI port

## (c) Specifying Volumes:

Source volumes and Target volumes are specified by LDEV number.

An open volume is also specified by one LDEV number. If the volumes are set as LUSE, you can specify by one LDEV number. HIHSM will migrate the LDEV which is one of a LUSE and its access is higher.

## (d) Moving of multi volumes:

Moving of volumes can be performed by repeating instruction about each volume.

A maximum of 36 volumes can be accepted in the same time.

However, the maximum value of the total number of HMRCF/HOMRCF pairs plus the number of moved volumes of HIHSM is restricted as follows.

① When the number of CU is 4 or less, 2048.

② When the number of CU is 5 or more, 4096.

## (e) Abort moving:

Users can direct to abort the instructed moving before completion.

With aborting, the data in the destination volume is not guaranteed.

Users can direct to abort by each LDEV.

## (f) Notice when the DKC is maintenance:

HIHSM sometimes fails the volume migration if the Cache or drive replacement installation or deinstallation is executed at the same time.

## (2) Conditions for moving

Data moving is performed when all conditions about source volume and destination volume described below are satisfied.

(a) Both of the volumes have same emulation type.

(b) Both of the volumes have same size.

(c) There is no PIN data in the source volume.

(d) Both of the volumes are not blockade.

(e) Both of the volumes in same DKC.

(f) The volumes are not instructed to move already and not waiting to move.

(g) The volumes are not combination of CVS Volume and Normal Volume.

## (3) Viewing History

Users can see the history of volume moving (migration).

### 3.18.6 Decision of volume moving (migration)

HIHSM supports decision of users about disk system performance tuning by logical volume moving (migration). This section describes usage and points to notice about monitor function.

(1) Inspecting utilization of system resources

First of all, using monitoring function, a user investigates whether there exists overloaded resources, or imbalance of resource utilization. Then the user tunes resource utilization in the manner described in the following clause.

Note: Due to average system resource utilization, there will be such a case as a portion of system performance will be negatively effected although total performance of a system will be improved. For example, if there exists RAID groups A and B of utilization 20% and 90% respectively, and if the utilization will become 55% and 55% if a logical volume residing in parity group B moves parity group A. Then response time of I/Os to parity group A will be increased while response time of I/Os and throughput to parity group B will be improved.

(2) Tuning Starnet utilization

Since Starnet are common resources in RAID400, migration of logical volumes does not improve system performance.

(3) Tuning CHP utilization

Migration of logical volumes does not improve CHP performance. Therefore if CHPs are overloaded on an average, the user should consider installation of new CHAs. And if the utilization of CHPs are imbalance, the user should consider that channel paths connecting to a CHA, which includes overloaded CHPs, is reconfigured into the connection to another CHA which includes CHPs of lower utilization.

## (4) Tuning DKP/DRR utilization

If utilization of DRRs or DKPs is in high average, the user should consider to install new DKAs and HDDs. After installation of DKAs and HDDs, logical volumes which had high traffic of write access (especially of sequential write access) should be migrated to a parity group in newly installed HDDs.

If utilization of each DKP are imbalance, the user should consider migration of logical volumes from current parity group deployed under a DKA pair of high utilization to one under a DKA pair of lower utilization. The estimate function cannot simulate the DKP utilization. Therefore this method should be applied under the prospect of large improvement. For example, there would be least improvement in case of slight difference of utilization of each DKP, or if DRRs are comparatively in high utilization.

## (5) Tuning RAID group utilization

If parity groups are in high utilization, the user should consider to install new HDDs. After installation of HDDs, logical volume which had high traffic of I/Os should be migrated to a parity group in newly installed HDDs.

If utilization of each parity group are imbalance, the user should consider migration of logical volumes from current parity group of high utilization to the one of lower utilization.

These method should be applied under the prospect of large improvement. There would be least improvement in case of slight difference of utilization of each parity group, or if DRRs or DKPs are comparatively in high utilization.

When some kind of errors in the system, utilization of system resource can increase or be unbalanced.

### 3.18.7 Preset function

(1) Preset function Overview

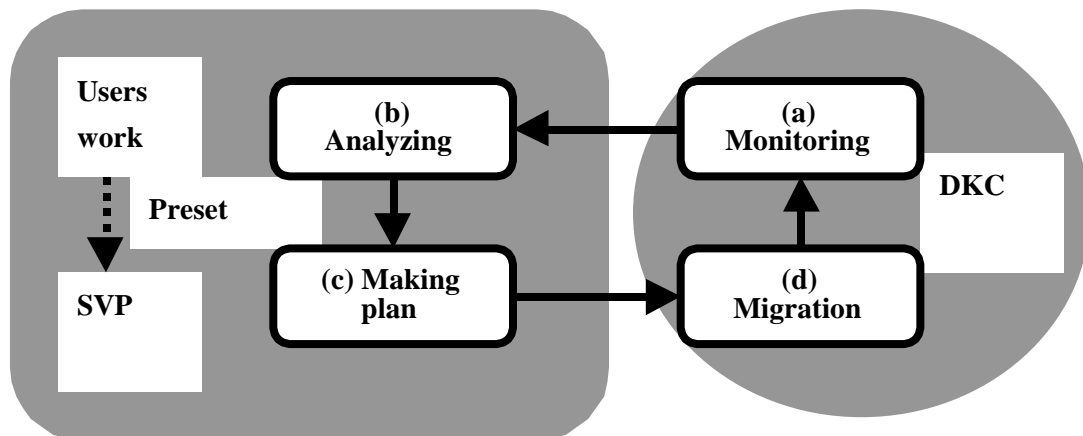
Preset function provides a typical tuning method of HIHSM based on parameters given by users, and performs tuning plan automatically.

(2) Overview of tuning

Tuning by HIHSM can be proceeded by performing the following steps repeatedly.

- (a) Monitoring information
- (b) Analyzing information
- (c) Making volume migration plan (decision of volume migration)
- (d) Moving volume (migration)
- (a') Monitoring information again to confirm condition and effect of the performed tuning.

Preset function reduces users' work by providing a typical tuning method of HIHSM based on parameters given by users, and performs tuning plan automatically. When (b) Analyzing and (c) Making plan are done by users, it can perform fine tuning.



## (3) Process of tuning by preset function

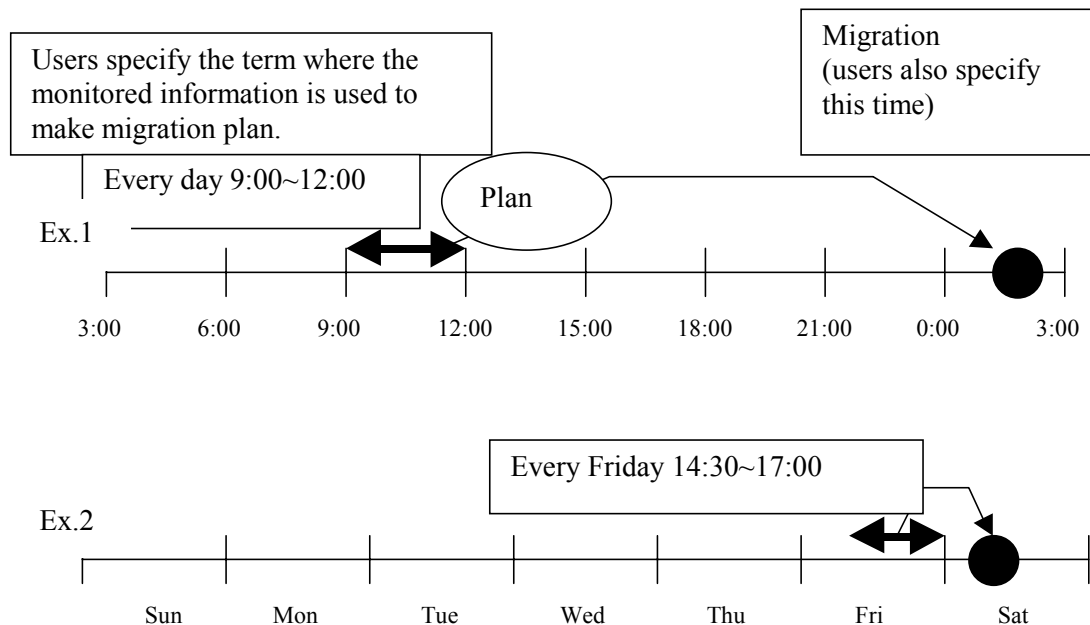
## (a) Basic process flow of tuning by preset function

Preset function performs the following two process.

- Making Plan: Detecting volumes that have problems by monitored information (disk utilization) and making plan of volume Migration
- Migration: Moving volume by the plan.

These two process are repeated by the cycle described above, and the length of the cycle depends on users. In the preset function, this cycle is supposed from one day to one week or several weeks at longest.

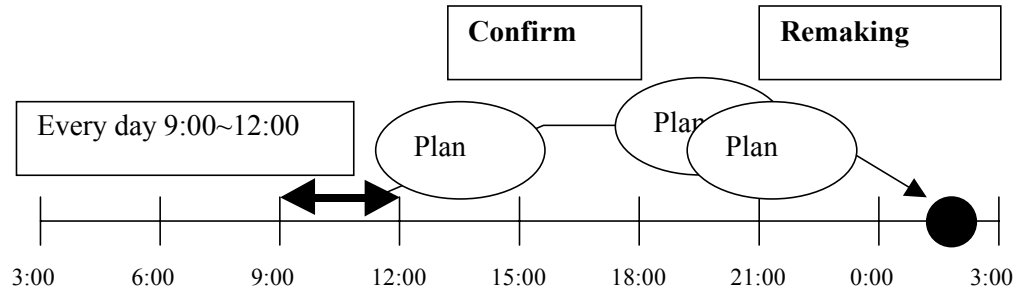
Users can specify the term where the monitored information is used to make the plan focusing on concerns of users. For example, users can specify the highest load term in a day or in a week as the referred term for making plan.



## (b) Confirming and remaking of plan by users

During the period from the planning by preset function until migration, users can see the plan and delete it if needed.

During the same period as above, users can remake the plan manually with changing parameters.



## (4) Making plan

## (a) Tuning based on disk utilization ratio

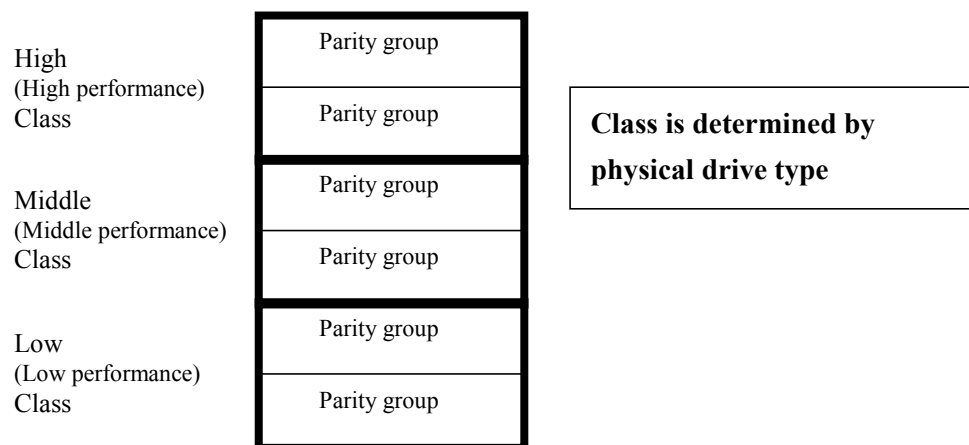
HIHSM monitors utilization ratios of various system resources, but the preset function uses disk utilization ratio to make a migration plan to solve disk neck as a typical tuning method. Users could refer to other information if needed.

Users also specifies parameters for tuning based on disk utilization ratio. Users should set these parameters for their system (preset function provides default value for these parameters roughly).

## (b) Hierarchy of parity groups and management by class

Parity groups in DKC have hierarchy by drive type and RAID type. HIHSM provides a function to optimize the usage of this hierarchy. Preset function manages this hierarchy as class (parity group set).

Parity groups are divided into classes. The classes are ordered from high level (high performance) to low level (low performance). This classification is decided by performance of physical drive type of each parity group.



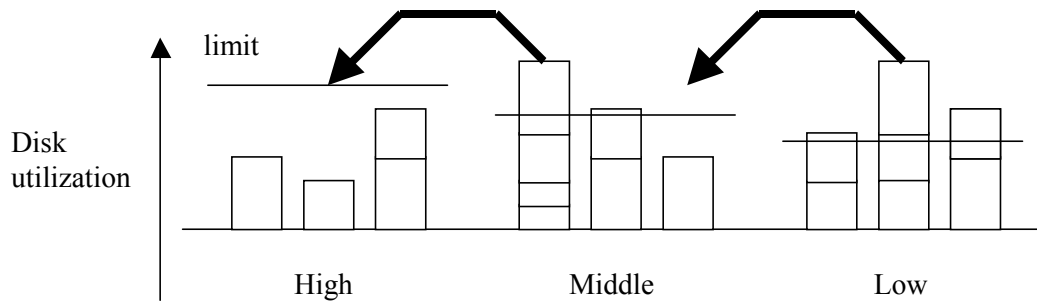
A method of migration plan which the preset function performs is described below. Preset function uses the monitored information in the term that is the data to be referred to make the plan.

## (b-1) Management by maximum limit of disk utilization ratio

A maximum limit of disk utilization (parity group utilization) is specified to each parity group. Users should specify this limit for their systems. (HIHSM uses the default value but it is desirable that user sets the desired value.)

For the parity groups that exceed this limit, preset function makes plan of moving volumes from this parity group to another parity group in higher class.

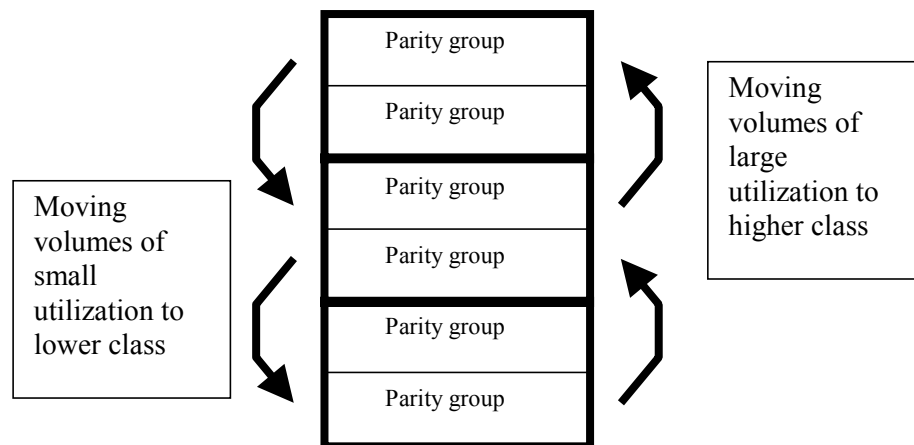
This avoids physical disk neck and provides load balancing of disk utilization.



## (b-2) Selection of volumes to migration

In the migration plan described above, volumes of larger disk utilization are selected to be moved from the parity group that exceeds the limit to higher class. Moving larger utilization volumes to higher performance class is expected to make large tuning effect. And larger utilization means larger amount of access from host, and this also makes large tuning effect.

When the reserved (empty) volumes run short in the high class, volumes of smaller disk utilization are selected to be moved from higher class to lower class to make reserve volumes.



Preset function provides some criteria for you to select them, average of disk utilization, average of highest Nth value of disk utilization in the referred term, and a value considering sequential/random access pattern.

## (b-3) Specifying maximum limit of disk utilization ratio by users

When the same maximum limit of disk utilization ratio described above is given to each class (parity group) classified by drive types, performance of each physical drive type makes performance of each class directly.

When the users specify the limit to each class with bias, users can make the difference of performance of classes larger or smaller.

Users can specify parity groups to fixed parity groups in which volumes users do not want to move automatically. Preset function does not make a migration plan about fixed parity groups and volumes in the fixed parity groups.

## (b-4) Notice for making plan

HIHSM can make these plans only on the following conditions:

- HIHSM can estimate the disk utilization ratio against all migrated parity groups.
- The disk utilization rate of all migrated parity groups should not be over the maximum rate. If the rate of one parity group is over the maximum rate, HIHSM could not make a plan.

## (b-5) Notice for reference term

HIHSM could not use old information before the last volume migration in order to reduce the influence of performance by volume migration.

Therefore, HIHSM sometimes fails in making a plan by lack of information.

## (5) Moving (migration) by preset function

Preset function performs moving (migration) process once a day at time specified by users.

If there is a migration plan made by preset function at the time, volumes are moved by the plan.

Users can specify the limit of moving to avoid the overload by moving (data copy) process.

If the disk utilization ratio of parity groups in which moving is started exceeds the moving process limit, the moving in the parity group will be aborted.

Users can specify the time limit of moving. If a plan does not complete in the time limit, the remaining plan will be executed in the following day. If the new plan will be made until the next migration time, those remaining plans will be deleted before making the plan.

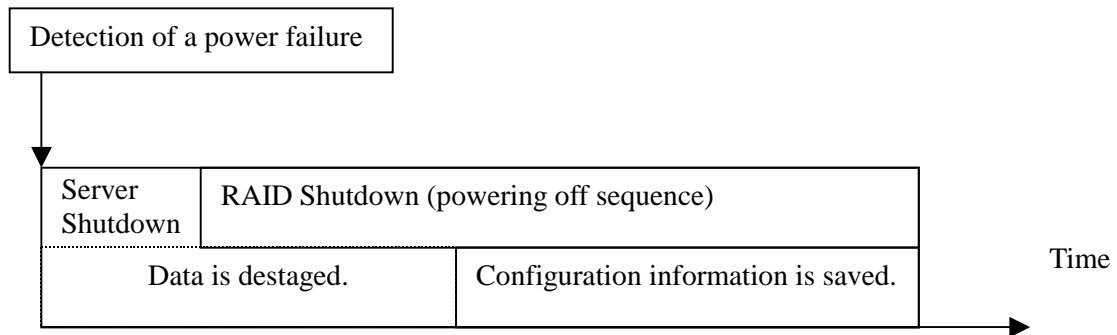
### 3.19 UPS

#### 3.19.1 Outline

When a power failure occurs, data on the cache is sustained for 48 hours by means of the backup of the memory by the battery. In addition to the above, when the UPS is connected, the UPS functions to enable the subsystem to continue execution of host I/O's when an instantaneous power failure occurs and to save data when a long power failure occurs by backing up the whole subsystem with a battery.

#### 3.19.2 Operation sequence

When a power failure continues, the connected UPS backs up the whole subsystem with a battery, and while the backup is done, the subsystem destages data stored on the cache and saves configuration information by making the powering off sequence operate.



#### 3.19.3 Limiting amount of data which flows into the cache

It is required to control amount of data which is on the cache and has not been destaged to the drive beforehand in order to control the time taken for the powering off. To satisfy the requirement, amount of non-destaged data which flows in the cache is limited for each parity group. However, the amount of the data may exceed the allowable limit owing to a failure of the cache or drive.

#### 3.19.4 Setting a limit on the amount of data which flows in the cache

When connecting the UPS, set the PS Off Timer on the SVP screen. (Refer to the item of system option in the SVP Section.)

## 3.20 HPAV

### 3.20.1 Overview

#### 3.20.1.1 Overview of HPAV

HPAV (Hitachi Parallel Access Volume) enables a host computer to issue multiple I/O requests in parallel to each device in the disk subsystem. Usually, host computers are able to issue only a single I/O request to a single device. When a host computer issues one I/O request to a device, the host computer is unable to issue another I/O request to that device. However, HPAV enables you to assign one or more aliases to a single device so that the host computer is able to issue multiple I/O requests. In this way, HPAV provides the host computer with substantially faster access to data in the disk subsystem.

When you assign aliases to a device, you specify the addresses of unused LDEVs (*logical devices* or *logical volumes*) in the disk subsystem. The specified addresses are used as alias addresses.

Throughout this manual, the term *base device* refers to a device to which aliases will be assigned. Also, the term *alias device* refers to an alias.

HPAV operates in either of the following ways: *static PAV* and *dynamic PAV*. These are described next:

#### ■ Static PAV

When static PAV is used, the number of aliases for each base device remains unchanged even if the number of I/O requests to each device changes. As explained later, when dynamic PAV is used, the number of aliases for a base device is likely to increase as the number of I/O requests to the device increases; this means the number of aliases for other base devices may decrease. However, when static PAV is used, the number of aliases remains as specified by the Remote Console user or SVP operation user.

Before you assign aliases to base devices, you should consider whether I/O requests will converge on some of the base devices. We recommend that you assign more aliases on base devices on which I/O requests are expectedly converge. Otherwise, HPAV might not be able to provide much faster access to data in the disk subsystem.

The following figure gives an example of static PAV. In this figure, each of the three base devices (numbered 10, 11, and 12, respectively) has two aliases assigned. I/O requests converge on the base device #10, but the number of aliases for each base device remains unchanged.

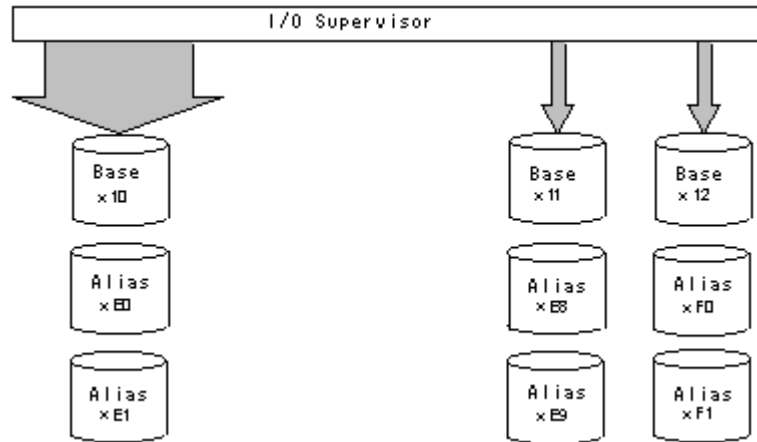


Fig. 3.20.1.1-1 Static PAV

### ■ Dynamic PAV

When dynamic PAV is used, the number of aliases for a base device may change as the number of I/O requests to the device changes. If I/O requests converge on some of the base devices, the number of aliases may increase for these base devices but may decrease for the other base devices. Dynamic PAV can balance workloads on base devices and optimize the speed for accessing data in the disk subsystem.

The following figure gives an example of dynamic PAV. In this example, each of the three base devices (#10, #11, and #12) was originally assigned two aliases. As I/O requests converge on #10, the number of aliases for #10 increases to four. For the base devices #11 and #12, the number of aliases decreases to one.

Dynamic PAV requires the Workload Manager (WLM), a special function provided by the operating system at the host computer. For details, see sections 3.20.2.1 and 3.20.2.2.

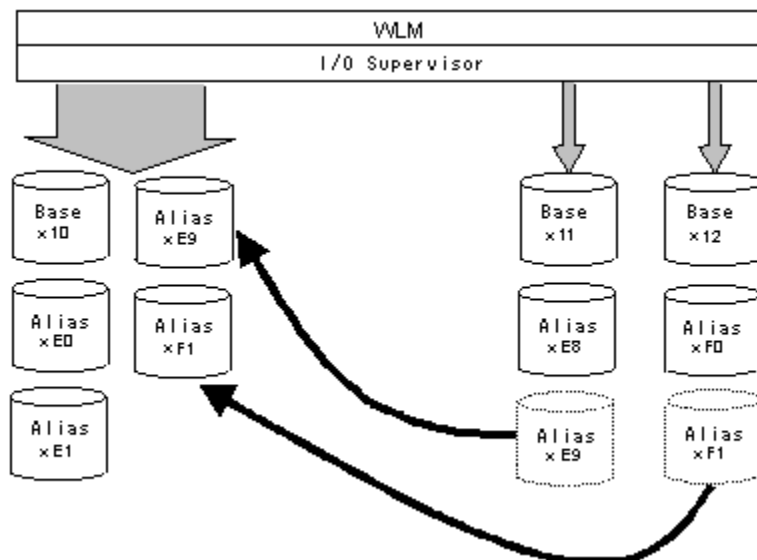


Fig. 3.20.1.1-2 Dynamic PAV

### 3.20.1.2 How to Obtain Optimum Results from HPAV

To obtain good results from HPAV, you should be aware of the following:

- The best results can be obtained if the number of aliases is “Number of available channel paths minus 1”. If the number of aliases is specified this way, I/O operations can use all the channel paths, and thus the best results can be obtained.
- HPAV may not produce good results when many channel paths are used. If all the channel paths are used, no good results can be expected.
- HPAV lets you assign unused devices for use as aliases. If you assign most of the unused devices for use as aliases, only a small amount of free devices are available. It is recommended that you think about adding more disks in the future when you determine the number of aliases to be assigned.

If we assume that there are 256 devices and we assign the same number of alias devices to each base devices, the number of base devices and alias devices is calculated as explained in Table 3.20.1.2. The recommended ratio of base devices to alias devices is 1:3.

If you can expect the types of jobs to be passed to base devices, or if you can expect how many accesses should be made to each base device, you should determine the number of aliases for each base device so that it meets the requirements for each base device.

Table 3.20.1.2 The ratio of base devices to aliases

Ratio (base devices : alias devices)	The number of base devices	The number of alias devices
1:3 (recommended)	64	192
1:1	128	128

- Good results cannot be expected on devices that are always shared and used by multiple host computers.
- If dynamic PAV can be used in all the systems, good results can be expected if you assign 8 to 16 aliases to each CU (control unit).

## 3.20.2 Preparing for HPAV Operations

### 3.20.2.1 System Requirements

To be able to run, HPAV requires the following operating systems to be installed on the host computer:

- For static PAV
  - OS/390 V1R3 & DFSMS/MVS 1.3 with PTF
  - VM/ESA 2.4.0
- For dynamic PAV
  - OS/390 V2R7 with PTF & DFSMS/MVS 1.5

Note: To perform operations with HPAV, you must have administrator access privileges. Users who do not have administrator access privileges can only view HPAV information. The following restrictions apply when using HPAV.

Table 3.20.1.1 Restrictions that apply when using HPAV

No.	Item	Specifications
1	DKC emulation type	I-2105
2	DKU emulation type	3390-3, 3390-3R, 3390-9, 3390-L
3	Number of aliases that can be assigned to a single base device	Up to 15
4	Alias device numbers that can be used	When you set aliases for base devices, you can use the device numbers of unused devices as the alias device numbers. When you set aliases for base devices, you must be aware that the alias devices and the base devices must belong to the same CU.
5	Device functions that can concurrently be used with HPAV	<ul style="list-style-type: none"> <li>• CVS (Customized Volume Size)</li> <li>• DCR (Dynamic Cache Residence)</li> <li>• LDEV Security</li> </ul>
6	Device functions that cannot concurrently be used with HPAV	<ul style="list-style-type: none"> <li>• HMBR (Hitachi Multiplatform Backup/Restore)</li> <li>• HMDE</li> </ul>
7	Copy functions that can concurrently be used with HPAV	<ul style="list-style-type: none"> <li>• Concurrent Copy/XRC               <ul style="list-style-type: none"> <li>- Do not intermix DKC emulation type of 2105 and 3990-6/6E in the MCU.</li> </ul> </li> <li>• HRC (Hitachi Remote Copy) / HMRCF (Hitachi Multiple RAID Coupling Feature) / HIIHSM (Hitachi Internal Hierarchical Storage Manager)               <ul style="list-style-type: none"> <li>- You can be intermixed DKC emulation type of 2105 and 3990-6/6E in the same DKC.</li> </ul> </li> </ul>

### 3.20.2.2 Preparations at the Host Computer

This section briefly describes arrangements that should be made at the host computer. For detailed information, see the documentation for MVS.

#### 3.20.2.2.1 Generation Definition of Base Devices and Alias Addresses

The address mapping between base devices and the corresponding alias devices must be defined at generation.

The address mapping between base devices and alias devices at the host computer should match the corresponding address mapping at the DKC side. If it does not match, a serious failure might occur during data processing.

The following gives an example of mapping between base devices and aliases devices:

(A) x 00-x1F:Base	(B) x 00-x3F:Base	(C) x 00-x7F:Alias	(D) x 00-x3F:Alias
x 20-xFF:Alias	x 40-x7F:Alias	x 80-xFF:Base	x 40-x7F:Base
	x 80-xBF:Base		x 80-xBF:Alias
	x C0-xFF:Alias		x C0-xFF:Base

Note: The recommended ratio of base devices to aliases is 1:3, if each base device is assumed to be assigned the same number of aliases.

#### 3.20.2.2.2 Setting the WLM Operation Mode

If you want to use dynamic PAV, you must set the WLM (Workload Manager) operation mode to *goal mode*. WLM manages workloads on MVS and can use two operation modes, which include goal mode. In goal mode, WLM manages the system to fulfill the performance goal that was specified before the system began to operate.

You should be aware that static PAV is used instead of dynamic PAV if compatibility mode is used instead of goal mode.

For details on the WLM operation modes, see the documentation for MVS.

## 3.21 FICON

### 3.21.1 Introduction

FICON is new mainframe architecture, which is FC-SB-2 protocol based on Fiber channel physical layer protocol (FC-PH) and it is approved by ANSI.

The specification of FICON is below.

- Full duplex data transfer
- Multiple concurrent I/O operations on channel
- High bandwidth data transfer (100MB/s, 200MB/s)
- Fewer control unit interfaces
- Pipelined CCW execution

### 3.21.2 Environment

If you use FICON, below environment is needed.

Table 3.21.2-1

Items	Contents	
CPU	z990, z900, G5/G6, z800	
DKC Emulation type	2105-F20	
FICON Director	MCDATA	ED-5000
		ED-6064
		ED-6140
	CNT	FC9000
	Brocade	Silkworm 12000

### 3.21.3 DKC460I FICON specification

Table 3.21.3-1 shows the specification of DKC460I FICON.

Table 3.21.3-1 DKC460I FICON specification

Items		Contents
Number of ports	Max. per subsystem	24
	Per PCB	4
	Install unit	8
Connectable channel image per port		32 (*1)
CU image per port		32
Number of logical paths per CU port		1024 (*1)
Logical paths per subsystem		12288
Support DKC emulation type		2105-F20
Support fiber channel	Bandwidth	1Gbps / 2Gbps
	Cable and connector	LC-Duplex
	Mode	Single Mode Fiber/Multi Mode Fiber

- \*1: The adjacent two ports (For cluster 1 basic, Port 1A and Port 1B, or Port 1C and Port 1D) can be connected to 32 channel images in total.  
 Ex.1: If Port 1A is not connected, Port 1B can be connected to 32 channel images.  
 Ex.2: If Port 1A is connected to 15 channel images, Port 1B can be connected to 17 channel images.

Table 3.21.3-2 HRC with FICON

MCU-RCU	ESCON	Open Fiber
CHL-MCU		
ESCON	Supported	Supported
FICON	Not supported (*2)	Supported

- \*2: The link bandwidth of FICON is greater than that of ESCON. Then, in consideration of performance balance of ESCON path and FICON path, if FICON path is used between Channel and MCU, the path between MCU and RCU should be OPEN-FC link, not ESCON link.

Table 3.21.3-3 Concurrent Copy with FICON

		Concurrent Copy data transfer (SMS-DKC)	
		FICON	ESCON
application site (System and DKC)	ESCON	Supported	Supported
	FICON	Supported	Not recommended (*3)

- \*3: If the path of Application site is FICON, SMS (Concurrent copy data transfer path) should be also FICON in order to balance the performance of Application path and SMS path.

Table 3.21.3-4 HXRC and FICON configuration

		Record set transfer path (System Data Mover-DKC)	
		FICON	ESCON
application site (System and DKC)	ESCON	Supported	Supported
	FICON	Supported	Not recommended (*4)

\*4: If the path of Application site is FICON, System Data Mover (SDM) path should be also FICON in order to balance the performance of Application path and SDM path.

### 3.21.4 Configuration

#### (1) Topology

The pattern of connection between DKC460I FICON and channel are such as below.

- Point to point connection
- Switched point to point connection
- Non cascading connection
- Cascading connection

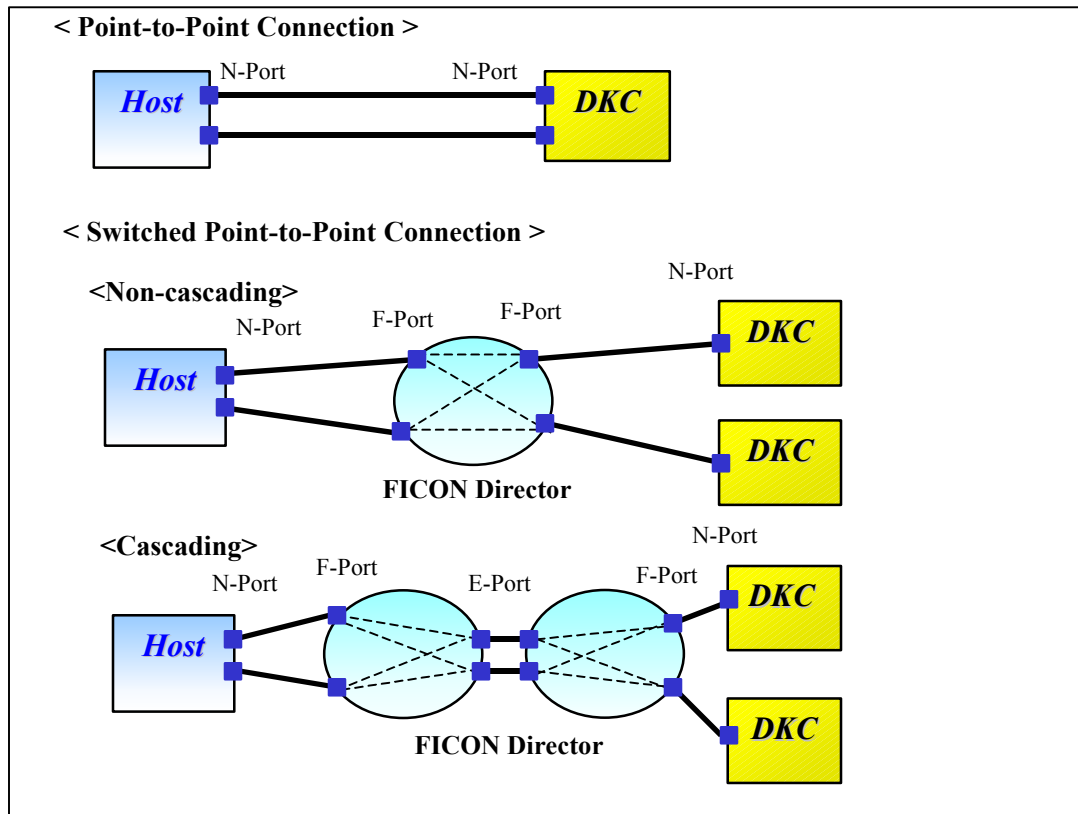


Figure 3.21.4-1 FICON Topology

#### (2) Switched point to point configuration

FICON director (FICON switch) specifications are below.

Table 3.21.4-1 FICON director specification

FICON Director		Bandwidth	Connector
Vendor	Model		
McDATA	ED-5000	1Gbps	SC-Duplex
	ED-6064	1/2Gbps	LC-Duplex
	ED-6140	1/2Gbps	LC-Duplex
CNT	FC-9000	1/2Gbps	SC-Duplex LC-Duplex
Brocade	Silkworm 12000	1/2 Gbps	LC-Duplex

Note:

1. Above switches are all that RSD certified connectivity between DKC460I FICON and each switch.
2. Do not change the Operation Speed mode of switch during I/O operation.

(3) FICON Cascade configuration

Cascaded FICON director specifications are below.

Table 3.21.4-2 Cascaded FICON Director specification

FICON Director		Necessary Feature
Vendor	Model	
McDATA	ED-6064	1) SANteglity 2) FICON Management Server
	ED-6140	1) SANteglity 2) FICON Management Server
CNT	FC-9000	1) Fabric security License
Brocade	Silkworm 12000	1) Secure Fabric OS License 2) Advanced Zoning License

Note:

1. FICON cascade configuration is only supported by zSeries servers.
2. The FICON directors used by the cascade connection must be the same vendor.

(4) Configuration of FICON and ESCON intermixed

(a) Migration from ESCON to FICON

FICON/ESCON intermix within the same path group is allowed for only migration from ESCON to FICON.

(b) FICON and ESCON intermixed configuration within the same subsystem

If the generation of ESCON and that of FICON are separated, each system can use the same volume, without disturbing each other.

### 3.21.5 The operation procedure

- (1) Notice when executing version up from the 2Gbps link bandwidth unsupported version to the supported version.

The link bandwidth cannot be changed to 2Gbps from 1Gbps by executing only Online Microprogram exchange to the 2Gbps link bandwidth supported version. Either of the following operations needs to be executed.

- DKC PS OFF/ON
- Dummy replace the package including the FICON ports.
- Remove and insert the FICON cable which is connected to the FICON port in DKC. (\*1)

When Offline Microprogram exchange is executed, the link bandwidth is automatically changed.

- \*1: Execute this after deleting the logical paths from the host with the “CHPID OFFLINE” operation.

If this operation is not executed, Incident log may be reported.

- (2) Notice when executing version down from the 2Gbps link bandwidth supported version to the unsupported version.

Version down from the 2Gbps link bandwidth supported version to the unsupported version cannot be executed if at least one port with the 2Gbps link bandwidth exists. (\*2) Before executing version down, delete the logical paths from the host with the “CHPID OFFLINE” operation (\*3) and remove the paths in DKC for the ports with the 2Gbps link bandwidth. Make re-connection of the paths after the completion of the version down.

- \*2: The link transfer bandwidth can be confirmed in the ‘SVP Maintenance’ screen – ‘Mainframe Path’ – ‘Physical Path Status’. Refer to “SVP Section 3.10 LCP/HTP Paths”.

- \*3: If this operation is not executed, Incident log may be reported.

- (3) Notice when executing version down from the FICON 32LPN per paired ports function supported version to the unsupported version.

Version down from the version which supports the FICON 32LPN per paired ports function to the unsupported version cannot be executed, if the logical path in each node is assigned to the extended path number as shown in Table 3.21.5. (Ex.: For node HTP 1A, if path number 0x010-0x01F is assigned, version down cannot be executed.) (\*4)

Before executing version down, delete the logical path assigned to the extended path number from the host with the “CHPID OFFLINE” operation.

Reestablish of the paths after the version down is completed.

- \*4: Logical Path Status can be confirmed in the SVP Maintenance screen – Mainframe Path – Logical Path Status. Refer to “SVP Section 3.10 LCP/HTP Paths”.

Table 3.21.5 The range of path number prohibiting version down for each node

NODE	Path Number	NODE	Path Number
HTP 1A	0x010 - 0x01F	HTP 2A	0x110 - 0x11F
HTP 1B	0x000 - 0x00F	HTP 2B	0x100 - 0x10F
HTP 1C	0x030 - 0x03F	HTP 2C	0x130 - 0x13F
HTP 1D	0x020 - 0x02F	HTP 2D	0x120 - 0x12F
HTP 1E	0x050 - 0x05F	HTP 2E	0x150 - 0x15F
HTP 1F	0x040 - 0x04F	HTP 2F	0x140 - 0x14F
HTP 1G	0x070 - 0x07F	HTP 2G	0x170 - 0x17F
HTP 1H	0x060 - 0x06F	HTP 2H	0x160 - 0x16F
HTP 1J	0x090 - 0x09F	HTP 2J	0x190 - 0x19F
HTP 1K	0x080 - 0x08F	HTP 2K	0x180 - 0x18F
HTP 1L	0x0B0 - 0x0BF	HTP 2L	0x1B0 - 0x1BF
HTP 1M	0x0A0 - 0x0AF	HTP 2M	0x1A0 - 0x1AF
HTP 1N	0x0D0 - 0x0DF	HTP 2N	0x1D0 - 0x1DF
HTP 1P	0x0C0 - 0x0CF	HTP 2P	0x1C0 - 0x1CF
HTP 1Q	0x0F0 - 0x0FF	HTP 2Q	0x1F0 - 0x1FF
HTP 1R	0x0E0 - 0x0EF	HTP 2R	0x1E0 - 0x1EF

## (4) Notice when connecting FICON cascade configuration.

After executing Micro-program exchange to the FICON cascade supported version, connect the cable of FICON cascade configuration and establish the logical path.

Version down from the version which supports the FICON cascade function to the unsupported version cannot be executed, if the logical path of FICON cascade connection exists (\*5).

Before executing version down, delete all logical paths of the FICON cascade connection from the host with the “CHPID OFFLINE” operation.

\*5: The logical path of FICON cascade connection can be confirmed in the SVP Maintenance – Mainframe Path - Physical Path Status screen and Logical Path Status screen. Refer to ”SVP Section 3.10 LCP/HTP Paths”. Compare the 1st byte(CHL site SW#) in 3 bytes of “LINK” on Logical Path Status screen with the 1st byte(DKC site SW#) in 3 bytes of “LINK Self” on Physical Path Status screen. If two values of SW# are different, it shows that it is a cascade connection path.

## (5) Notice about Speed Auto Negotiation

A stable physical environment (fully mated connectors, no cable flexing, no transient noise sources, etc.) is expected on Speed Auto Negotiation. Otherwise, Speed Auto Negotiation may settle to not fastest speed but an optimum speed.

To change into fastest speed, check whether it is the stable physical environment, and execute either of the following operations.

- DKC PS OFF/ON
- Dummy replace the package including the FICON ports.
- Remove and insert the FICON cable which is connected to the FICON port in DKC. (\*1)

\*1: Execute this after deleting the logical paths from the host with the “CHPID OFFLINE” operation. If this operation is not executed, Incident log may be reported.

## (6) Notice when connecting FICON Extender configuration

After executing Micro-program exchange to the FICON Extender supported version, connect the cable of FICON Extender configuration and establish the logical path. Before executing version down from the version which supports the FICON Extender function to the unsupported version, delete all logical paths of the FICON Extender connection from the host with the “CHPID OFFLINE” operation.

## 4. Power-on Sequences

### 4.1 IMPL Sequence

The IMPL sequence, which is executed when power is turned on, is comprised of the following four modules:

(1) Boot loader

The boot loader performs the minimum necessary amount of initializations after a ROM boot. Subsequently, the boot loader expands the local memory loader from the flash memory into the local memory and the local memory loader is executed.

(2) Local memory loader

The local memory loader loads the Real Time OS load modules into the local memory and the Real Time OS is executed.

(3) Real Time OS

Real Time OS is a root task that initializes the tables in the local memory that are used for intertask communications. Real Time OS also tests the hardware resources.

(4) DKC task

When the DKC task is created, it executes initialization routines. Initialization routines initialize the most part of the environment that the DKC task uses. When the environment is established so that the DKC task can start scanning, the DKC task notifies the SVP of a power event log. Subsequently, the DKC task turns on the power for the physical drives and, when the logical drives become ready, The DKC task notifies the host processor of an NRTR.

The control flow of IMPL processing is shown in Fig. 4.1.

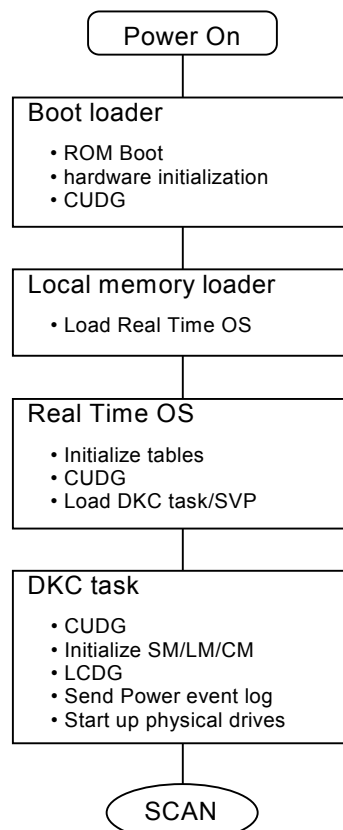


Fig. 4.1 IMPL Sequence

## 4.2 Drive Power-on Sequence

An overcurrent condition will occur if two or more drives are started at the same time. To preclude the overcurrent condition, DKUs should be started at the power supply level, one at a time, at approximately 10 second intervals.

When the logical devices become ready as the result of the startup of the physical drives, the host processor is notified to that effect.

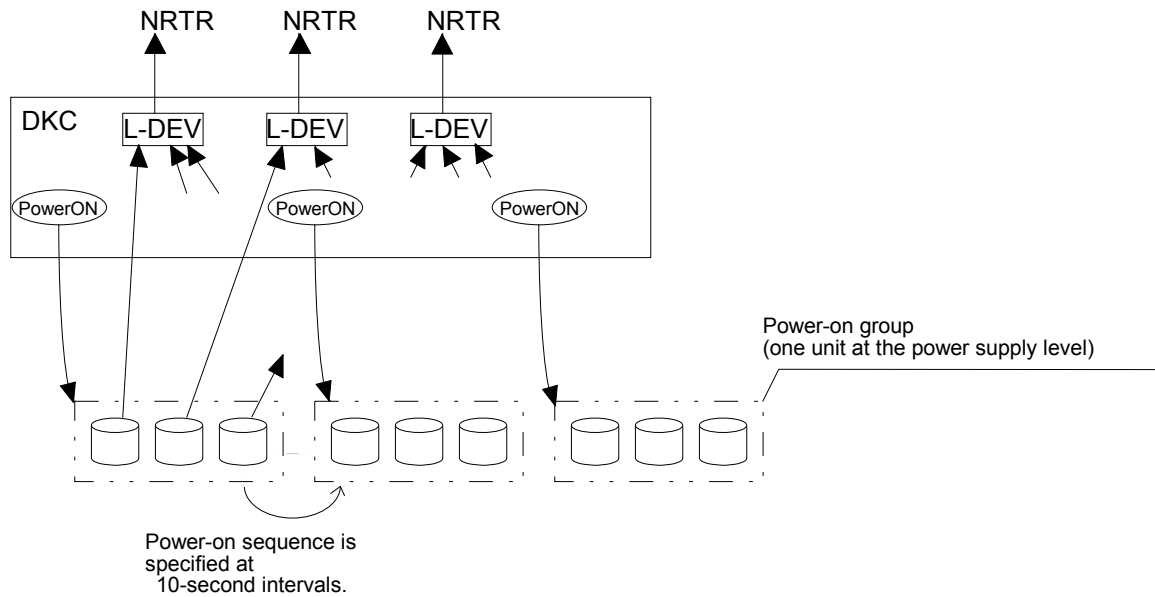


Fig. 4.2 Drive Power-on Sequence

### 4.3 Planned Stop

When a power-off is specified by a maintenance personnel, this subsystem checks for termination of tasks that are blocked or running on all logical devices. When all the tasks are terminated, this subsystem disables the CHL and executes emergency destaging. If a track for which destaging fails (pinned track) occurs, this subsystem stores the pin information in shared memory. Subsequently, this subsystem saves the pin information, which is used as hand-over information, in flash memory, sends Power Event Log to the SVP, and notifies the hardware of the grant to turn off the power.

The hardware turns off main power when power-off grants for all processors are presented.

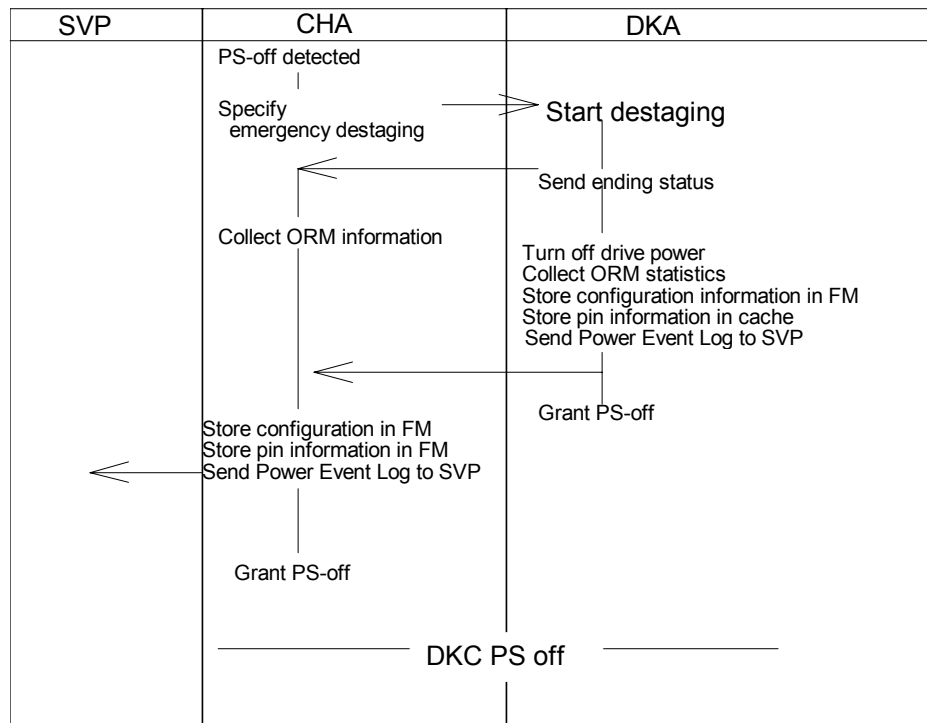


Fig. 4.3 Planned Stop Sequence

## 5. Appendixes

### 5.1 Physical - Logical Device Matrixes for Single Cabinet Model

#### 5.1.1 1DKA Pair configuration

Relationship between disk drive number and parity group number (1/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number
HDU-0	HDU-000	0/00	01-01
	HDU-001	0/01	01-02
	HDU-002	0/02	01-03
	HDU-003	0/03	01-04
	HDU-004	0/04	01-05
	HDU-005	0/05	01-06
	HDU-006	0/06	01-07
	HDU-007	0/07	01-08
	HDU-008	0/08	01-09
	HDU-009	0/09	01-10
	HDU-00A	0/0A	01-11
	HDU-00B	0/0B	01-12
	HDU-00C	0/0C	01-13
	HDU-00D	0/0D	01-14
	HDU-00E	0/0E	01-15
	HDU-00F	0/0F	Spare
	HDU-010	0/10	01-17
	HDU-011	0/11	01-18
	HDU-012	0/12	01-19
	HDU-013	0/13	01-20
	HDU-014	0/14	01-21
	HDU-015	0/15	01-22
	HDU-016	0/16	01-23
	HDU-017	0/17	01-24
	HDU-018	0/18	01-25
	HDU-019	0/19	01-26
	HDU-01A	0/1A	01-27
	HDU-01B	0/1B	01-28
	HDU-01C	0/1C	01-29
	HDU-01D	0/1D	01-30
	HDU-01E	0/1E	01-31
	HDU-01F	0/1F	01-32

## Relationship between disk drive number and parity group number (2/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number
HDU-1	HDU-100	1/00	01-01
	HDU-101	1/01	01-02
	HDU-102	1/02	01-03
	HDU-103	1/03	01-04
	HDU-104	1/04	01-05
	HDU-105	1/05	01-06
	HDU-106	1/06	01-07
	HDU-107	1/07	01-08
	HDU-108	1/08	01-09
	HDU-109	1/09	01-10
	HDU-10A	1/0A	01-11
	HDU-10B	1/0B	01-12
	HDU-10C	1/0C	01-13
	HDU-10D	1/0D	01-14
	HDU-10E	1/0E	01-15
	HDU-10F	1/0F	Spare
	HDU-110	1/10	01-17
	HDU-111	1/11	01-18
	HDU-112	1/12	01-19
	HDU-113	1/13	01-20
	HDU-114	1/14	01-21
	HDU-115	1/15	01-22
	HDU-116	1/16	01-23
	HDU-117	1/17	01-24
	HDU-118	1/18	01-25
	HDU-119	1/19	01-26
	HDU-11A	1/1A	01-27
	HDU-11B	1/1B	01-28
	HDU-11C	1/1C	01-29
	HDU-11D	1/1D	01-30
	HDU-11E	1/1E	01-31
	HDU-11F	1/1F	01-32

## Relationship between disk drive number and parity group number (3/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number
HDU-2	HDU-200	2/00	01-01
	HDU-201	2/01	01-02
	HDU-202	2/02	01-03
	HDU-203	2/03	01-04
	HDU-204	2/04	01-05
	HDU-205	2/05	01-06
	HDU-206	2/06	01-07
	HDU-207	2/07	01-08
	HDU-208	2/08	01-09
	HDU-209	2/09	01-10
	HDU-20A	2/0A	01-11
	HDU-20B	2/0B	01-12
	HDU-20C	2/0C	01-13
	HDU-20D	2/0D	01-14
	HDU-20E	2/0E	01-15
	HDU-20F	2/0F	Spare
	HDU-210	2/10	01-17
	HDU-211	2/11	01-18
	HDU-212	2/12	01-19
	HDU-213	2/13	01-20
	HDU-214	2/14	01-21
	HDU-215	2/15	01-22
	HDU-216	2/16	01-23
	HDU-217	2/17	01-24
	HDU-218	2/18	01-25
	HDU-219	2/19	01-26
	HDU-21A	2/1A	01-27
	HDU-21B	2/1B	01-28
	HDU-21C	2/1C	01-29
	HDU-21D	2/1D	01-30
	HDU-21E	2/1E	01-31
	HDU-21F	2/1F	01-32

## Relationship between disk drive number and parity group number (4/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number
HDU-3	HDU-300	3/00	01-01
	HDU-301	3/01	01-02
	HDU-302	3/02	01-03
	HDU-303	3/03	01-04
	HDU-304	3/04	01-05
	HDU-305	3/05	01-06
	HDU-306	3/06	01-07
	HDU-307	3/07	01-08
	HDU-308	3/08	01-09
	HDU-309	3/09	01-10
	HDU-30A	3/0A	01-11
	HDU-30B	3/0B	01-12
	HDU-30C	3/0C	01-13
	HDU-30D	3/0D	01-14
	HDU-30E	3/0E	01-15
	HDU-30F	3/0F	Spare
	HDU-310	3/10	01-17
	HDU-311	3/11	01-18
	HDU-312	3/12	01-19
	HDU-313	3/13	01-20
	HDU-314	3/14	01-21
	HDU-315	3/15	01-22
	HDU-316	3/16	01-23
	HDU-317	3/17	01-24
	HDU-318	3/18	01-25
	HDU-319	3/19	01-26
	HDU-31A	3/1A	01-27
	HDU-31B	3/1B	01-28
	HDU-31C	3/1C	01-29
	HDU-31D	3/1D	01-30
	HDU-31E	3/1E	01-31
	HDU-31F	3/1F	01-32

## 5.1.2 2DKA Pair configuration

## Relationship between disk drive number and parity group number (1/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number RAID5 (3D+1P) RAID1 (2D+2D)	Parity Group number RAID5 (7D+1P)
HDU-0	HDU-000	0/00	01-01	01-01
	HDU-001	0/01	01-02	01-02
	HDU-002	0/02	01-03	01-03
	HDU-003	0/03	01-04	01-04
	HDU-004	0/04	01-05	01-05
	HDU-005	0/05	01-06	01-06
	HDU-006	0/06	01-07	01-07
	HDU-007	0/07	01-08	01-08
	HDU-008	0/08	01-09	01-09
	HDU-009	0/09	01-10	01-10
	HDU-00A	0/0A	01-11	01-11
	HDU-00B	0/0B	01-12	01-12
	HDU-00C	0/0C	01-13	01-13
	HDU-00D	0/0D	01-14	01-14
	HDU-00E	0/0E	01-15	01-15
	HDU-00F	0/0F	Spare	Spare
	HDU-010	4/10	02-01	01-01
	HDU-011	4/11	02-02	01-02
	HDU-012	4/12	02-03	01-03
	HDU-013	4/13	02-04	01-04
	HDU-014	4/14	02-05	01-05
	HDU-015	4/15	02-06	01-06
	HDU-016	4/16	02-07	01-07
	HDU-017	4/17	02-08	01-08
	HDU-018	4/18	02-09	01-09
	HDU-019	4/19	02-10	01-10
	HDU-01A	4/1A	02-11	01-11
	HDU-01B	4/1B	02-12	01-12
	HDU-01C	4/1C	02-13	01-13
	HDU-01D	4/1D	02-14	01-14
HDU-01E	4/1E	02-15	01-15	
HDU-01F	4/1F	02-16	02-16 <sup>*1</sup>	

\*1: 3D+1P or 2D+2D configuration.

## Relationship between disk drive number and parity group number (2/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number RAID5 (3D+1P) RAID1 (2D+2D)	Parity Group number RAID5 (7D+1P)
HDU-1	HDU-100	1/00	01-01	01-01
	HDU-101	1/01	01-02	01-02
	HDU-102	1/02	01-03	01-03
	HDU-103	1/03	01-04	01-04
	HDU-104	1/04	01-05	01-05
	HDU-105	1/05	01-06	01-06
	HDU-106	1/06	01-07	01-07
	HDU-107	1/07	01-08	01-08
	HDU-108	1/08	01-09	01-09
	HDU-109	1/09	01-10	01-10
	HDU-10A	1/0A	01-11	01-11
	HDU-10B	1/0B	01-12	01-12
	HDU-10C	1/0C	01-13	01-13
	HDU-10D	1/0D	01-14	01-14
	HDU-10E	1/0E	01-15	01-15
	HDU-10F	1/0F	Spare	Spare
	HDU-110	5/10	02-01	01-01
	HDU-111	5/11	02-02	01-02
	HDU-112	5/12	02-03	01-03
	HDU-113	5/13	02-04	01-04
	HDU-114	5/14	02-05	01-05
	HDU-115	5/15	02-06	01-06
	HDU-116	5/16	02-07	01-07
	HDU-117	5/17	02-08	01-08
	HDU-118	5/18	02-09	01-09
	HDU-119	5/19	02-10	01-10
	HDU-11A	5/1A	02-11	01-11
	HDU-11B	5/1B	02-12	01-12
	HDU-11C	5/1C	02-13	01-13
	HDU-11D	5/1D	02-14	01-14
	HDU-11E	5/1E	02-15	01-15
	HDU-11F	5/1F	02-16	02-16 <sup>*1</sup>

\*1: 3D+1P or 2D+2D configuration.

## Relationship between disk drive number and parity group number (3/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number RAID5 (3D+1P) RAID1 (2D+2D)	Parity Group number RAID5 (7D+1P)
HDU-2	HDU-200	2/00	01-01	01-01
	HDU-201	2/01	01-02	01-02
	HDU-202	2/02	01-03	01-03
	HDU-203	2/03	01-04	01-04
	HDU-204	2/04	01-05	01-05
	HDU-205	2/05	01-06	01-06
	HDU-206	2/06	01-07	01-07
	HDU-207	2/07	01-08	01-08
	HDU-208	2/08	01-09	01-09
	HDU-209	2/09	01-10	01-10
	HDU-20A	2/0A	01-11	01-11
	HDU-20B	2/0B	01-12	01-12
	HDU-20C	2/0C	01-13	01-13
	HDU-20D	2/0D	01-14	01-14
	HDU-20E	2/0E	01-15	01-15
	HDU-20F	2/0F	Spare	Spare
	HDU-210	6/10	02-01	01-01
	HDU-211	6/11	02-02	01-02
	HDU-212	6/12	02-03	01-03
	HDU-213	6/13	02-04	01-04
	HDU-214	6/14	02-05	01-05
	HDU-215	6/15	02-06	01-06
	HDU-216	6/16	02-07	01-07
	HDU-217	6/17	02-08	01-08
	HDU-218	6/18	02-09	01-09
	HDU-219	6/19	02-10	01-10
	HDU-21A	6/1A	02-11	01-11
	HDU-21B	6/1B	02-12	01-12
	HDU-21C	6/1C	02-13	01-13
	HDU-21D	6/1D	02-14	01-14
HDU-21E	6/1E	02-15	01-15	
HDU-21F	6/1F	02-16	02-16 <sup>*1</sup>	

\*1: 3D+1P or 2D+2D configuration.

## Relationship between disk drive number and parity group number (4/4)

HDD BOX number	Disk drive number	C# / R#	Parity Group number RAID5 (3D+1P) RAID1 (2D+2D)	Parity Group number RAID5 (7D+1P)
HDU-3	HDU-300	3/00	01-01	01-01
	HDU-301	3/01	01-02	01-02
	HDU-302	3/02	01-03	01-03
	HDU-303	3/03	01-04	01-04
	HDU-304	3/04	01-05	01-05
	HDU-305	3/05	01-06	01-06
	HDU-306	3/06	01-07	01-07
	HDU-307	3/07	01-08	01-08
	HDU-308	3/08	01-09	01-09
	HDU-309	3/09	01-10	01-10
	HDU-30A	3/0A	01-11	01-11
	HDU-30B	3/0B	01-12	01-12
	HDU-30C	3/0C	01-13	01-13
	HDU-30D	3/0D	01-14	01-14
	HDU-30E	3/0E	01-15	01-15
	HDU-30F	3/0F	Spare	Spare
	HDU-310	7/10	02-01	01-01
	HDU-311	7/11	02-02	01-02
	HDU-312	7/12	02-03	01-03
	HDU-313	7/13	02-04	01-04
	HDU-314	7/14	02-05	01-05
	HDU-315	7/15	02-06	01-06
	HDU-316	7/16	02-07	01-07
	HDU-317	7/17	02-08	01-08
	HDU-318	7/18	02-09	01-09
	HDU-319	7/19	02-10	01-10
	HDU-31A	7/1A	02-11	01-11
	HDU-31B	7/1B	02-12	01-12
	HDU-31C	7/1C	02-13	01-13
	HDU-31D	7/1D	02-14	01-14
	HDU-31E	7/1E	02-15	01-15
	HDU-31F	7/1F	02-16	02-16 <sup>*1</sup>

\*1: 3D+1P or 2D+2D configuration.

## 5.2 Commands

These subsystem commands are classified into the following eight categories:

(1) Read commands

The read commands transfer the readout data from devices to channels.

(2) Write commands

The write commands write the transfer data from channels to devices.

(3) Search commands

The search commands follow a control command and logically search for the target data.

(4) Control commands

The control commands include the SEEK command that positions cylinder and head positions. The SET SECTOR command that executes latency time processing, the LOCATE RECORD command that specifies the operation of the ECKD command, the SET FILE MASK command that defines the permissible ranges for the WRITE and SEEK operations, and the DEFINE EXTENT command that defines the permissible ranges for the WRITE and SEEK operations and that defines the cache access mode.

(5) Sense commands

The sense commands transfer sense bytes and device specifications.

(6) Path control commands

The path control commands enable and disable the exclusive control of devices.

(7) TEST I/O command

The TEST I/O command transfers the specified device and its path state to a given channel in the form of DSBs.

(8) Subsystem commands

The subsystem commands include the commands which define cache control information in the DKCs and the commands which transfer cache-related information to channels.

Table 5.2 Command Summary (1/3)

Command Name		Command Code	
		Single Track	Multitrack
Read commands	READ INITIAL PROGRAM LOAD (RD IPL)	02	
	READ HOME ADDRESS (RD HA)	1A	9A
	READ RECORD ZERO (RD R0)	16	96
	READ COUNT,KEY,DATA (RD CKD)	1E	8E
	READ KEY,DATA (RD KD)	0E	86
	READ DATA (RD D)	06	92
	READ COUNT (RD C)	12	
	READ MULTIPLE COUNT,KEY AND DATA (RD MCKD)	5E	
	READ TRACK (RD TRK)	DE	
READ SPECIAL HOME ADDRESS (RD SP HA)	0A		
WRITE commands	WRITE HOME ADDRESS (WR HA)	19	
	WRITE RECORD ZORO (WR R0)	15	
	WRITE COUNT,KEY,DATA (WR CKD)	1D	
	WRITE COUNT,KEY,DATA NEXT TRACK (WR CKD NT)	9D	
	ERASE (ERS)	11	
	WRITE KEY AND DATA (WR KD)	0D	
	WRITE UPDATE KEY AND DATA (WR UP KD)	8D	
	WRITE DATA (WR D)	05	
	WRITE UPDATE DATA (WR UP D)	85	
WRITE SPECIAL HOME ADDRESS (WR SP HA)	09		
SEARCH commands	SEARCH HOME ADDRESS (SCH HA EQ)	39	B9
	SEARCH ID EQUAL (SCH ID EQ)	31	B1
	SEARCH ID HIGH (SCH ID HI)	51	D1
	SEARCH ID HIGH OR EQUAL (SCH ID HE)	71	F1
	SEARCH KEY EQUAL (SCH KEY EQ)	29	A9
	SEARCH KEY HIGH (SCH KEY HI)	49	C9
SEARCH KEY HIGH OR EQUAL (SCH KEYD HE)	69	E9	

Table 5.2 Command Summary(2/3)

	Command Name		Command Code	
			Single Track	Multitrack
CONTROL commands	DEFINE EXTENT	(DEF EXT)	63	
	LOCATE RECORD	(LOCATE)	47	
	LOCATE RECORD EXTENDED	(LOCATE EXT)	4B	
	SEEK	(SK)	07	
	SEEK CYLINDER	(SK CYL)	0B	
	SEEK HEAD	(SK HD)	1B	
	RECALIBRATE	(RECAL)	13	
	SET SECTOR	(SET SECT)	23	
	SET FILE MASK	(SET FM)	1F	
	READ SECTOR	(RD SECT)	22	
	SPACE COUNT	(SPC)	0F	
	NO OPERATION	(NOP)	03	
	RESTORE	(REST)	17	
	DIAGNOSTIC CONTROL	(DIAG CTL)	F3	
SENSE commands	SENSE	(SNS)	04	
	READ AND RESET BUFFERED LOG (RRBL)		A4	
	SENSE IDENTIFICATION	(SNS ID)	E4	
	READ DEVICE CHARACTERISTICS (RD CHR)		64	
	DIAGNOSTIC SENSE/READ	(DIAG SNS/RD)	C4	
PATH CONTROL commands	DEVICE RESERVE	(RSV)	B4	
	DEVICE RELEASE	(RLS)	94	
	UNCONDITIONAL RESERVE	(UNCON RSV)	14	
	SET PATH GROUP ID	(SET PI)	AF	
	SENSE SET PATH GROUP ID	(SNS PI)	34	
	SUSPEND MULTIPATH RECONNECTION (SUSP MPR)		5B	
	RESET ALLEGIANCE	(RST ALG)	44	
TST I/O	TEST I/O	(TIO)	00	
TIC	TRANSFER IN CHANNEL	(TIC)	X8	

Table.5.2 Command Summary(3/3)

Command Name		Command Code	
		Single Track	Multitrack
SUBSYSTEM commands	SET SUBSYSTEM MODE (SET SUB MD)	87	
	PERFORM SUBSYSTEM FUNCTION (PERF SUB FUNC)	27	
	READ SUBSYSTEM DATA (RD SUB DATA)	3E	
	SENSE SUBSYSTEM STATUS (SNS SUB STS)	54	
	READ MESSAGE ID (RD MSG IDL)	4E	

Note 1: Command Reject, format 0, and message 1 are issued for the commands that are not listed in this table.

Note 2: TEST I/O is a CPU instruction and cannot be specified directly. However, it appears as a command to the interface.

Note 3: TIC is a type of command but runs only on a channel. It will never be visible to the interface.

### 5.3 Comparison of pair status on SVP, Web Console, Raid Manager

Table.5.3 Comparison of pair status on SVP, Web Console, Raid Manager

NO	Event	Status on Raid Manager	Status on SVP, Web Console
1	Simplex Volume	P-VOL: SMPL S-VOL: SMPL	P-VOL: SMPL S-VOL: SMPL
2	Copying LUSE Volume Partly completed (SYNC only)	P-VOL: PDUB S-VOL: PDUB	P-VOL: PDUB S-VOL: PDUB
3	Copying Volume	P-VOL: COPY S-VOL: COPY	P-VOL: COPY S-VOL: COPY
4	Pair volume	P-VOL: PAIR S-VOL: PAIR	P-VOL: PAIR S-VOL: PAIR
5	Pairsplit operation to P-VOL	P-VOL: PSUS S-VOL: SSUS	P-VOL: PSUS (S-VOL by operator) S-VOL: PSUS (S-VOL by operator)
6	Pairsplit operation to S-VOL	P-VOL: PSUS S-VOL: PSUS	P-VOL: PSUS (S-VOL by operator) S-VOL: PSUS (S-VOL by operator)
7	Pairsplit-P operation (*1) (P-VOL failure, SYNC only)	P-VOL: PSUS S-VOL: SSUS	P-VOL: PSUS (P-VOL by operator) S-VOL: PSUS (by MCU)
8	Pairsplit-R operation (*1)	P-VOL: PSUS S-VOL: SMPL	P-VOL: PSUS (Delete pair to RCU) S-VOL: SMPL
9	P-VOL Suspend (failure)	P-VOL: PSUE S-VOL: SSUS	P-VOL: PSUE (S-VOL failure) S-VOL: PSUE (S-VOL failure)
10	S-VOL Suspend (failure)	P-VOL: PSUE S-VOL: PSUE	P-VOL: PSUE (S-VOL failure) S-VOL: PSUE (S-VOL failure)
11	PS ON failure	P-VOL: PSUE S-VOL: -----	P-VOL: PSUE (MCU IMPL) S-VOL: -----
12	Copy failure (P-VOL failure)	P-VOL: PSUE S-VOL: SSUS	P-VOL: PSUE (Initial copy failed) S-VOL: PSUE (Initial copy failed)
13	Copy failure (S-VOL failure)	P-VOL: PSUE S-VOL: PSUE	P-VOL: PSUE (Initial copy failed) S-VOL: PSUE (Initial copy failed)
14	Suspending volume (ASYNCR only)	P-VOL: COPY or PAIR or PSUE S-VOL: COPY or PAIR or PSUE	P-VOL: Suspending S-VOL: Suspending
15	Deleting volume (ASYNCR only)	P-VOL: COPY or PAIR or SUS S-VOL: COPY or PAIR or SUS	P-VOL: Deleting S-VOL: Deleting
16	RCU accepted the notification of MCU's P/S-OFF	P-VOL: ----- S-VOL: SSUS	P-VOL: ----- S-VOL: PSUE (MCU P/S OFF)
17	Sidefile overload (under margin, ASYNCR only)	P-VOL: PFUL S-VOL: PAIR	P-VOL: PAIR S-VOL: PAIR
18	Sidefile overload Suspend (over margin, ASYNCR only)	P-VOL: PFUS S-VOL: PFUS	P-VOL: PSUS (Sidefile Overflow) S-VOL: PSUS (Sidefile Overflow)

\*1 Operation on Raid Manager